



**EDUCATIONAL OBJECTIVE:** Readers will understand the role of barium esophagography in evaluating dysphagia

**BRIAN C. ALLEN, MD**  
Imaging Institute, Cleveland Clinic

**MARK E. BAKER, MD**  
Imaging Institute and Taussig Cancer  
Institute, Cleveland Clinic

**GARY W. FALK, MD, MS**  
Center for Swallowing and Esophageal  
Disorders, Digestive Disease Institute,  
and Taussig Cancer Institute, Cleveland  
Clinic; Professor of Medicine, Cleveland  
Clinic Lerner College of Medicine of Case  
Western Reserve University

# Role of barium esophagography in evaluating dysphagia

## ABSTRACT

Patients with dysphagia can initially undergo either endoscopic or radiologic evaluation, depending on the clinical history and physician preference. We usually recommend that most patients with dysphagia initially undergo barium esophagography, and in this paper we discuss its role in evaluating dysphagia.

## KEY POINTS

Dysphagia can be due to problems in the oropharynx and cervical esophagus or in the distal esophagus.

Radiologic evaluation of dysphagia has distinct advantages over endoscopy, including its ability to diagnose both structural changes and motility disorders.

A barium evaluation can include a modified barium-swallowing study to evaluate the oropharynx, barium esophagography to evaluate the esophagus, and a timed study to evaluate esophageal emptying.

Often, the true cause of dysphagia is best approached with a combination of radiographic and endoscopic studies.

A 55-YEAR-OLD WOMAN presents with an intermittent sensation of food getting stuck in her mid to lower chest. The symptoms have occurred several times per year over the last 2 or 3 years and appear to be slowly worsening. She says she has no trouble swallowing liquids. She has a history of gastroesophageal reflux disease, for which she takes a proton pump inhibitor once a day. She says she has had no odynophagia, cough, regurgitation, or weight loss.

How should her symptoms best be evaluated?

## DYSPHAGIA CAN BE OROPHARYNGEAL OR ESOPHAGEAL

Dysphagia is the subjective sensation of difficulty swallowing solids, liquids, or both. Symptoms can range from the inability to initiate a swallow to the sensation of esophageal obstruction. Other symptoms of esophageal disease may also be present, such as chest pain, heartburn, and regurgitation. There may also be nonesophageal symptoms related to the disease process causing the dysphagia.

Dysphagia can be separated into oropharyngeal and esophageal types.

**Oropharyngeal dysphagia** arises from problems in the oropharynx and cervical esophagus and is commonly caused by neurologic disorders of the central or peripheral nervous system (eg, stroke, myasthenia gravis), inflammatory myopathy, or a structural abnormality of the oropharynx, hypopharynx, or cervical esophagus such as a cricopharyngeal bar or tumor (TABLE 1). Patients typically complain of not being able to initiate a swallow or of food getting stuck in the cervical region immediately upon swallowing, accompanied by nasal regurgitation.<sup>1</sup>

**TABLE 1**

**Causes of dysphagia**

**Causes of oropharyngeal dysphagia**

- Cerebral palsy
- Demyelinating disease (ie, multiple sclerosis)
- Esophageal dysphagia referred to the cervical region (generally, distal mucosal ring)
- Iatrogenic causes (stricture due to radiation therapy, surgery, or medication)
- Myopathic disorders (ie, myasthenia gravis, myotonic dystrophy)
- Stroke
- Structural abnormalities (cricopharyngeal bar, cervical webs, Zenker diverticulum, oropharyngeal cancer)
- Trauma

**Causes of esophageal dysphagia**

- Benign tumors
- Eosinophilic esophagitis
- Extrinsic compression (vascular or mediastinal)
- Malignancy
- Motility disorders (achalasia, diffuse esophageal spasm)
- Rings and webs
- Strictures

**Eosinophilic esophagitis is now a frequent cause of dysphagia**

Interestingly, many patients with symptoms of oropharyngeal dysphagia in fact have referred symptoms from primary esophageal dysphagia<sup>2</sup>; many patients with a distal mucosal ring describe a sense of something sticking in the cervical esophagus.

**Esophageal dysphagia** arises in the mid to distal esophagus or gastric cardia, and as a result, the symptoms are typically retrosternal.<sup>1</sup> It can be caused by structural problems such as strictures, rings, webs, extrinsic compression, or a primary esophageal or gastroesophageal neoplasm, or by a primary motility abnormality such as achalasia (TABLE 1). Eosinophilic esophagitis is now a frequent cause of esophageal dysphagia, especially in white men.<sup>3</sup>

**ESOPHAGOGRAPHY VS ENDOSCOPY IN EVALUATING DYSPHAGIA**

Many gastroenterologists recommend endoscopy rather than barium esophagography as the initial examination in patients with dysphagia.<sup>4-8</sup> Each test has certain advantages.

**Advantages of endoscopy.** Endoscopy is superior to esophagography in detecting milder grades of esophagitis. Further, interventions can be performed endoscopically (eg, dilation, biopsy, attachment of a wireless pH testing probe) that cannot be done during a radiographic procedure, and endoscopy does not expose the patient to radiation.

**Advantages of esophagography.** Endoscopy cannot detect evidence of gastroesophageal reflux disease unless mucosal injury is present. In dysphagia, the radiologic findings correlate well with endoscopic findings, including the detection of esophageal malignancy and moderate to severe esophagitis. Further, motility disorders can be detected with barium esophagography but not with endoscopy.<sup>9,10</sup>

Subtle abnormalities, especially rings and strictures, may be missed by narrow-diameter (9.8–10 mm) modern upper-endoscopic equipment. Further, esophagography is noninvasive, costs less, and may be more convenient (it does not require sedation and a chaperone for the patient after sedation). This examination also provides dynamic evaluation of the complex process of swallowing. Causes of dysphagia external to the esophagus can also be determined.

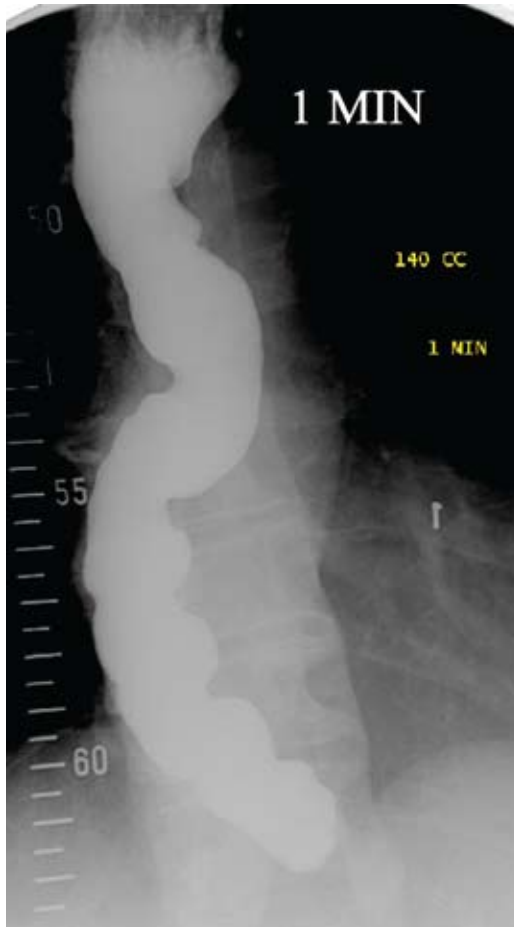
In view of the respective advantages and disadvantages of the two methods, we believe that in most instances barium esophagography should be the initial examination,<sup>1,9,11-15</sup> and at our institution most patients presenting with dysphagia undergo barium esophagography before they undergo other examinations.<sup>14</sup>

**■ OBTAIN A HISTORY BEFORE ORDERING ESOPHAGOGRAPHY**

Before a barium examination of the esophagus is done, a focused medical history should be obtained, as it can guide the further workup as well as the esophageal study itself.

An attempt should be made to determine whether the dysphagia is oropharyngeal or if it is esophageal, as the former is generally best initially evaluated by a speech and language pathologist. Generally, the physician who orders the test judges whether the patient has oropharyngeal or esophageal dysphagia. Of-

### Achalasia: Timed barium swallow 1 minute



### 5 minutes



**FIGURE 1.** Timed barium swallow in a patient with achalasia. The patient consumed 140 mL of low-density barium. There is no emptying of barium between the 1-minute and 5-minute films.

ten, both an oropharyngeal examination, performed by a speech and language pathologist, and an esophageal examination, performed by a radiologist, are ordered.

Rapidly progressive symptoms, especially if accompanied by weight loss, should make one suspect cancer. Chronic symptoms usually point to gastroesophageal reflux disease or a motility disorder such as achalasia. Liquid dysphagia almost always means the patient has a motility disorder such as achalasia.

In view of the possibility of eosinophilic esophagitis, one should ask about food or seasonal allergies, especially in young patients with intermittent difficulty swallowing solids.<sup>3</sup>

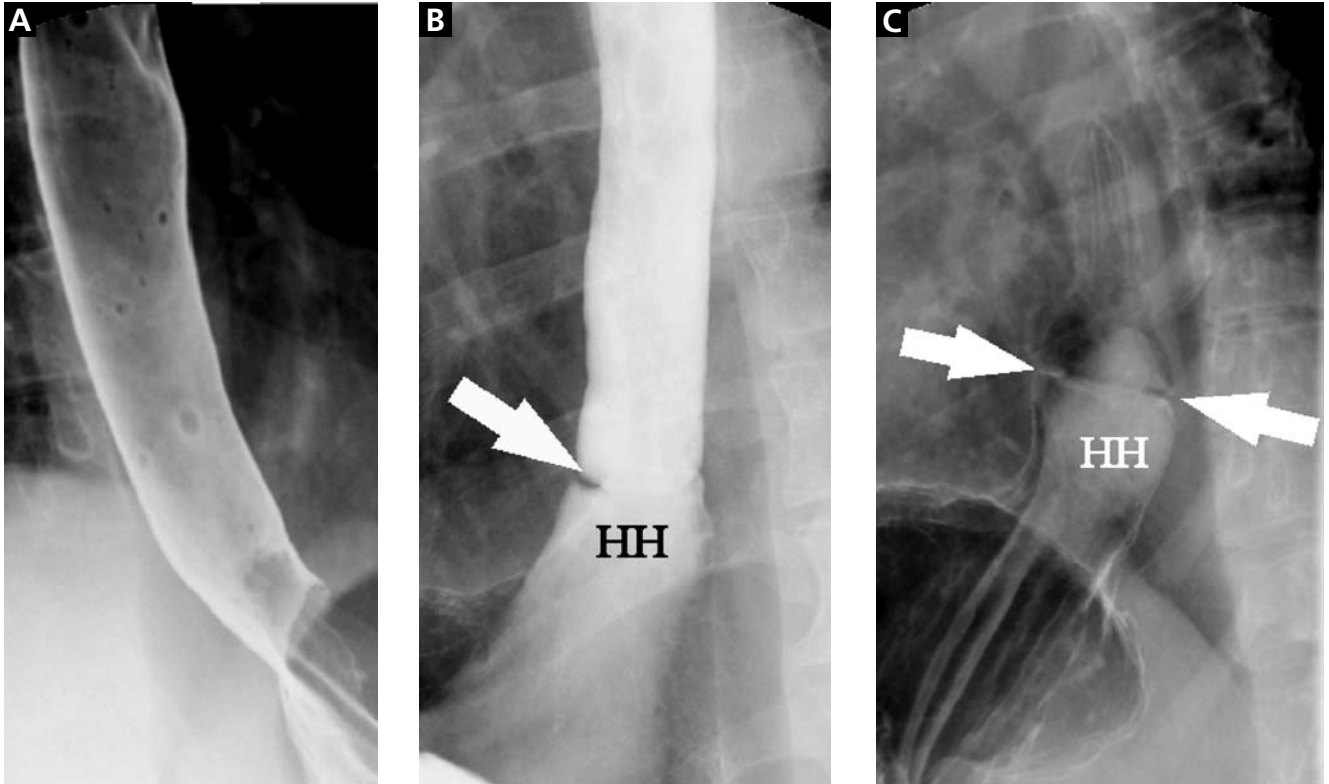
#### ■ BARIUM ESOPHAGOGRAPHY HAS EIGHT SEPARATE PHASES

Barium esophagography is tailored to the patient with dysphagia on the basis of his or her history. The standard examination is divided into eight separate phases (see below).<sup>14</sup> Each phase addresses a specific question or questions concerning the structure and function of the esophagus.

At our institution, the first phase of the examination is determined by the presenting symptoms. If the patient has liquid dysphagia, we start with a timed barium swallow to assess esophageal emptying. If the patient does not have liquid dysphagia, we start with an air-contrast mucosal examination.

**Oropharyngeal dysphagia is best evaluated by a speech and language pathologist**

**A distal mucosal ring: Barium esophageal studies**



**FIGURE 2.** Esophagographic phases in a patient with solid-food dysphagia and a significant distal mucosal ring. **A.** The upright, mucosal phase of the examination shows no abnormalities. **B.** The distended or full-column phase of the examination shows the distal mucosal ring (arrow) as a sharply defined, ridge-like filling defect in the barium column above a small, sliding-type hiatal hernia (HH) (brought out by the increased intra-abdominal pressure with the patient in the semiprone position). **C.** The mucosal relief phase again shows the circumferential nature of the distal ring (arrows), as well as the hiatal hernia.

The patient must be cooperative and mobile to complete all phases of the examination.

**Timed barium swallow to measure esophageal emptying**

The timed barium swallow is an objective measure of esophageal emptying.<sup>16-18</sup> This technique is essential in the initial evaluation of a patient with liquid dysphagia, a symptom common in patients with severe dysmotility, usually achalasia.

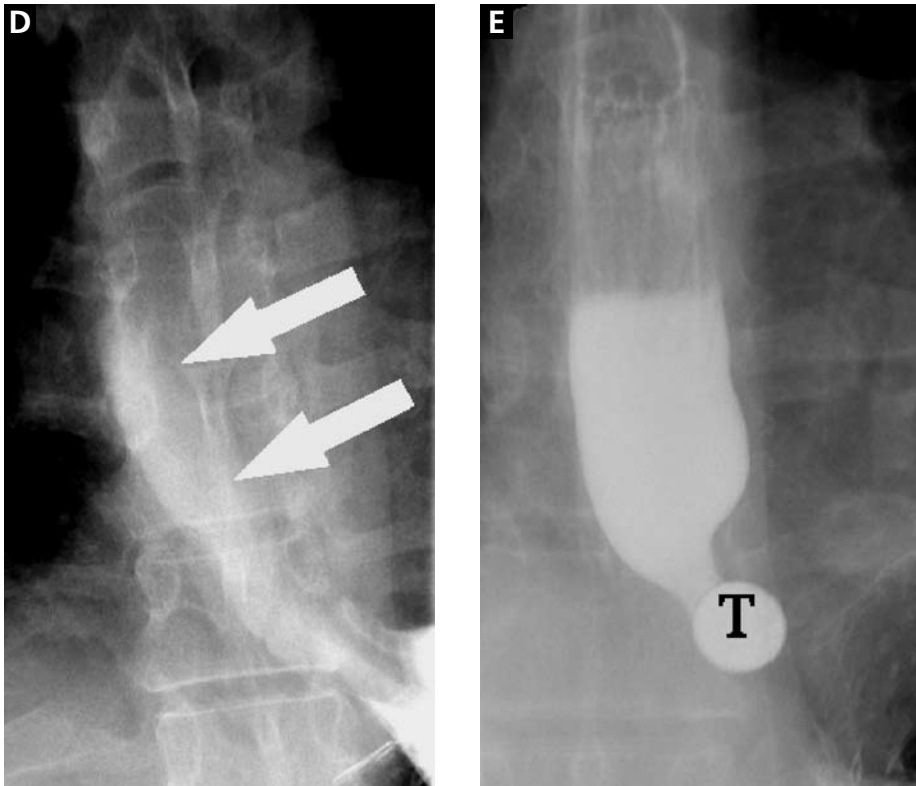
In the upright position, the patient is asked to ingest up to 250 mL of low-density barium, as tolerated. The height and width of the barium column at 1 minute and 5 minutes are measured and recorded (FIGURE 1).

We use this examination in our patients with suspected or confirmed achalasia and to

follow up patients who have been treated with pneumatic dilatation, botulinum toxin injection, and Heller myotomy.<sup>17,18</sup> In addition, this timed test is an objective measure of emptying in patients who have undergone intervention but whose symptoms have not subjectively improved, and can suggest that further intervention may be required.

**Air-contrast or mucosal phase**

The air-contrast phase of the examination is designed to evaluate the esophageal mucosa and to determine if there is a fixed (non-reducible) hernia. In the upright position, the patient ingests CO<sub>2</sub> gas-producing crystals with a small amount of water and then ingests high-density barium in order to coat the mucosa. Spot films are taken of the gas-distended, barium-coated esophagus (FIGURE 2A).



**FIGURE 2.** (continued)

**D.** Spontaneous reflux of gastric barium (arrows) with the patient in the supine position. The barium refluxed to the level of the thoracic inlet. **E.** Obstruction of the ingested 13-mm tablet (T) at the level of the distal mucosal ring. Barium above the tablet was given to precisely identify the location of the obstruction.

Although this phase is not as sensitive as endoscopy, it can detect masses, mucosal erosions, ulcers, and—most importantly in our experience—fixed hernias. Patients with a fixed hernia have a foreshortened esophagus, which is important to know about before repairing the hernia. Many esophageal surgeons believe that a foreshortened esophagus precludes a standard Nissen fundoplication and necessitates an esophageal lengthening procedure (ie, Collis gastroplasty with a Nissen fundoplication).<sup>14</sup>

### Motility phase

The third phase examines esophageal motility. With the patient in a semiprone position, low-density barium is given in single swallows, separated by 25 to 30 seconds. The images are recorded on digital media to allow one to re-

view them frame by frame.

The findings on this phase correlate well with those of manometry.<sup>19</sup> This portion of the examination also uses impedance monitoring to assess bolus transfer, an aspect not evaluated by manometry.<sup>20,21</sup> Impedance monitoring detects changes in resistance to current flow and correlates well with esophagographic findings regarding bolus transfer.

While many patients with dysphagia also undergo esophageal manometry, the findings from this phase of the esophagographic examination may be the first indication of an esophageal motility disorder. In fact, this portion of the examination shows the distinct advantage of esophagography over endoscopy as the initial test in patients with dysphagia, as endoscopy may not identify patients with achalasia, especially early on.<sup>4</sup>

**Rapidly progressive symptoms, especially with weight loss, raise suspicion of cancer**

**TABLE 2**

**Information provided by a well-performed barium esophagographic evaluation**

- Assessment of esophageal emptying, if a timed swallow was performed
- Presence, absence, and type of hiatal hernia, and whether the hernia is fixed or sliding
- Presence or absence of a stricture or distal mucosal ring
- Presence or absence of changes of esophagitis
- Assessment of esophageal motility
- Detection of esophageal reflux, including the cause, the height, and the duration of barium in the esophagus
- Barium tablet passage and, if there is an obstruction, the site and the time until the tablet is passed

**Single-contrast (full-column) phase to detect strictures, rings**

The fourth phase of the esophagographic evaluation is the distended, single-contrast examination (FIGURE 2B). This is performed in the semiprone position with the patient rapidly drinking thin barium. It is done to detect esophageal strictures, rings, and contour abnormalities caused by extrinsic processes. Subtle abnormalities shown by this technique, including benign strictures and rings, are often not visualized with endoscopy.

**Mucosal relief phase**

The fifth phase is performed with a collapsed esophagus immediately after the distended, single-contrast phase, where spot films are taken of the barium-coated, collapsed esophagus (FIGURE 2C). This phase is used to evaluate thickened mucosal folds, a common finding in moderate to severe reflux esophagitis.

**Reflux evaluation**

Provocative maneuvers are used in the sixth phase to elicit gastroesophageal reflux (FIGURE 2D). With the patient supine, he or she is asked to roll side to side, do a Valsalva maneuver, and do a straight-leg raise. The patient then sips water in the supine position to assess for reflux (the water siphon test). If reflux is seen, the cause, the height of the reflux, and the duration of reflux retention are recorded.

**Solid-bolus phase to assess strictures**

In the seventh phase, the patient swallows a 13-mm barium tablet (FIGURE 2E). This allows one to assess the significance of a ring or stricture and to assess if dysphagia symptoms recur as a result of tablet obstruction. Subtle strictures that were not detected during the prior phases can also be detected using a tablet. If obstruction or impaired passage occurs, the site of obstruction and the presence or absence of symptoms are recorded.

**Modified esophagography to assess the oropharynx**

The final or eighth phase of barium esophagography is called “modified barium esophagography” or the modified barium swallow. However, it may be the first phase of the examination performed or the only portion of the examination performed, or it may not be performed at all.

Modified barium esophagography is used to define the anatomy of the oropharynx and to assess its function in swallowing.<sup>12</sup> It may also guide rehabilitation strategies aimed at eliminating a patient’s swallowing symptoms.

Most patients referred for this test have sustained damage to the central nervous system or structures of the oropharynx, such as stroke or radiation therapy for laryngeal cancer. Many have difficulty in starting to swallow, aspirate when they try to swallow, or both.

In this test, thin liquids are given to the patient in escalating amounts. The patient is then given thicker foods, including thick liquids, purees, and food requiring chewing. If the patient has difficulty swallowing, intervention and therapeutic strategies are initiated. If the test is done by itself and the speech pathologist cannot find a cause of the patient’s symptoms, then barium esophagography should be performed by a radiologist.

The final esophagographic report should document the findings of each phase of the examination (TABLE 2).

**WHAT HAPPENED TO OUR PATIENT?**

Our patient underwent barium esophagography (FIGURE 2). A distal mucosal ring that transiently obstructed a 13-mm tablet was found. The patient underwent endoscopy and the ring was dilated. No biopsies were necessary. ■

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ADDRESS: Brian C. Allen, MD, Imaging Institute, Hb6, Cleveland Clinic, 9500 Euclid Avenue, Cleveland, OH; e-mail allenb@ccf.org.