CME MOC



'Cardiac syndrome X' and coronary microvascular dysfunction

Revisiting the 'great masquerader'

The importance of serial ECGs

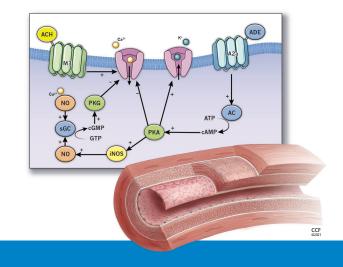
How significant is *Aspergillus* in respiratory cultures?

Ketogenic diets: A role in the management of type 1 diabetes?

Cervical cancer screening: Management of abnormal results redefined

Rapid cognitive decline and myoclonus

Coronary microvascular dysfunction: Diagnosis and management



CLEVELAND CLINIC JOURNAL OF MEDICINE

EDITORIAL STAFF

Brian F. Mandell, MD, PhD, Editor in Chief Pelin Batur, MD, Deputy Editor Craig Nielsen, MD, Deputy Editor Mary T. Cusick, MS, Executive Editor David A. Huddleston, Managing Editor Allison Siegel, MSSA, Senior Editor Amy Slugg Moore, MEd, Manuscript Editor Lingyi Herbst, MS, Educational Web Designer Ross Papalardo, CMI, Medical Art Director Philip Lammers, Editorial Project Leader

PUBLISHING OPERATIONS

Peter G. Studer, Executive Publisher Bruce M. Marich, Production Manager Iris Trivilino, Production Manager, Special Projects Laurie Weiss, Accountant (Billing)

ASSOCIATE EDITORS

Alejandro C. Arroliga, MD Moises Auron, MD Diana Basali, MD Eden Bernstein, MD Daniel J. Brotman, MD Abhijit Duggal, MD Alejandro DuranCrane, MD Ruth M. Farrell, MD, MA Kathleen Franco, MD Steven M. Gordon, MD Brian Griffin, MD Justin Hanks, DO Kristin Highland, MD David L. Keller, MD Umesh Khot, MD Mandy C. Leonard, PharmD Angelo A. Licata, MD, PhD Bryce Montane, MD Atul C. Mehta, MD Christian Nasr, MD Robert M. Palmer, MD Kyle Richardville, MD David D.K. Rolston, MD Gregory Rutecki, MD Bernard J. Silver, MD Tyler Stevens, MD Theodore Suh, MD, PhD, MHSc Marc Williams, MD

CCJM-UK EDITION

Olaf Wendler, MD, PhD, FRCS, Chief Editor Heather Muirhead, MHA, Clinical Institute Education and Training Manager

EDITORS EMERITI

John D. Clough, MD Herbert P. Wiedemann, MD James S. Taylor, MD

CLEVELAND CLINIC

Tom Mihaljevic, MD President and Chief Executive Officer

CLEVELAND CLINIC EDUCATION INSTITUTE

James K. Stoller, MD, MS, Chairman Steven Kawczak, PhD, Senior Director, Professional Development and Knowledge Resources

ADVERTISING

Sima Sherman, Director of Sales and Marketing SHERMAN MEDICAL MARKETING GROUP 1628 John F. Kennedy Blvd., #2200, Philadelphia, PA 19103 (610) 529-0322 • sima@shermanmmg.com

SUBSCRIPTIONS

U.S. and possessions: Personal \$155; institutional \$183; single copy/back issue \$20

Foreign: \$200; single copy/back issue \$20

Institutional (multiple-reader rate) applies to libraries, schools, hospitals, and federal, commercial, and private institutions and organizations. Individual subscriptions must be in the names of, billed to, and paid by individuals.

Please make check payable to *Cleveland Clinic Journal of Medicine* and mail to: Cleveland Clinic Education Foundation, P.O. Box 373291, Cleveland, OH 44193-3291. To purchase a subscription with a credit card, please visit www.ccjm.org.

REPRINTS

(610) 529-0322 • sima@shermanmmg.com

PHOTOCOPYING

Authorization to photocopy items for internal or personal use is granted by *Cleveland Clinic Journal of Medicine* (ISSN 0891-1150 (print), ISSN 1939-2869 [online]), published by Cleveland Clinic, provided that the appropriate fee is paid directly to Copyright Clearance Center, 222 Rosewood Drive, Danvers, MA 01923 USA (978) 750-8400. Prior to photocopying items for educational classroom use, please contact Copyright Clearance Center, Inc., at the address above. For permission to reprint material, please fax your request with complete information to the Republication department at CCC, fax (978) 750-4470. For further information visit CCC online at www.copyright.com. To order bulk reprints, see above.

CHANGE OF ADDRESS

To report a change of address, send a recent mailing label along with new information to:

AMA, Data Verification Unit, 330 N. Wabash Ave., Suite 39300, Chicago, IL 60611-5885 • Phone (800) 621-8335 • Fax (312) 464-4880 • dpprodjira@ama-assn.org

Cleveland Clinic Journal of Medicine uses the AMA database of physician names and addresses. The database includes all US physicians and not just AMA members. Only the AMA can update changes of address and other data.

SUBSCRIPTIONS, EDITORIAL, BILLING, AND PRODUCTION

1950 Richmond Rd., TR404, Lyndhurst, OH 44124 • Phone (216) 444-2661 • Fax (216) 444-9385 • ccjm@ccf.org • www.ccjm.org

DISCLAIMER

Statements and opinions expressed in the *Cleveland Clinic Journal of Medicine* are those of the authors and not necessarily of Cleveland Clinic or its Board of Trustees.

Cleveland Clinic Journal of Medicine [ISSN 0891-1150 (print), ISSN 1939-2869 (online)] is published monthly by Cleveland Clinic at 1950 Richmond Rd., TR404, Lyndhurst, OH 44124.

COPYRIGHT© 2021 THE CLEVELAND CLINIC FOUNDATION. ALL RIGHTS RESERVED. PRINTED IN U.S.A.





TABLE OF CONTENTS

FROM THE EDITOR

We have a greater understanding of 'cardiac syndrome X,' but questions remain

532

A better understanding of angina due to ischemia and no obstructive coronary artery disease has led to recognition of coronary microvascular dysfunction in many of these patients.

Brian F. Mandell, MD, PhD

THE CLINICAL PICTURE	
Revisiting the 'great masquerader'	536
An HIV patient presents with scaly brown lesions on the palms and soles, mildly painful oral erosions, and a buzzing sound in the right ear for the past 20 days.	
Raihan Ashraf, MD; Rahul Mahajan, MD; Debajyoti Chatterjee, DM; Kollabathula Arpitha, MD	
Repeat electrocardiograms in a 44-year-old man who presented with severe hypertension revealed de Winter and Wellens patterns.	
Rene Jansen van Rensburg, MBChB, MD; Jason Schutte, MBChB; Thomas de Beenhouwer, MD	
EDITORIAL	
Fungus among us: A poster child for diagnostic stewardship	541
When interpreting the significance of <i>Aspergillus</i> species in respiratory culture, first ask if the culture was clinically indicated, or if this was an incidental finding.	
Sherif Beniameen Mossad, MD, FACP, FIDSA, FAST	
1-MINUTE CONSULT	
Is Aspergillus isolated from respiratory cultures clinically significant?	543

Aspergillus is ubiquitous, so the significance of the finding depends on the patient's symptoms, underlying lung condition, immune status, and radiologic findings.

Firas El-Baba, MD; Donovan Watza, PhD; Ayman O. Soubani, MD

CONTINUED ON PAGE 531

Upcoming Features

- Neuropsychiatric assessment of ICU survivors
- DXA in primary care
- Spontaneous coronary artery dissection



OCTOBER 2021

CONTINUED FROM PAGE 530 REVIEW Ketogenic diets in the management of type 1 diabetes: 547 Safe or safety concern? A review of the potential risks and benefits for managing type 1 diabetes based on available evidence. Lauren Anne Buehler, MD, MPH; Dawn Noe, RDN, LD, CDCES; Shannon Knapp, BSN, RN, CDCES; Diana Isaacs, Pharm D, CDCES; Kevin M. Pantalone, DO, ECNU, FACE GUIDELINES TO PRACTICE **Risk-based guidelines: Redefining management** 556 of abnormal cervical cancer screening results A shift from results-based to risk-based management, based on the patient's immediate and 5-year risks of grade 3 or higher cervical intraepithelial neoplasia. Amy Wiser, MD, FAAFP; Jeffrey D. Quinlan, MD, FAAFP; Pelin Batur, MD, FACP, NCMP CME MOC REVIEW ... **Coronary microvascular dysfunction:** 561 **Considerations for diagnosis and treatment** A review of pathophysiology, considerations for invasive and noninvasive coronary function testing, management, and remaining knowledge gaps. Benita Tjoe, MD; Lili Barsky, MD; Janet Wei, MD; Bruce Samuels, MD; Babak Azarbal, MD; C. Noel Bairey Merz, MD; Chrisandra Shufelt, MD, MS CME MOC SYMPTOMS TO DIAGNOSIS **Rapid cognitive decline and myoclonus** 572 in a 52-year-old woman Symptoms also included confusion, blank staring, blurred vision, incoordination of the extremities, decreased verbal communication, and holding her left hand in a fist. William Gravley, MS; Caleb Murphy, MD, MBA; Chia-Dan Kang, MD; Badrunnisa Hanif, MD DEPARTMENTS **CME Calendar** 534 **CME/MOC Instructions** 584



Visit WWW.CCJM.ORG Test your knowledge of clinical topics CLEVELAND CLINIC JOURNALOF MEDICINE

We have a greater understanding of 'cardiac syndrome X,' but questions remain

There was a time when diagnosing coronary artery disease and managing its clinical expression of angina and myocardial infarction focused almost entirely on the lumens of the major coronary vessels. Culprit stenoses needed to be recognized and rectified, mainly via bypass or an endovascular procedure. Medical therapy was adjunctive or preventative. Improved understanding of the biologic nature of the stenosing plaque and proliferating and remodeling vascular tissue led to the implementation of still-evolving approaches directed at plaque stabilization and shrinkage, as well as antithrombotic and antiproliferative therapies. We also saw that some patients experienced classic angina with imaging or electrocardiographic evidence of myocardial ischemia and sometimes infarction in the absence of significant epicardial coronary artery obstructive lesions. The pathogenesis was unclear, and these patients were thus diagnosed as having "cardiac syndrome X." In current parlance, they have ischemia and no obstructive coronary artery disease (INOCA). Greater understanding of this condition, which can clinically mirror obstructive coronary artery disease (CAD) until coronary angiography is performed, has led to the recognition that many of these patients have coronary microvascular dysfunction (CMD).¹

As discussed by Tjoe et al² in this issue of the *Journal*, INOCA-related syndromes are most commonly precipitated by coronary spasm or by CMD. Definitive diagnosis requires accurate epicardial coronary imaging to exclude significant obstruction and epicardial coronary spasm, and then physiologic assessment of the coronary microvasculature. Physiologic assessment, as Tjoe et al describe in detail, includes measurement of coronary flow reserve and interventional evaluation of endothelial function. These procedures may not be available in all catheterization laboratories.

CMD seems to be more common in women than men and is not benign, as it is associated with the presence or future development of atherosclerotic obstructive CAD. But even in the absence of coexistent obstructive CAD, there is an association with heart failure with preserved ejection fraction, with acute coronary syndromes, and with several comorbidities including diabetes, chronic kidney disease, and hypertension, and perhaps with some systemic inflammatory and autoimmune diseases.

As I was thinking through these associations and the independent role that CMD might play in clinical outcomes, I wondered if its more common presence in women (for reasons I do not fully understand) might contribute to the variably described protective effects of aspirin in women vs men, assuming a nonthrombotic pathophysiology for CMD. Perhaps CMD could also explain some of the increased cardiovascular risk, incompletely accounted for by traditional cardiac risk factors, attributed to autoimmune disorders such as rheumatoid arthritis and systemic lupus erythematosus—perhaps as a result of the effect of inflammatory cytokines or activated cells on regulatory control of the coronary microvasculature, in addition to the underlying biologic effects attributable to the female host. (Recall that these 2 conditions occur more commonly in women.)

doi:10.3949/ccjm.88b.10021

Another interesting observation regarding patients with CMD is that patients (66% female) initially screened for participation in the CIAO-ISCHEMIA trial³ who had angina with ischemia but no coronary obstruction on angiography were followed over a year's time and underwent repeat stress echocardiographic testing along with angina questionnaires. The patients received medical treatment at the discretion of their physicians. After 1 year, the stress echo was normal in approximately half of the patients, and angina had improved in 43% and worsened in 14%, but the changes in imaging did not correlate with the changes in angina.⁴ Apparently, we still have a lot to learn about the nature and expression of pain, even in a pain syndrome like angina, which we think we understand.

Bran Nandel

Brian F. Mandell, MD, PhD Editor in Chief

- 1. Vancheri F, Longo G, Vancheri S, Henein M. Coronary microvascular dysfunction. J Clin Med 2020; 9(9):2880. doi:10.3390/jcm9092880
- Tjoe B, Barsky L, Wei CJ, et al. Coronary microvascular dysfunction: Considerations for diagnosis and management. Cleve Clin J Med 2021; 88(10):561–571. doi:10.3949/ccjm.88a.20140
- Maron DJ, Hochman JS, Reynolds HR, et al. Initial invasive or conservative strategy for stable coronary artery disease. N Engl J Med 2020; 382(15):1395–1407. doi:10.1056/NEJMoa1915922
- Reynolds HR, Picard MH, Spertus JA et al. Natural history of patients with ischemia and no obstructive coronary artery disease: The CIAO-ISCHEMIA study. Circulation 2021. [Epub ahead of print] doi:10.1161/CIRCULATIONAHA.120.046791

CME CALENDAR



2021

OCTOBER

VIRTUAL NEPHROLOGY UPDATE October 1 Live stream

PRACTICAL MANAGEMENT OF STROKE October 1 Live stream

ADVANCES IN CONGENITAL HEART DISEASE SUMMIT October 1–2 Live stream

IMPROVING END-OF-LIFE CARE IN THE ICU: CHALLENGES AND OPPORTUNITIES October 6–8 Virtual webcast

STATE-OF-THE-ART DIAGNOSIS AND TREATMENT OF DEMENTIA October 7 Live stream

WAKE UP TO SLEEP DISORDERS 2021: A CLEVELAND CLINIC SLEEP DISORDERS CENTER UPDATE October 9–10 Live stream

STATE-OF-THE-ART DIAGNOSIS AND TREATMENT OF DEMENTIA October 14 Live stream

PRIMARY CARE UPDATE: CHALLENGES IN THE POST-PANDEMIC LANDSCAPE October 15–16 Live stream

STATE-OF-THE-ART DIAGNOSIS AND TREATMENT OF DEMENTIA October 21 Live stream

STATE-OF-THE-ART DIAGNOSIS AND TREATMENT OF DEMENTIA October 28 Live stream

CARDIOVASCULAR UPDATE FOR THE PRIMARY CARE PROVIDER October 28–29 Live stream

NOVEMBER

IMPROVING END-OF-LIFE CARE IN THE ICU: CHALLENGES AND OPPORTUNITIES November 1–5 Virtual webcast

STATE-OF-THE-ART DIAGNOSIS AND TREATMENT OF DEMENTIA November 4 Live stream

GASTROENTEROLOGY UPDATE: CONTROVERSIES, INNOVATIONS, RESEARCH November 6 Live stream/virtual

IMPROVING END-OF-LIFE CARE IN THE ICU: CHALLENGES AND OPPORTUNITIES November 8–12 Virtual webcast

IMPROVING END-OF-LIFE CARE IN THE ICU: CHALLENGES AND OPPORTUNITIES November 15–19 Virtual webcast

WASOG/AASOG 2021: MULTIDISCIPLINARY MEETING FOR SARCOIDOSIS AND ILD November 29–December 2 Hollywood, FL

DECEMBER

MASTERING THE MANAGEMENT OF THE AORTIC VALVE December 3–4 New York, NY / Live stream

DR. ROIZEN'S PREVENTIVE MEDICINE LONGEVITY CONFERENCE December 4-5 Live stream

2022

FEBRUARY

MULTIDISCIPLINARY APPROACH TO THE CONTEMPORARY MANAGEMENT OF HEART FAILURE February 25 Cleveland, OH

BASIC AND CLINICAL IMMUNOLOGY FOR THE BUSY CLINICIAN February 26–27 Scottsdale, AZ

MARCH

VALVE DISEASE, STRUCTURAL INTERVENTIONS, AND DIASTOLOGY/IMAGING SUMMIT March 11 Live stream

MULTIDISCIPLINARY HEAD AND NECK CANCER UPDATE March 18–19 Fort Lauderdale, FL

APRIL

COMPREHENSIVE CARE FOR THE LIFETIME TREATMENT OF ADULT CONGENITAL HEART DISEASE April 22–23 Chicago, IL

MANAGEMENT OF ADVANCED AND RECURRENT OVARIAN CANCER April 29–30 Cleveland, OH

JUNE

MEDICAL DERMATOLOGY THERAPY UPDATE June 1–3 Cleveland, OH

FOR SCHEDULE UPDATES AND TO REGISTER, VISIT: WWW.CCFCME.ORG/LIVE



Cleveland Clinic

Mastering the Management of Aortic Valve Disease: Imaging, Intervention and Innovation

Cleveland Clinic is returning to in-person cardiovascular CME in early December with simultaneous in-person and livestream offerings of one of its most popular courses at its traditional venue in midtown Manhattan.

JW Marriott Essex House, New York, NY In Person and Live Stream

www.ccfcme.org/AorticValve21

THE CLINICAL PICTURE

Raihan Ashraf, MD

Department of Dermatology, Venereology, and Leprology, Postgraduate Institute of Medical Education and Research, Chandigarh, India

Rahul Mahajan, MD

Associate Professor, Department of Dermatology, Venereology, and Leprology, Postgraduate Institute of Medical Education and Research, Chandigarh, India Debajyoti Chatterjee, DM Assistant Professor, Department of Histopathology, Postgraduate Institute of Medical Education and Research, Chandigarh, India Kollabathula Arpitha, MD Department of Histopathology, Postgraduate Institute of Medical Education and Research, Chandigarh, India

Revisiting the 'great masquerader'



Figure 1. Well-defined dusky, discoid patches and plaques with peripheral collarette of scales (red arrows) on the palms.



Figure 2. Well-defined dusky, discoid plaques with peripheral desquamative scaling on the instep of the patient's soles. Some of the lesions appear psoriasiform.

A 51-YEAR-OLD MAN presented with a 3-month history of reddish-brown lesions on his body and scaly brown lesions on the palms and soles and a 1-month history of mildly painful oral erosions. He had also noticed a buzzing sound in the right ear for the past 20 days.

Review of his medical record showed that he had engaged in unprotected sexual contact with a commercial sex worker 1 year earlier. He had human immunodeficiency virus (HIV) infection and had been taking antiretroviral therapy consisting of zidovudine, lamivudine, and nevirapine for the last 10 years, and his CD4 cell count was 706/µL.

Cutaneous examination revealed welldefined dusky to erythematous papules and plaques on his face, neck, back, and hands. There were well-defined ulcers on the tip of the tongue and split papules on both angles of the mouth. There were discoid plaques with peripheral scales on the palms (**Figure 1**) and doi:10.3949/ccjm.88a.20203 soles (**Figure 2**). Other mucosae were normal, as were the results of the nervous system and ophthalmologic examinations.

Skin biopsy of a cutaneous papule revealed dermis with periadnexal and perivascular plasma-cell-rich inflammatory infiltrate. Immunostain for *Treponema* further revealed helically coiled spirochetes present diffusely in the dermis (**Figure 3**).

A Venereal Disease Research Laboratory test (titres of 1:64) and *Treponema pallidum* hemagglutination assay were positive (titres of 1:80). Cerebrospinal fluid analysis was normal. However, tympanometry revealed a type C tympanogram with minimal sensorineural hearing loss in the right ear.

Based on these findings and the patient's history, otosyphilis accompanying secondary syphilis was suspected. Owing to the unavailability of crystalline penicillin and procaine penicillin, intravenous ceftriaxone 2 g daily was administered for 14 days, which led to clearance of the mucocutaneous lesions and improvement in the tinnitus. A subsequent audiogram showed improvement in his hearing loss after 3 months.

DIFFERENTIAL DIAGNOSIS

The differential diagnosis for palmoplantar lesions of secondary syphilis includes palmoplantar psoriasis and pustulosis, palmoplantar eczema, keratoderma blennorrhagicum, tinea manuum, and pityriasis rosea. The presence of a peripheral rim of scale (Biett sign, Biett collarette) and pain caused by blunt pressure on these lesions (Buschke-Ollendorff sign) helps differentiate them from other conditions. Biett collarette may also be seen with lesions of porokeratosis and pityriasis rosea when they occur on the palms. However, porokeratosis generally causes scales that are rough and uneven, and pityriasis rosea produces multiple fine scales with an undefined direction.¹ Palmoplantar lesions may have a configuration resembling erythema multiforme² and rarely present as interdigital papules (condyloma lata) or erosions.³

Incidence rates of syphilis are known to be substantially higher in the HIV-positive population,⁴ and the secondary incubation period of syphilis is usually shortened in these patients. However, in our patient, manifestations of secondary syphilis were delayed by 9 months after exposure.

Incidence rates of otosyphilis in the HIV population are not well known. In a recent case series of 12 patients with otosyphilis, 8 (67%) were positive for HIV.⁵

Otosyphilis is often a presumptive diagnosis based on positive serology in patients with cochleovestibular symptoms with no other likely causes. It may present differently

REFERENCES

- Tognetti L, Sbano P, Fimiani M, Rubegni P. Dermoscopy of Biett's sign and differential diagnosis with annular maculo-papular rashes with scaling. Indian J Dermatol Venereol Leprol 2017; 83(2):270–273. doi:10.4103/0378-6323.196318
- Bhate C, Tajirian AL, Kapila R, Lambert WC, Schwartz RA. Secondary syphilis resembling erythema multiforme. Int J Dermatol 2010; 49(11):1321–1324.
 - doi:10.1111/j.1365-4632.2009.04390.x
- Rubins S, Esteves Morete A, Rubins A, Janniger CK, Schwartz RA. Interdigital syphilis: a rare form of secondary syphilis. Int J STD AIDS 2019; 30(14):1440–1442. doi:10.1177/0956462419853763

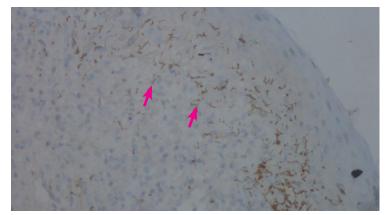


Figure 3. Immunostain for *Treponema* showed helically coiled spirochetes, stained brown (red arrows), scattered throughout the dermis (magnification × 40).

depending on the stage of syphilis. Sensorineural hearing loss (often bilateral and rapidly progressing) and tinnitus are the most common complaints in secondary syphilis. The presence of cerebrospinal fluid findings with otosyphilis ranges from 5.6% to 67%.^{5,6}

The presentation of secondary syphilis is highly variable, including its dermatologic manifestations, earning it the name of the "great masquerader." However, the presence of copper-colored patches and plaques on the palms and soles necessitates that syphilis be ruled out before considering other diagnoses.

It is imperative to ask any patient with syphilis about symptoms of ocular, otologic, and central nervous system involvement and to evaluate accordingly, thus saving the patient from considerable morbidity.

In our patient, manifestations of secondary syphilis were delayed by 9 months after exposure

DISCLOSURES

The authors report no relevant financial relationships which, in the context of their contributions, could be perceived as a potential conflict of interest.

- Forrestel AK, Kovarik CL, Katz KA. Sexually acquired syphilis: historical aspects, microbiology, epidemiology, and clinical manifestations. J Am Acad Dermatol 2020; 82(1):1–14. doi:10.1016/j. jaad.2019.02.073
- Theeuwen H, Whipple M, Litvack JR. Otosyphilis: resurgence of an old disease. Laryngoscope 2019; 129(7):1680–1684. doi:10.1002/ lary.27635
- Yimtae K, Srirompotong S, Lertsukprasert K. Otosyphilis: a review of 85 cases. Otolaryngol Head Neck Surg 2007; 136(1):67–71. doi:10.1016/j.otohns.2006.08.026

Address: Rahul Mahajan, MD, Department of Dermatology, Venereology, and Leprology, Postgraduate Institute of Medical Education and Research, Sector 12, Chandigarh 160012 India; drrahulpgi@yahoo.com

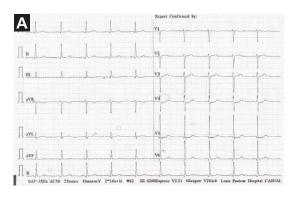
THE CLINICAL PICTURE

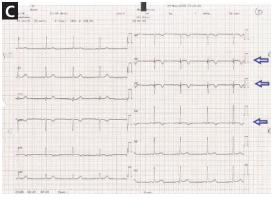
Rene Jansen van Rensburg, MBChB, MD Department of Medicine, Division of Cardiology, Steve Biko Academic Hospital and University of Pretoria, Pretoria, South Africa

Jason Schutte, MBChB

Department of Medicine, Division of Cardiology, Steve Biko Academic Hospital and University of Pretoria, Pretoria, South Africa Thomas de Beenhouwer, MD Department of Medicine, Division of Cardiology, Steve Biko Academic Hospital and University of Pretoria, Pretoria, South Africa

Chest pain: The importance of serial ECGs





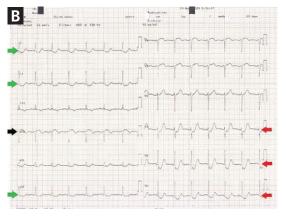


Figure 1. (A) The electrocardiogram (ECG) at presentation showed subtle ST-segment depression in precordial leads V_4 and V_5 . (B) A second ECG 10 minutes later showed features corresponding to the de Winter pattern: up-sloping ST depression with tall symmetrical T waves in precordial leads V_4 to V_6 (red arrows), down-sloping ST depression in the inferior leads (green arrows), and ST elevation in aVR (black arrow). (C) An ECG taken 10 minutes after the ECG in panel B showed a pattern resembling type B Wellens syndrome, with symmetrical inverted T waves and preservation of R waves in precordial leads V_2 to V_4 (arrows).

A 44-YEAR-OLD MAN, previously well, presented to the emergency department with severe hypertension and a 4-hour history of typical angina-like chest pain with associated diaphoresis. He had dyslipidemia, a 5-pack-year history of smoking, and, likely, undiagnosed hypertension.

On arrival, his blood pressure was 200/110 mm Hg, representing a hypertensive emergency. A clinical examination was unremarkable. A blood sample was sent for troponin analysis, and a nitroglycerin infusion was started.

doi:10.3949/ccjm.88a.20183

An initial ECG showed minimal ST-segment changes in the precordial leads (Figure 1a). However, the patient's typical chest pain prompted a second ECG (Figure 1b) 10 minutes later, which showed features corresponding to a de Winter ECG pattern including the following features:

- Up-sloping ST-segment depression at the J point, with tall symmetrical T waves in precordial leads V_4 to V_6
- Down-sloping ST-segment depression in the inferior leads
- ST-segment elevation in aVR. The de Winter ECG pattern is highly

predictive of acute proximal left anterior descending artery (LAD) occlusion.¹

A third ECG (Figure 1c) taken 10 minutes after the second ECG showed a pattern resembling that in type B Wellens syndrome, ie. symmetrical inverted T waves with preservation of R waves in precordial leads V_2 to V_4 . Wellens syndrome is also associated with transient proximal LAD occlusion or critical LAD stenosis.² Our patient likely experienced reperfusion after the ECG that showed the de Winter pattern.

Taken together, the serial ECGs demonstrated progression seen during acute myocardial infarction.

HYPERTENSIVE EMERGENCY AND ECG PATTERNS

A hypertensive emergency may present with T-wave inversion, ST-segment displacement, or even asymmetrical tall T waves, indicative of cardiac injury that necessitates prompt intervention. Hypertensive emergency causes a sudden increase in afterload, which increases myocardial oxygen demand and workload, resulting in myocardial ischemia.

Changes on ECG related to hypertensive emergency usually revert to baseline once the blood pressure is controlled. In hypertensive crisis with associated chest pain, it is important to look for ischemic triggers and actively exclude target-organ damage. Serial ECGs can help identify myocardial ischemia and monitor response to blood pressure treatment.³

The de Winter syndrome

The de Winter syndrome is reported in 2% of patients with anterior myocardial infarction and should not be missed.¹ In initial reports in the literature, the ECG changes noted in de Winter syndrome were static and did not progress to ST-segment elevation. However, the evolution of the de Winter ECG pattern to an ST-segment elevation myocardial infarction (STEMI) pattern has been well documented.⁴ The electrophysiologic mechanism to explain the absence of ST elevation remains unclear, and multiple hypotheses have been postulated.^{1,5,6}

Wellens syndrome

Wellens syndrome is associated with a critical stenosis of the proximal LAD. It is classified as type A or type B. Type A is characterized

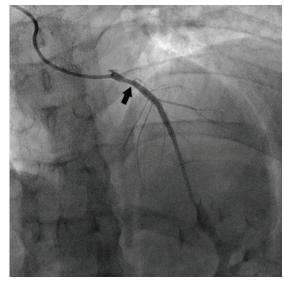


Figure 2. Coronary angiography confirmed significant stenosis of the proximal left anterior descending artery (arrow).

by biphasic T waves in precordial leads V_2 to V_3 . Type B is classified by deep symmetrical T waves in the anterior precordial leads.²

The role of serial electrocardiography

The ECGs in our patient highlight the importance of serial ECGs in a patient presenting with ongoing chest pain and a normal or inconclusive initial ECG. They demonstrate the serial ECGs progression to 2 high-risk ECG patterns hinting at critical coronary stenosis or occlusion, often referred to as STEMI-equivalents. Mea- progression surement of troponins is of the utmost impor- seen during tance in the diagnosis of myocardial infarction, but not in STEMI. Awaiting a troponin result in this patient would have led to a costly myocardial delay of urgent revascularization.⁷

MANAGEMENT

Current American Heart Association/American College of Cardiology and European Society of Cardiology guidelines do not specifically address the management of acute coronary syndrome in patients with the de Winter ECG pattern. They do however suggest percutaneous coronary intervention in patients with possible ongoing myocardial ischemia and an early invasive strategy in high-risk patients.^{8–10} The proximal LAD occlusion associated with this ECG pattern means it can be treated as an STEMI-equivalent.

Taken together, demonstrated acute infarction

Good reperfusion success rates have been reported with initial thrombolytic therapy.^{4,11} In a setting with limited resources or during the current pandemic, when access to many procedures may be limited, initial thrombolytic therapy coupled with early angiography (within 2 to 24 hours) as part of a pharmacoinvasive approach should be considered in patients with de Winter ECG pattern.

OUR PATIENT'S TREATMENT

The patient received guideline-directed medical therapy.¹⁰ In STEMI, a presenting blood pressure of 200/110 mm Hg is a relative contraindication to thrombolytic therapy, but he responded well to nitroglycerin infusion.⁷ His initial troponin I level was 230 ng/L (rulein value for acute coronary syndrome > 300 ng/L) and went up to 14,139 ng/L.

He underwent urgent coronary angiography, which confirmed critical stenosis of the proximal LAD (Figure 2). A drug-eluting stent was placed. He was discharged 2 days later on dual antiplatelet therapy (lifelong aspirin and 12 months of clopidogrel) and lifelong atorvastatin, enalapril, and atenolol.

REFERENCES

- de Winter RJ, Verouden NJ, Wellens HJ, Wilde AA; Interventional Cardiology Group of the Academic Medical Center. A new ECG sign of proximal LAD occlusion. N Engl J Med 2008; 359(19):2071–2073. doi:10.1056/NEJMc0804737
- de Zwaan C, Bär FW, Wellens HJ. Characteristic electrocardiographic pattern indicating a critical stenosis high in left anterior descending coronary artery in patients admitted because of impending myocardial infarction. Am Heart J 1982; 103(4 Pt 2):730–736. doi:10.1016/0002-8703(82)90480-x
- Farha KA, van Vliet A, van Marle S, Vrijlandt P, Westenbrink D. Hypertensive crisis-induced electrocardiographic changes: a case series. J Med Case Rep 2009;3:7283. doi:10.4076/1752-1947-3-7283
- John TJ, Pecoraro A, Weich H, Joubert L, Griffiths B, Herbst P. The de Winter's pattern revisited: a case series. Eur Heart J Case Rep 2020; 4(6):1–5. doi:10.1093/ehjcr/ytaa402
- Birnbaum Y, Wilson JM, Fiol M, de Luna AB, Eskola M, Nikus K. ECG diagnosis and classification of acute coronary syndromes. Ann Noninvasive Electrocardiol 2014; 19(1):4–14. doi:10.1111/anec.12130
- Li RA, Leppo M, Miki T, Seino S, Marbán E. Molecular basis of electrocardiographic ST-segment elevation. Circ Res 2000; 87(10):837– 839. doi:10.1161/01.res.87.10.837
- Ibanez B, James S, Agewall S, et al. 2017 ESC guidelines for the management of acute myocardial infarction in patients presenting with ST-segment elevation: The Task Force for the management of

TAKEAWAYS

- The de Winter and the Wellens ECG patterns carry a life-threatening prognosis, yet they are underrecognized by clinicians. Awareness of these high-risk patterns and STEMI-equivalents can lead to earlier diagnosis and treatment, which may improve clinical outcomes and prognosis.
- Serial ECGs can help identify dynamic ECG changes when the initial ECG is normal, and can help diagnose life-threatening ischemia and acute coronary syndrome, allowing early intervention and prevention of complications.
- Primary percutaneous coronary intervention or initial thrombolytic therapy coupled with early angiography (within the first 2 to 24 hours) as part of a pharmacoinvasive approach should be initiated as soon as possible when a patient presents with a de Winter pattern on ECG.

DISCLOSURES

The authors report no relevant financial relationships which, in the context of their contributions, could be perceived as a potential conflict of interest.

acute myocardial infarction in patients presenting with ST-segment elevation of the European Society of Cardiology (ESC). Eur Heart J 2018; 39(2):119–177. doi:10.1093/eurheartj/ehx393

- Amsterdam EA, Wenger NK, Brindis RG, et al. 2014 AHA/ACC guideline for the management of patients with non-ST-elevation acute coronary syndromes: a report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines. Circulation 2014; 130(25):e344–e426. doi:10.1161/CIR.000000000001344
- Collet JP, Thiele H, Barbato E, et al. 2020 ESC guidelines for the management of acute coronary syndromes in patients presenting without persistent ST-segment elevation. Eur Heart J 2021; 42(14):1289–1367. doi:10.1093/eurheartj/ehaa575
- O'Gara PT, Kushner FG, Ascheim DD, et al. 2013 ACCF/AHA guideline for the management of ST-elevation myocardial infarction: a report of the American College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines. J Am Coll Cardiol 2013; 61(4):e78–e140. doi:10.1016/j.jacc.2012.11.019
- Xu W, Xu L, Peng J, Huang S. Thrombolytic therapy in a patient with chest pain with de Winter ECG pattern occurred after ST-segment elevation: A case report. J Electrocardiol 2019; 56:4–6. doi:10.1016/j.jelectrocard.2019.06.010

Address: Rene Jansen van Rensburg, MBChB, MD, Department of Medicine, Division of Cardiology, Steve Biko Academic Hospital and University of Pretoria, PO Box 95276, Waterkloof, Pretoria, South Africa 0145; renejvr1@gmail.com Sherif Beniameen Mossad, MD, FACP, FIDSA, FAST Department of Infectious Diseases, Respiratory Institute, and Transplant Center, Cleveland Clinic; Professor of Medicine, Cleveland Clinic Lerner College of Medicine of Case Western Reserve University, Cleveland, OH

Fungus among us: A poster child for diagnostic stewardship

In this issue of *Cleveland Clinic Journal of* Medicine, El-Baba et al address the clinical significance of Aspergillus species isolated from respiratory cultures.¹ The authors elegantly and succinctly summarize the clinical classification and diagnostic approach to Aspergillusrelated lung disease.

See related article, page 543

Interpretation of diagnostic studies, including microbiologic tests, should always be predicated on the clinical indication for testing.² So before interpreting the clinical significance of isolation of *Aspergillus* species from respiratory cultures, we should first ask whether the culture was clinically indicated, or whether this was an incidental finding.

Aspergillus species are ubiquitous in the water environments of the home and of healthcare facilities.³ Therefore, while Aspergillus species can cause several forms of lung disease, some of which are life-threatening, incidental growth of this organism should be expected due to contamination or colonization. Contamination refers to the transient presence of this organism in the airways without causing illness, or its accidental addition to inanimate objects in the process of collection, transport, or processing in the laboratory. Colonization refers to the persistent presence of the organism in the airways, again without causing illness, but it can be one step away from resulting in clinical disease. These concepts apply to other human organ systems, including the skin and the urinary tract.⁴

EVOLVING DEFINITIONS OF FUNGAL INFECTIONS

Clinicians have struggled to define fungal infections at the bedside for several decades. The first international consensus defining opportunistic invasive fungal infections in immunocompromised patients with cancer and hematopoietic stem cell transplants was published in 2002.⁵ Diagnostic and management approaches to invasive fungal infections evolved rapidly over the last 2 decades, necessitating consecutive updates in 2008⁶ and 2020.⁷

These consensus definitions were intended to harmonize research studies but nevertheless have been widely adopted for clinical practice. With each update, the definition of the "probable" category expanded, while the scope of the category "possible" was contracted. The International Society for Heart and Lung Transplantation published its own standardized definitions pertaining to lung and heart transplant recipients.⁸

NEEDED: DIAGNOSTIC STEWARDSHIP

El-Baba et al¹ describe the diagnostic accuracy on the clin of the available imaging and laboratory tests, their limitations, and the risks associated with invasive bronchoscopic and surgical procedures necessary for histopathologic confirmation.

Our antifungal drug options are limited, and most agents have significant adverse effects and drug interactions and are expensive, further complicating management decisions. Practice guidelines by the Infectious Diseases Society of America,⁹ the American Society of Transplantation,¹⁰ and the American Society of Transplantation and Cellular Therapy¹¹ provide excellent guidance in these patient populations.

Interpretation of diagnostic studies, including microbiologic tests, should always be predicated on the clinical indication for testing If all patients in whom *Aspergillus* species grow from respiratory cultures were to be treated, the risks would outweigh the benefits. In making these decisions, clinicians should apply the principles of diagnostic stewardship² before applying the principles of antimicrobial stewardship.¹²

REFERENCES

- El-Baba F, Watza D, Soubani AO. Is Aspergillus isolated from respiratory cultures clinically significant? Cleve Clin J Med 2021; 86(0):543– 546. doi:10.3949/ccjm.88a.20188
- Miller JM, Binnicker MJ, Campbell S, et al. A guide to utilization of the microbiology laboratory for diagnosis of infectious diseases: 2018 Update by the Infectious Diseases Society of America and the American Society for Microbiology. Clin Infect Dis 2018; 67(6):e1– e94. doi:10.1093/cid/ciy381
- Richardson M, Rautemaa-Richardson R. Exposure to Aspergillus in home and healthcare facilities' water environments: focus on biofilms. Microorganisms 2019; 7(1):7. doi:10.3390/microorganisms7010007
- Dani A. Colonization and infection. Cent European J Urol 2014; 67(1):86–87. doi:10.5173/ceju.2014.01.art19
- Ascioglu S, Rex JH, de Pauw B, et al; Invasive Fungal Infections Cooperative Group of the European Organization for Research and Treatment of Cancer and Mycoses Study Group of the National Institute of Allergy and Infectious Diseases. Defining opportunistic invasive fungal infections in immunocompromised patients with cancer and hematopoietic stem cell transplants: an international consensus. Clin Infect Dis 2002; 34(1):7–14. doi:10.1086/323335
- 6. De Pauw B, Walsh TJ, Donnelly JP, et al; European Organization for Research and Treatment of Cancer/Invasive Fungal Infections Cooperative Group; National Institute of Allergy and Infectious Diseases Mycoses Study Group (EORTC/MSG) Consensus Group. Revised definitions of invasive fungal disease from the European Organization for Research and Treatment of Cancer/Invasive Fungal Infections Cooperative Group and the National Institute of Allergy and Infectious Diseases Mycoses Study Group (EORTC/MSG) Consensus Group. Clin Infect Dis 2008; 46(12):1813–1821. doi:10.1086/588660
- 7. Donnelly JP, Chen SC, Kauffman CA, et al. Revision and update

El-Baba et al provide a clinically driven, systematic approach to applying these principles.

DISCLOSURES

The author reports no relevant financial relationships which, in the context of his contributions, could be perceived as a potential conflict of interest.

of the consensus definitions of invasive fungal disease from the European Organization for Research and Treatment of Cancer and the Mycoses Study Group Education and Research Consortium. Clin Infect Dis 2020; 71(6):1367–1376. doi:10.1093/cid/ciz1008

- Husain S, Mooney ML, Danziger-Isakov L, et al; ISHLT Infectious Diseases Council Working Group on Definitions. A 2010 working formulation for the standardization of definitions of infections in cardiothoracic transplant recipients. J Heart Lung Transplant 2011; 30(4):361–374. doi:10.1016/j.healun.2011.01.701
- Patterson TF, Thompson GR III, Denning DW, et al. Practice guidelines for the diagnosis and management of aspergillosis: 2016 update by the Infectious Diseases Society of America. Clin Infect Dis 2016; 63(4):e1–e60. doi:10.1093/cid/ciw326
- Husain, S, Camargo, JF; on behalf of the AST Infectious Diseases Community of Practice. Invasive aspergillosis in solid-organ transplant recipients: guidelines from the American Society of Transplantation Infectious Diseases Community of Practice. Clin Transplant 2019; 33:e13544. doi:10.1111/ctr.13544
- Sanjeet S, Dadwal, Tobias M, et al. American Society of Transplantation and Cellular Therapy Series. 2: Management and prevention of aspergillosis in hematopoietic cell transplantation recipients. Transplantation and Cellular Therapy 2021; 27(3):201–211. doi:10.1016/j.jtct.2020.10.003
- Dellit TH, Owens RC, McGowan JE, et al. Infectious Diseases Society of America and the Society for Healthcare Epidemiology of America guidelines for developing an institutional program to enhance antimicrobial stewardship. Clin Infect Dis 2007; 44(2):159– 177. doi:10.1086/510393

Address: Sherif Beniameen Mossad, MD, FACP, FIDSA, FAST, Department of Infectious Diseases, G21, Cleveland Clinic, 9500 Euclid Avenue, Cleveland, OH 44195; mossads@ccf.org

1-MINUTE CONSULT

Firas El-Baba, MD

Division of Pulmonary, Critical Care and Sleep Medicine, Wayne State University School of Medicine, Detroit, MI

Donovan Watza, PhD

Division of Pulmonary, Critical Care and Sleep Medicine, Wayne State University School of Medicine, Detroit, MI

Ayman O. Soubani, MD

Professor of Medicine, Wayne State University School of Medicine; Medical Director, Medical ICU, Harper University Hospital; Service Chief, Pulmonary and Critical Care, Karmanos Cancer Center; Medical Director, Critical Care Service, Karmanos Cancer Center, Detroit, MI



Q: Is Aspergillus isolated from respiratory cultures clinically significant?

A: It depends on the patient's symptoms, underlying lung condition, immune status, and radiologic findings.

Because Aspergillus is ubiquitous, many patients have false-positive findings on respiratory culture and need no additional workup or treatment. But positive respiratory cultures may also indicate underlying serious lung disease. A thorough history to detect symptoms, underlying chronic lung disease, or an immunocompromising state followed by targeted laboratory tests and radiologic evaluation are adequate to ascertain the significance of this finding in the vast majority of patients.

See Editorial page 541

THREE MAJOR GROUPS OF DISEASE

Aspergillus is an environmentally ubiquitous and easily aerosolized mold encountered through daily exposure.¹ Broadly, Aspergillusrelated lung diseases can be categorized into 3 major groups (Figure 1).

Allergic bronchopulmonary aspergillosis (ABPA) is an inflammatory lung condition caused by hypersensitivity reaction to *Aspergillus* antigens that almost exclusively occurs in patients with asthma or cystic fibrosis.² Allergic reactions that do not fulfill the criteria for ABPA include *Aspergillus* sensitization and severe asthma with fungal sensitization.

Invasive pulmonary aspergillosis (IPA). IPA, unlike ABPA and chronic aspergillosis, is a severe, life-threatening, and often systemic disease process caused by *Aspergillus* species invading blood vessels, classically presenting in severely immunocompromised hosts and critically ill patients.³ A rare form of IPA is invasive *Aspergillus* tracheobronchitis.

doi:10.3949/ccjm.88a.20188

Chronic pulmonary aspergillosis is an umbrella term for a spectrum of disease patterns typically occurring in immunocompetent hosts with underlying lung diseases such as tuberculosis, chronic obstructive pulmonary disease, sarcoidosis, lung cancer, and lung radiation exposure and presenting with cavitary lesions that may progress slowly over time.⁴

WHEN IS A POSITIVE CULTURE CLINICALLY SIGNIFICANT?

Aspergillus infections, most commonly with A *fumigatus* and A *flavus*, account for approximately 15,000 hospitalizations and an estimated \$1.2 billion in hospital costs annually across the United States.⁵ Therefore, it is not uncommon for physicians to encounter an *Aspergillus*-positive respiratory culture in the clinical setting. This begets the question, Is the finding clinically significant?

In an adult patient without significant medical history, isolation of Aspergillus species in respiratory culture is likely a false-positive finding due to contamination or colonization of the respiratory flora by these ubiquitous fungal organisms. In hospitalized patients who undergo routine respiratory cultures, 80% to 90% of those with positive Aspergillus findings do not have significant aspergillosis lung disease.^{6,7} Even in patients with proven Aspergillus pulmonary infection, respiratory cultures are positive in 20% to 50% of patients, and as such the isolation of Aspergillus in respiratory cultures is neither sensitive nor specific in the diagnosis of most fungal respiratory infections and is not an integral part of the diagnostic criteria for Aspergillus-related lung diseases.8 Under these circumstances, in a patient who has no underlying lung disease and no immunocompromised state, we recommend obserBecause Aspergillus is ubiquitous, many patients will have false-positive findings on respiratory culture

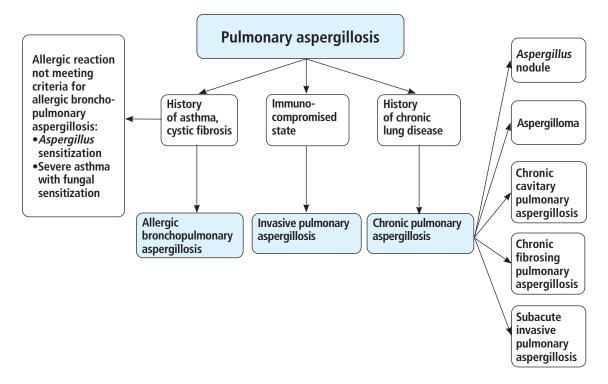


Figure 1. Pulmonary aspergillosis types, based on the patient's medical history.

vation and no further diagnostic or therapeutic intervention.

On the other hand, in a patient with respiratory symptoms, critical illness, underlying chronic lung disease, or an immunocompromising condition, detection of *Aspergillus* in respiratory culture may indicate underlying *Aspergillus* lung disease.³ In these situations, we recommend additional workup, and if the *Aspergillus* is proven to be the causative agent, then appropriate treatment should be started.

THE HISTORY AND PHYSICAL

It is imperative to assess the patient's history to quickly identify risk factors for pulmonary aspergillosis. We recommend first obtaining a thorough history and physical examination for all patients.

Key factors to consider include symptoms such as hemoptysis, chest pain, fever, and recent respiratory illness. Carefully assess for underlying chronic lung conditions including asthma, cystic fibrosis, chronic obstructive pulmonary disease, tuberculosis, lung surgery, radiation, pneumoconiosis, or sarcoidosis. In addition, a thorough evaluation should be done for conditions that may affect the immune system including leukemia, hematopoietic stem cell or solid-organ transplant, immunosuppressive therapy, and chronic corticosteroid therapy.^{3,5–10} In immunocompromised patients who present with sepsis and demonstrate tachypnea, tachycardia, fever, hypotension, and hypoxia, IPA should be considered, and rapid identification and treatment of the causative agent are crucial, as the mortality rate is high.

LABORATORY TESTS AND IMAGING

In patients with clinical presentations suggestive of aspergillosis, we suggest pairing a basic laboratory assessment (ie, a complete blood cell count) with radiographic imaging. Initial laboratory findings may narrow the differential diagnosis by identifying eosinophilia, which suggests ABPA, or severe neutropenia, which suggests IPA.

For imaging, we recommend high-resolution computed tomography (CT) of the chest rather than chest radiography to evaluate for *Aspergillus*-related lung disease, as it has superior ability to identify nodules, consolidation, cavitary lesions, and bronchiectasis. The finding of a cavitary lesion with or without intra-

Invasive pulmonary aspergillosis is a severe, life-threatening, and often systemic disease process

EL-BABA AND COLLEAGUES

cavitary radiopacity suggests chronic aspergillosis, whereas the "halo" sign or "air crescent" sign suggests IPA (**Figure 2**), and bronchiectasis is seen in patients with ABPA.¹¹ In evaluating chest CT findings, it is always useful to compare against previous imaging results and to consider other conditions that may coexist with positive *Aspergillus* in the respiratory sample.

Galactomannan and beta-D-glucan

In patients with risk factors and suspicious imaging findings, we recommend next testing for the serologic markers galactomannan and beta-D-glucan.

The specificity and sensitivity of these tests in the diagnosis of IPA depend on the host and cutoff value. When a cutoff assay index of 0.5 is used, the combined sensitivity for serum galactomannan has been calculated as 74% (95% confidence interval [CI] 64–82) and its sensitivity as 85% (95% CI 77–90). Serum beta-D-glucan had a sensitivity of 81% (95% CI 73–87) and specificity of 61% (95% CI 46–75).¹⁰

The detection of galactomannan in bronchoalveolar lavage fluid is more sensitive and specific in the diagnosis of IPA, with a combined sensitivity of 79% (95% CI 65–88) and specificity of 84% (95% CI 74–91). The procedure is relatively safe and should be considered in patients who have risk factors or have significant radiologic findings that suggest Aspergillus lung disease.

If the clinical or radiologic picture suggests ABPA, measuring serum total and *Aspergillus*-specific immunoglobulin E levels is needed to confirm the diagnosis.

Biopsy is the gold standard but rarely needed

The gold standard for diagnosis of most cases of Aspergillus-related lung disease is surgical biopsy and histopathologic confirmation. Unfortunately, biopsy often cannot be done owing to concomitant pulmonary comorbidities, severe immunocompromise, or critical illness with respiratory failure. Innovations in bronchoscopic procedures for microbiologic and pathologic samples, coupled with advances in radiology and Aspergillus biomarkers, have significantly reduced the need for surgical lung biopsy in these patients.

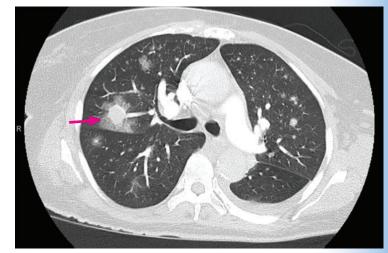


Figure 2. Computed tomography shows multiple pulmonary nodules, some surrounded by ground-glass changes consistent with the "halo" sign (arrow) in a patient with invasive pulmonary aspergillosis.

MANAGEMENT

Management depends on the *Aspergillus*-related diagnosis and the patient's clinical status. When considering conditions such as ABPA or chronic aspergillosis, we suggest waiting until the diagnosis is confirmed before initiating treatment.

However, IPA is more rapidly progressive and has a high mortality rate. Therefore, if clinical suspicion is high, therapy should not be delayed for the establishment of the diagnosis of proven or probable disease. In these situations, we suggest starting empiric therapy with a triazole agent while waiting for the results of cultures and biomarkers.

ALWAYS CONSIDER THE CLINICAL PICTURE

Due to the ubiquity of *Aspergillus*, many patients have false-positive findings on respiratory culture and require no additional workup or treatment. However, *Aspergillus*-positive respiratory cultures may be an indication of underlying serious *Aspergillus* lung disease. A thorough history to detect symptoms, underlying chronic lung disease, or immunocompromising state, followed by targeted laboratory tests and radiologic evaluation, is adequate to ascertain the significance of this finding in most patients.

DISCLOSURES

The authors report no relevant financial relationships which, in the context of their contributions, could be perceived as a potential conflict of interest.

REFERENCES

- 1. Hospenthal DR, Kwon-Chung KJ, Bennett JE. Concentrations of airborne Aspergillus compared to the incidence of invasive aspergillosis: lack of correlation. Med Mycol 1998; 36(3):165-168. pmid:9776829
- 2. El-Baba F, Gao Y, Soubani AO. Pulmonary aspergillosis: what the generalist needs to know. Am J Med 2020; 133(6):668-674. doi:10.1016/i.amimed.2020.02.025
- 3. Manuel RJ, Kibbler CC. The epidemiology and prevention of invasive aspergillosis. J Hosp Infect 1998; 39(2):95-109. doi:10.1016/s0195-6701(98)90323-1
- 4. Smith NL, Denning DW. Underlying conditions in chronic pulmonary aspergillosis including simple aspergilloma. Eur Respir J 2011; 37(4):865-872. doi:10.1183/09031936.00054810
- 5. Benedict K, Jackson BR, Chiller T, Beer KD. Estimation of direct healthcare costs of fungal diseases in the United States. Clin Infect Dis 2019; 68(11):1791-1797. doi:10.1093/cid/ciy776
- 6. Soubani AO, Khanchandani G, Ahmed HP. Clinical significance of lower respiratory tract Aspergillus culture in elderly hospitalized patients. Eur J Clin Microbiol Infect Dis 2004; 23(6):491-494. doi:10.1007/s10096-004-1137-1
- 7. Treger TR, Visscher DW, Bartlett MS, Smith JW. Diagnosis of pulmo-

nary infection caused by Aspergillus: usefulness of respiratory cultures. J Infect Dis 1985; 152(3):572-576. doi:10.1093/infdis/152.3.572

- 8. Fayemiwo S, Moore CB, Foden P, Denning DW, Richardson MD. Comparative performance of Aspergillus galactomannan ELISA and PCR in sputum from patients with ABPA and CPA. J Microbiol Methods 2017; 140:32-39. doi:10.1016/j.mimet.2017.06.016
- 9. Haydour Q, Hage CA, Carmona EM, et al. Diagnosis of fungal infections, a systematic review and meta-analysis supporting American Thoracic Society Practice guideline. Ann Am Thorac Soc 2019; 16(9):1179-1188. doi:10.1513/AnnalsATS.201811-766OC
- 10. Hage CA, Carmona EM, Epelbaum O, et al. Microbiological laboratory testing in the diagnosis of fungal infections in pulmonary and critical care practice. An official American Thoracic Society Clinical Practice guideline. Am J Respir Crit Care Med 2019; 200(5):535-550. doi:10.1164/rccm.201906-1185ST
- 11. Prasad A, Agarwal K, Deepak D, Atwal SS. Pulmonary aspergillosis: what CT can offer before it is too late! J Clin Diagn Res 2016; 10(4):TE01-TE5. doi:10.7860/JCDR/2016/17141.7684

Address: Ayman O. Soubani, MD, Critical Care Service, Karmanos Cancer Center, 3990 John R Street, 3 Hudson, Detroit, MI 48201; asoubani@med.wayne.edu



CME SOIN THE COMMENTY Want to make sure you are updated on medical education that is available to you?

Need to earn continuing education credits?

Join our CME Community!

By becoming a part of the Cleveland Clinic Center for Continuing Education CME Community, you will always be on the cutting edge of educational opportunities available.

SIGN UP TODAY! CCFCME.ORG/CMECOMMUNITY

Lauren Anne Buehler, MD, MPH Endocrinologist, Conway Medical Center, Conway, SC Dawn Noe, RDN, LD, CDCES Registered Dietitian Nutritionist, Dawn Noe Nutrition and Consulting, Cleveland, OH Shannon Knapp, BSN, RN, CDCES

Manager of Diabetes Care and Education, Endocrinology and Metabolism Institute, Cleveland Clinic, Cleveland, OH

Diana Isaacs, Pharm D, CDCES Pharmacy Clinical Specialist, Continuous Glucose Monitor and Remote Monitoring Program Coordinator, Endocrinology and Metabolism Institute. Cleveland Clinic, Cleveland, OH

Kevin M. Pantalone, DO, ECNU, FACE Staff Endocrinologist, Director of Diabetes Initiatives, Endocrinology and Metabolism Institute, Cleveland Clinic, Cleveland, OH

Ketogenic diets in the management of type 1 diabetes: Safe or safety concern?

ABSTRACT

The jury is still out on whether a low-carbohydrate, ketosis-inducing diet is an effective and safe adjunctive therapy to insulin in type 1 diabetes. The limited published literature reports an association with weight loss and improved glycemic control and may, over the longterm, lead to reduced macrovascular and microvascular harm. However, the attendant increased risk of dyslipidemia, diabetic ketoacidosis, and hypoglycemia warrant caution, close monitoring of patients who embark on the diet, and further research.

KEY POINTS

Ketogenic diets are high in fat, moderate in protein, and low in carbohydrate; they should be well formulated for maximal nutritional benefit and well-being.

Ketogenic diets have been reported to improve hemoglobin A1c and glycemic variability in patients with type 1 diabetes and may improve biochemical and physical markers of cardiovascular risk.

Key safety concerns include the risk of dyslipidemia, diabetic ketoacidosis, and hypoglycemia.

Insulin therapy usually requires adjustment when starting a ketogenic diet, and patients should be closely monitored.

Sodium-glucose cotransporter 2 inhibitors should be discontinued when following a ketosis-inducing diet, but metformin is considered safe. Glucagon-like peptide 1 receptor agonists can be continued with close monitoring.

doi:10.3949/ccjm.88a.20121

A 31-YEAR-OLD MAN WITH A 14-YEAR HISTORY of type 1 diabetes presented for routine follow-up. He had been on hybrid closedloop insulin pump therapy for 6 months. Before using this system, he used multiple daily injections of insulin, with hemoglobin A1c (HbA1c) levels ranging from 7.5% to 9.0% (target range < 7%). Glycemic control improved on insulin pump therapy but was still subpar (HbA1c 7.7%) and highly variable. He self-initiated a very-low-carbohydrate, ketosisinducing diet (< 30 g of carbohydrates per day), self-adjusted his insulin pump settings, and subsequently reported that his glucose control improved with minimal hypoglycemia.

His HbA1c was 5.7%, and he weighed 18 lbs less than at his previous visit (pre-diet body mass index [BMI] 30.4 kg/m²). Glucose levels were reported as within the desired range (3.9–10.0 mmol/L [70–180 mg/dL]) 97% of the time, with very few boluses of insulin required. The patient inquired if this dieting program was safe in patients with type 1 diabetes.

KETOGENIC DIET AND DIABETES TYPE

Ketogenic diets have risen in popularity in recent years as a strategy for weight loss and treatment of a variety of diseases. For patients with type 2 diabetes mellitus, the diet can lead to clinical improvement, including better glycemic control, lower cholesterol, and weight reduction.^{1–3}

The diet is also becoming popular among patients with type 1 diabetes, but its clinical impact remains unclear, as much of the literature consists of retrospective case reports and series. The subject has not been well investigated, likely because of concern about inducing ketoacidosis and hypoglycemia in patients already at high risk for these complications.

This article reviews potential risks and benefits of a ketogenic diet for managing type 1 diabetes based on available evidence.

KETOGENIC DIET PARAMETERS

Ketogenic diets are generally high in fat (60%-85%), moderate in protein (15%-30%), and low in carbohydrates (5%-10%).⁴ This leads to the body using fat as its principal energy source.

Total caloric needs and preferred macronutrient distribution can be calculated using one of a variety of formulas (eg, Mifflin-St. Jeor).⁵ Unfortunately, the literature of ketogenic and very-low carbohydrate diets varies in defining diet composition. Feinman et al⁶ define a verylow carbohydrate ketogenic diet as containing 20 to 50 g of carbohydrate in a 2,000 calorie diet, or less than 10% of total energy intake.

Common misconceptions about ketogenic diets are that followers can consume few vegetables and must eat excessive amounts of meat. But a well-formulated diet can incorporate a variety of protein-containing foods, including fish, cheese, and Greek-style yogurt. The diet may also include 4 or 5 servings of vegetables daily, which contain about 20 to 30 grams of carbohydrate in total; hence, the low amount of allowable carbohydrate may be obtained entirely from vegetables. Fat calories can also come from plants and fish that are on the Mediterranean diet, such as olives, olive oil, nuts, seeds, avocado, tuna, and salmon.

A long-term ketogenic diet should be designed to meet all nutritional needs. Using a hypothetical case study design, Zinn et al⁷ demonstrated that a low-carbohydrate, highfat diet (10% of calories from carbohydrate) could be formulated to be micronutrient replete. Further, nutrition counseling and attention to hydration can ensure that appropriate amounts of electrolytes such as sodium, potassium, and magnesium are achieved.

BIOCHEMISTRY OF KETOSIS

Under normal physiologic circumstances, glucose is the main substrate for glycolysis, resulting in the production of adenosine triphosphate (ATP), the body's main energy source. Under circumstances of starvation or dietary carbohydrate restriction, the body breaks down glycogen (ie, the storage form of glucose) in the liver to provide the body with glucose.

In a prolonged fasting or carbohydrate-restricted state (> 48–72 hours), liver glycogen stores become depleted. Without glucose as a substrate for ATP production, the liver breaks down triglycerides to make ketone bodies that travel to target tissues (eg, brain, muscles) and ultimately generate ATP.^{8,9} This process of ketogenesis is regulated by insulin; low carbohydrate intake leads to low insulin levels, promoting ketosis.¹⁰

MONITORING KETONES

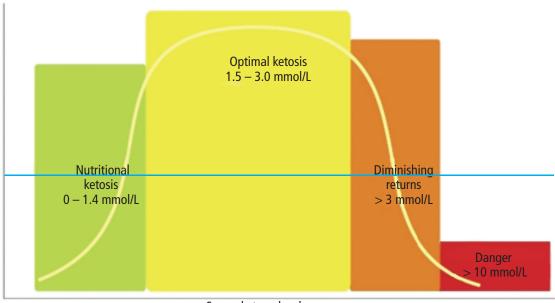
For patients with type 1 diabetes, monitoring ketones is important to identify and prevent diabetic ketoacidosis (DKA). Three types of ketone bodies, resulting from the liver metabolizing fatty acids, are measured in different ways, each with advantages and disadvantages: acetone, acetoacetic acid, and beta-hy-droxybutyrate.¹¹

Acetone is measured with a breath test. Breath analyzers are painless, convenient, and noninvasive. Although they can cost more than blood ketone meters, breath analyzers typically do not have recurring costs. However, research on the accuracy of breath analyzers is limited, and several available devices are not approved by the US Food and Drug Administration.

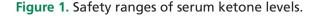
Acetoacetic acid is measured in urine. Urine ketone tests are painless, inexpensive, and noninvasive. However, they are not ideal for early detection of DKA, as results provide an average of urine ketone concentration since the last void rather than reflect current ketone levels.

Beta-hydroxybutyrate is measured in capillary blood. Blood ketone measurements provide timely identification of DKA, as they measure the current plasma concentration of beta-hydroxybutyrate, the ketone body that appears earliest in DKA. They also are more sensitive and specific than urine tests. However, blood tests are invasive, and the cost includes the initial purchase of a meter in addition to the recurring expense for disposable test strips and lancets.

Ketogenic diets are becoming popular for type 1 diabetes, but the clinical impact remains unclear



Serum ketone level



Based on data from reference 12.

DKA OR DESIRED KETOSIS?

Differentiating DKA from desired nutritional ketosis for a patient following a ketogenic diet poses a challenge when interpreting monitored test results. According to Volek and Phinney,¹² blood ketone levels ranging from 0.5 to 3.0 mmol/L are expected in nutritional ketosis, with the upper end (1.5–3.0 mmol/L) being optimal (**Figure 1**).¹² Although such levels are not high enough to indicate DKA, they can be a warning sign. As such, the clinical picture should be considered. Patients should be educated regarding symptoms of DKA, including nausea, vomiting, and difficulty breathing.

Diligent and more frequent blood glucose monitoring should be a mainstay in patients with diabetes on a ketogenic diet. Although euglycemic DKA is possible while following a ketogenic diet, blood glucose levels above 250 mg/dL may be seen and are a sign of potential DKA.

Glucose monitoring can also be helpful for preventing hypoglycemia, a potential consequence of reduced carbohydrate intake. Clinical studies indicate that a continuous glucose monitor (CGM) can be a useful tool in reducing hypoglycemia.^{13,14}

ADJUSTING DIABETES THERAPIES

Little has been published on how to adjust medications in people with type 1 diabetes who follow a ketogenic diet.

Insulin requirements change

Ranjan et al¹⁵ conducted a small, randomized crossover study of a high-carbohydrate vs lowcarbohydrate diet in patients with type 1 diabetes. All patients used an insulin pump with a CGM. Insulin pump settings were optimized in a 2- to 3-week period before the diet. The diets resulted in similar basal insulin requirements, but the total bolus dosage was lower in the low-carbohydrate diet group (defined as \leq 50g carbohydrates per day), with the total daily insulin dose reduced by 44.3%. This is similar to that observed in clinical trials in patients with type 2 diabetes starting a low-carbohydrate diet, in which insulin dosages are typically decreased by 50%.^{1,16,17} A key difference is that people with type 2 diabetes on a low-carbohydrate diet can usually completely stop bolus doses in addition to reducing basal insulin.18

In clinical practice, it is not uncommon to escalate basal insulin rather than add or increase bolus doses, thus allowing the long-acting insulin to cover some or all of a patient's A lowcarbohydrate, high-fat diet can be formulated to be micronutrientreplete post-meal insulin needs. In such cases, excessive basal coverage can increase the risk of hypoglycemia when a patient reduces mealtime carbohydrate intake when starting a ketogenic diet. Furthermore, many people with type 1 diabetes have an elevated BMI, and insulin resistance is expected to improve and insulin requirements decrease as weight is lost on a ketogenic diet.

How to adjust insulin

Insulin dosages usually need to be reduced after starting a ketogenic diet; in type 1 diabetes, this usually entails decreasing the amount of insulin received per gram of carbohydrate. The following strategy can be used:

- If a patient's HbA1c is near target, the daily dosage of basal insulin may need to be decreased by 10% to 20%
- If the HbA1c is elevated, no adjustments may be required
- It is often safest to adjust insulin with the aim of reducing the risk of hypoglycemia; the patient can be instructed to take additional correction doses of short-acting insulin to address hyperglycemia
- Insulin dosages often need to be adjusted weekly in the initial stages as weight loss and adherence to the ketogenic diet will impact the necessary insulin adjustments, and these factors are highly individual.

Other diabetes medications

Usually with the aim of weight loss, many patients with type 1 diabetes also take medications off-label that are approved by the US Food and Drug Administration for type 2 diabetes, including metformin, sodiumglucose cotransporter 2 (SGLT-2) inhibitors, and glucagon-like peptide 1 (GLP-1) receptor agonists.

SGLT-2 inhibitors are associated with an increased risk of euglycemic DKA, particularly in type 1 diabetes. This may occur through multiple mechanisms, including reduction in insulin-mediated suppression of lipolysis and ketogenesis, volume contraction, promotion of glucagon secretion, and decrease in renal clearance of ketone bodies.¹⁹ Accordingly, SGLT-2 inhibitors should be stopped before starting a ketogenic diet owing to the risk of DKA that often presents as euglycemic, making it difficult to recognize.²⁰

GLP-1 receptor agonists, when used in type 1 diabetes, may increase the risk of hypoglycemia and DKA.^{21,22} They can be continued with close monitoring in patients following a ketogenic diet, although some providers prefer to stop them.

Metformin is generally considered safe to continue.²³

BLOOD GLUCOSE CONTROL: A BALANCING ACT

Optimizing glycemic control in type 1 diabetes can be extremely challenging but is essential to prevent life-threatening, short-term complications such as DKA. Long-term glycemic control is also important to reduce the risk of microvascular complications (neuropathy, retinopathy, and nephropathy) and perhaps macrovascular complications (stroke, coronary artery disease, and peripheral vascular disease). However, preventing hyperglycemia comes with the risk of inducing frequent or severe hypoglycemia, which can lead to lower quality of life, hospitalization, coma, and death.

Much of the challenge in maintaining euglycemia in patients with diabetes lies in the difficulty in matching carbohydrate intake with insulin administration, owing to errors in estimating the carbohydrate content in meals, variable insulin absorption, timing of insulin administration, and gastroparesis. Given these complicating factors, it is plausible that low carbohydrate intake and resulting lower prandial insulin bolus requirements may lead to better glycemic control, less blood glucose variability, and improved quality of life.²⁴

EFFICACY AND SAFETY

Before the adoption of insulin as the gold standard treatment for type 1 diabetes, diet was one of the few therapy options available. In the early 20th century, the use of a very low-calorie, low-carbohydrate diet was used experimentally to manage it.⁹

The existing literature regarding the use of the ketogenic diet in type 1 diabetes is limited and has yielded mixed results. Many of the publications are case reports, and the majority are from the pediatric population for the treatment of medication-refractory epilepsy. The few studies are mostly observational and

Monitoring ketones is important to identify and prevent diabetic ketoacidosis vary considerably in terms of the dietary macronutrient composition, making it difficult to generalize their results. Data on long-term cardiometabolic effects are also limited.

Diet lowers blood glucose, sometimes dangerously

Leow et al²⁵ investigated the effects of a ketogenic diet (< 55 g of carbohydrates per day and fasting beta-hydroxybutyrate ≥ 0.4 mmol/L) in 11 adults with type 1 diabetes who self-initiated the diet before study recruitment. Mean HbA1c of study participants was excellent at 5.3%, and participants spent an impressive average of 74% of time within target range. However, many had a disproportionately high frequency and duration of hypoglycemic episodes.

Lennerz et al²⁶ evaluated the effect of a very low carbohydrate diet on 316 patients with type 1 diabetes, using an online survey of a social media group. Average carbohydrate intake was 36 ± 15 g of carbohydrates per day for an average duration of 2.2 ± 2.9 years. Patients achieved good glucose control (average HbA1c 5.7% \pm 0.66%, average blood glucose by CGM 104 \pm 16 mg/dL) and reported high satisfaction. The rate of severe adverse events was low and included 7 patients (2%) with diabetes-related hospitalizations and 4 (1%) with DKA.

In their small, randomized crossover study, Ranjan et al¹⁵ compared 1 week each on a low-carbohydrate diet (\leq 50g carbohydrates per day) and a high-carbohydrate diet (\geq 250g carbohydrates per day) in patients with type 1 diabetes using insulin pump therapy. The lowcarbohydrate diet group had significantly lower average daily blood glucose levels (122 mg/ dL vs 140 mg/dL, P = .02), longer time in euglycemia (defined as 3.9–10.0 mmol/L [70–180 mg/dL]; 83% vs 72%, P = .004), less glycemic variability (1.9 vs 2.6 mmol/L, P = .02), lower total daily insulin dose (22 vs 39 units, P =.0001), and fewer daily units of bolus insulin administered (6.6 vs 23, P = .0001).¹⁵

Weight loss possible but not well studied

Another potential benefit of the ketogenic diet is weight loss. Obesity in patients with type 1 diabetes is a common problem that has worsened in recent decades. This may be in part due to the use of long-term insulin, an anabolic hormone that promotes weight gain. Obesity in type 1 diabetes can lead to metabolic syndrome and insulin resistance, as well as increased risk for microvascular complications.²⁷⁻³⁰

The ketogenic diet has been suggested as a tool for weight loss in overweight or obese patients with type 1 diabetes, although it has not been well studied in this population. In a well-designed crossover study, Rosenfalck et al³¹ looked at insulin sensitivity and BMI and found no significant change in weight or BMI after 3 months of a ketogenic diet in 10 patients with type 1 diabetes.

Animal studies have mixed results

A few animal studies have examined the effect of a ketogenic diet in type 1 diabetes, but their significance in humans is unclear. Poplawski et al³² examined the effects of an 8-week ketogenic diet (5% carbohydrate, 8% protein, 87% fat) vs a high carbohydrate diet (64% carbohydrate, 23% protein, 11% fat) in rat models of type 1 diabetes with nephropathy. The ketogenic diet group had a drastically improved albumin-creatinine ratio, indicating reversal of diabetic nephropathy.

Al-Khalifa et al³³ placed 42 rats on either a normal diet, low carbohydrate diet, or high carbohydrate diet, all ad libitum, for 8 weeks. Half of each group was injected with streptozotocin to induce diabetes. Blood glucose levels and food and water intake increased with the normal and high-carbohydrate diets but not in the low-carbohydrate group (P < .01). Weight gain was also significantly lower in the low-carbohydrate group (P < .05). In the lowcarbohydrate group, the number of beta cells did not differ between the control group and the group with the streptozotocin injection, while the other diet groups had a significant decrease in beta-cell mass in the streptozotocin groups vs controls. These results suggest that a low-carbohydrate diet may attenuate or prevent the development of diabetes.

However, other rodent studies suggest potential harm. Kanikarla-Marie and Jain³⁴ found that hyperketonemia in type 1 diabetes rat models induced macrophage-mediated damage and oxidative stress on hepatocytes, suggesting that a high ketone state may lead to liver damage. Grandl et al³⁵ reported that Differentiating diabetic ketoacidosis from desired nutritional ketosis poses a challenge mice fed a low-carbohydrate, high-fat ketogenic diet had a decrease in glucose tolerance due to blunted insulin-dependent hepatic glucose production during the fasting state.

Effects on lipids mixed

Concerns have been raised regarding the ketogenic diet and adverse lipid profile changes, but the literature is inconsistent, and few publications have assessed the issue specifically in type 1 diabetes. Effects of ketogenic diets such as decreased total cholesterol, low-density lipoprotein cholesterol, and triglyceride levels and increased high-density lipoprotein cholesterol levels have been reported.³⁶⁻⁴⁰

Yancy et al,⁴¹ compared a low-carbohydrate diet to a low-fat diet in a randomized control trial of 120 overweight patients with hyperlipidemia. The low-carbohydrate diet led to greater decreases in serum triglyceride levels compared with patients on a low-fat diet (-74.2 vs -27.9 mg/dL, P = .004) and greater increases in high-density lipoprotein levels (5.5 vs -1.6 mg/dL, P < .001), but no significant differences were seen in low-density lipoprotein levels (P = .2).

Using an online survey of a social media group for children and adults with type 1 diabetes who were following a very low carbohydrate diet, Lennerz et al²⁶ found that 51 of 316 respondents (16.1%) reported having a diagnosis of dyslipidemia (triglyceride level > 130 mg/dL, low-density lipoprotein level > 130 mg/dL, or high-density lipoprotein level < 35 mg/dL).

In a retrospective chart review of 30 patients with either type 1 or type 2 diabetes on a low-carbohydrate diet (< 30 g daily), O'Neill⁴⁰ reported that low-density lipoprotein levels decreased by 17%, from 155 to 130 mg/dL (*P* = .004), and triglyceride levels decreased by 31%, from 107 to 74 mg/dL (*P* < .05).

Cardiac effects uncertain

Although multiple studies have examined the effect of a ketogenic diet on clinical markers of cardiovascular risk (eg, BMI, blood pressure, lipids), the literature is limited and inconclusive regarding direct impacts on cardiac health. A ketogenic diet is known to cause electrolyte disturbances, increasing the risk of cardiac arrhythmias, and some studies have suggested that it may increase risk for a prolonged QT interval, atrial fibrillation, and other arrhythmias.⁴² A case series reported the de novo development of a long QT interval in 3 of 20 children following a ketogenic diet for seizure disorder.⁴³ Long-term data of cardiac risk in the adult population are lacking.

Blood pressure evidence scant

Data regarding the impact of a ketogenic diet on blood pressure have been inconsistent, and little exists specifically in the setting of type 1 diabetes. Several studies demonstrated no significant reduction in blood pressure with a ketogenic diet, while others suggested a mild benefit.^{37,44–46} A long-term study on the cardiovascular impact of a ketogenic diet on 10 children with glut-1 deficiency over the course of 10 years found no change in systolic or diastolic blood pressures compared with healthy controls (P = .11 and P = .37, respectively).³⁶

Possible microvascular benefit

Very little research has been conducted on the impact of a ketogenic diet on microvascular complications in patients with diabetes. Studies on rats have found that a ketogenic diet improved or reversed diabetic nephropathy³² and reduced reactive oxygen species in peripheral nerve mitochondria, suggesting a positive impact on peripheral neuropathy.⁴⁷

SAFETY IN PEDIATRIC PATIENTS

There is a lack of observational and prospective studies in children following a ketogenic diet, but several case reports have discussed its benefits in children with type 1 diabetes.^{2,3,48–52} They have found reductions in glycemia and glycemic variability and improvements in HbA1c level, growth rate, and lipid profiles, and many have been without severe adverse effects, like DKA and hypoglycemia.

Henwood et al⁴⁸ described a 4-year-old girl with pyruvate dehydrogenase deficiency, seizure disorder, and type 1 diabetes who was treated with a ketogenic diet. During 28 months follow-up, she had improved activity, better glycemic control, significant developmental advances, and an increase in linear growth from less than 5th percentile to 50th percentile. However, the diet was discontinued when she developed severe DKA.

Patients should be educated regarding symptoms of diabetic ketoacidosis Other case reports have revealed concerns about the diet's safety in children with and without diabetes. de Bock et al³⁸ described 6 children with type 1 diabetes who were treated with carbohydrate-restrictive diets for epilepsy (diets varied from 20–90 grams per day in some, with others using a percentagebased formula ranging from 6% to 40% of the total daily calorie intake). Some children experienced weight loss and growth delay. Commonly observed effects were fatigue, reduced enjoyment in physical sports, and eating disorders. Ultimately, most families opted to return to a more liberal carbohydrate-containing diet.

Other reported long-term adverse effects are hyperlipidemia, kidney stones, vitamin and mineral deficiencies, electrolyte abnormalities, hypertriglyceridemia, gallstones, and elevated liver function tests.^{53,54} Short-term risks, including hypoglycemia, DKA, dehydration, anorexia, gastroesophageal reflux disease, vomiting, diarrhea, and abdominal pain have also been reported. However, many of the complications seen in children have not been well described in adults.

CASE CONCLUSION

Two years after starting the ketogenic diet, the patient reported that his blood glucose control remained significantly improved. His HbA1c level has remained in the desired range for the past 2 years; most recently it was 5.5%. He lost 35 lbs with BMI improved from 30.4 to 25.5 kg/m². His total average daily basal insulin requirement decreased from 48 to 30 units per day, and he reported that he rarely requires prandial or correctional insulin boluses (before the diet, he averaged 33 units

REFERENCES

- Yancy WS Jr, Foy M, Chalecki AM, Vernon MC, Westman EC. A lowcarbohydrate, ketogenic diet to treat type 2 diabetes. Nutr Metab 2005; 2:34. doi: 10.1186/1743-7075-2-34
- Dashti HM, Al-Zaid NS, Mathew TC, et al. Long term effects of ketogenic diet in obese subjects with high cholesterol level. Mol Cell Biochem 2006; 286(1-2):1–9. doi:10.1007/s11010-005-9001-x
- Sharman MJ, Kraemer WJ, Love DM, et al. A ketogenic diet favorably affects serum biomarkers for cardiovascular disease in normalweight men. J Nutr 2002; 132(7):1879–1885. doi: 10.1093/jn/132.7.1879
- Carmen M, Safer DL, Saslow LR, et al. Treating binge eating and food addiction symptoms with low-carbohydrate Ketogenic diets: a case series. J Eat Disord 2020; 8:2. doi:10.1186/s40337-020-0278-7
- 5. Sabounchi NS, Rahmandad H, Ammerman A. Best-fitting prediction

per day). According to his pump and CGM download, his bolus insulin requirements comprised only 3% of his total daily insulin dose (average of 1 unit per day). His blood glucose level remained within the goal range (3.9-10.0 mmol/L [70-180 mg/dL]) 98% of the time. He reported episodes of hypoglycemia 2 to 3 times every 2 weeks, but these have been mild and easily manageable. He has not had any episodes of DKA or severe hypoglycemia since starting the diet, but also admitted he would not feel safe following the diet without the safety afforded by CGM. He experienced an increase in low-density lipoprotein level up to 221 mg/dL, requiring starting high-dose atorvastatin, which may be due to a high proportion of saturated animal fats in his diet. He responded well to statin therapy and his low-density lipoprotein level decreased to 104 mg/dL, which has been maintained on the therapy.

THE BOTTOM LINE

Further research is needed on the efficacy and safety of the ketogenic diet in patients with type 1 diabetes. The diet may be appropriate for select patients, but only after a thorough discussion between patient and care team about the risks and benefits. A registered dietitian and specialists in diabetes care, education, endocrinology, and pharmacy should be part of any discussion. For patients on the diet, extra monitoring is critical, preferably with a CGM.

A continuous glucose monitor can be useful for reducing hypoglycemia

DISCLOSURES

The authors report no relevant financial relationships which, in the context of their contributions, could be perceived as a potential conflict of interest.

equations for basal metabolic rate: informing obesity interventions in diverse populations. Int J Obes 2013; 37(10):1364–1370. doi: 10.1038/iio.2012.218

- Feinman RD, Pogozelski WK, Astrup A, et al. Dietary carbohydrate restriction as the first approach in diabetes management: critical review and evidence base. Nutrition 2015; 31(1):1–13. doi: 10.1016/j.nut.2014.06.011
- Zinn C, Rush A, Johnson R. Assessing the nutrient intake of a lowcarbohydrate, high-fat (LCHF) diet: a hypothetical case study design. BMJ Open 2018; 8(2):e018846. doi:10.1136/bmjopen-2017-018846.
- Masino SA, Rho JM. Mechanisms of ketogenic diet action. In: Noebels JL, Avoli M, Rogawski MA, Olsen RW, Delgado-Escueta AV, eds. Jasper's Basic Mechanisms of the Epilepsies. 4th ed. Bethesda, MD: National Center for Biotechnology Information (US); 2012.
- 9. Joslin EP. The treatment of diabetes mellitus. Can Med Assoc J 1916;

6(8):673-684.

- 10. **Ciaraldi TP, Henry RR**. Insulin regulation of ketone body metabolism. Wiley Online Library 2004.
- https://doi.org/10.1002/0470862092.d0308 Accessed July 15, 2021 11. Qiao Y, Gao Z, Liu Y, et al. Breath ketone testing: a new biomarker
- for diagnosis and therapeutic monitoring of diabetic ketosis. Biomed Res Int 2014; 2014:869186. doi:10.1155/2014/869186
- Volek JS, Phinney SD. The Art and Science of Low Carbohydrate Performance. London, UK: Beyond Obesity LLC; 2012.
- Bolinder J, Antuna R, Geelhoed-Duijvestijn P, Kröger J, Weitgasser R. Novel glucose-sensing technology and hypoglycaemia in type 1 diabetes: a multicentre, non-masked, randomised controlled trial. Lancet 2016; 388(10057):2254–2263. doi:10.1016/s0140-6736(16)31535-5
- Hermanns N, Schumann B, Kulzer B, Haak T. The impact of continuous glucose monitoring on low interstitial glucose values and low blood glucose values assessed by point-of-care blood glucose meters: results of a crossover trial. J Diabetes Sci Technol 2014; 8(3):516–522. doi:10.1177/1932296814524105
- Ranjan A, Schmidt S, Damm-Frydenberg C, Holst JJ, Madsbad S, Norgaard K. Short-term effects of a low carbohydrate diet on glycaemic variables and cardiovascular risk markers in patients with type 1 diabetes: A randomized open-label crossover trial. Diabetes Obes Metab 2017; 19(10);1479-1484. DOI: 10.1111/dom.12953
- Saslow LR, Daubenmier JJ, Moskowitz JT, et al. Twelve-month outcomes of a randomized trial of a moderate-carbohydrate versus very low-carbohydrate diet in overweight adults with type 2 diabetes mellitus or prediabetes. Nutr Diabetes 2017; 7(12):304. doi:10.1038/s41387-017-0006-9
- Westman EC, Yancy WS Jr, Mavropoulos JC, Marquart M, McDuffie JR. The effect of a low-carbohydrate, ketogenic diet versus a lowglycemic index diet on glycemic control in type 2 diabetes mellitus. Nut Metab (Lond) 2008; 5:36. doi:10.1186/1743-7075-5-36
- Society of Metabolic Health Practitioners. Clinical guidelines for therapeutic carbohydrate restriction. Vers 1.3.8. Sep 25, 2020. https://www.lowcarbusa.org/standard-of-care/clinical-guidelines/ Accessed July 15, 2021.
- Taylor SI, Blau JE, Rother KI. SGLT2 Inhibitors may predispose to ketoacidosis. J Clin Endocrinol Metab 2015; 100(8):2849–2852. doi:10.1210/jc.2015-1884
- Handelsman Y, Henry RR, Bloomgarden ZT, et al. American Association of Clinical Endocrinologists and American College of Endocrinology Position Statement on the association of SGLT-2 inhibitors and diabetic ketoacidosis. Endocr Pract 2016; 22(6):753–762. doi:10.4158/ep161292.Ps
- Ahrén B, Hirsch IB, Pieber TR, et al; ADJUNCT TWO Investigators. Efficacy and safety of liraglutide added to capped insulin treatment in subjects with type 1 diabetes: the ADJUNCT TWO randomized trial. Diabetes Care 2016; 39(10):1693–1701. doi:10.2337/dc16-0690
- Mathieu C, Zinman B, Hemmingsson JU, et al; ADJUNCT ONE Investigators. Efficacy and safety of liraglutide added to insulin treatment in type 1 diabetes: the ADJUNCT ONE Treat-To-Target randomized trial. Diabetes Care 2016; 39(10):1702–1710. doi:10.2337/dc16-0691
- Moriconi E, Camajani E, Fabbri A, Lenzi A, Caprio M. Very-low-calorie ketogenic diet as a safe and valuable tool for long-term glycemic management in patients with obesity and type 2 diabetes. Nutrients 2021; 13(3):758. doi:10.3390/nu13030758
- 24. Braffett BH, Dagogo-Jack S, Bebu I, et al; DCCT/EDIC Research Group. Association of insulin dose, cardiometabolic risk factors, and cardiovascular disease in type 1 diabetes during 30 years of followup in the DCCT/EDIC study. Diabetes Care 2019; 42(4):657–664. doi:10.2337/dc18-1574
- Leow ZZX, Guelfi KJ, Davis EA, Jones TW, Fournier PA. The glycaemic benefits of a very-low-carbohydrate ketogenic diet in adults with type 1 diabetes mellitus may be opposed by increased hypoglycaemia risk and dyslipidaemia. Diabet Med 2018; 35:1258–1263. doi:10.1111/dme.13663
- 26. Lennerz BS, Barton A, Bernstein RK, et al. Management of type 1

diabetes with a very low-carbohydrate diet. Pediatrics 2018; 141(6) e20173349. doi:10.1542/peds.2017-3349

- Corbin KD, Driscoll KA, Pratley RE, Smith SR, Maahs DM, Mayer-Davis EJ; Advancing Care for Type 1 Diabetes and Obesity Network (ACT10N). Obesity in type 1 diabetes: pathophysiology, clinical impact, and mechanisms. Endocr Rev 2018; 39(5):629–663. doi:10.1210/er.2017-00191
- 28. Atkinson MA, Eisenbarth GS, Michels AW. Type 1 diabetes. Lancet 2014; 383(9911):69–82. doi:10.1016/s0140-6736(13)60591-7
- Skelton JA, Irby MB, Grzywacz JG, Miller G. Etiologies of obesity in children: nature and nurture. Pediatr Clin North Am 2011; 58(6):1333–1354, ix. doi:10.1016/j.pcl.2011.09.006
- Polsky S, Ellis SL. Obesity, insulin resistance, and type 1 diabetes mellitus. Curr Opin Endocrinol Diabetes Obes 2015; 22(4):277–282. doi:10.1097/med.0000000000170
- Rosenfalck AM, Almdal T, Viggers L, Madsbad S, Hilsted J. A low-fat diet improves peripheral insulin sensitivity in patients with type 1 diabetes. Diabet Med 2006; 23(4):384–392. doi:10.1111/j.1464-5491.2005.01810.x
- Poplawski MM, Mastaitis JW, Isoda F, Grosjean F, Zheng F, Mobbs CV. Reversal of diabetic nephropathy by a ketogenic diet. PloS One 2011; 6(4):e18604. doi:10.1371/journal.pone.0018604
- Al-Khalifa A, Mathew TC, Al-Zaid NS, Mathew E, Dashti H. Low carbohydrate ketogenic diet prevents the induction of diabetes using streptozotocin in rats. Exp Toxicol Pathol 2011; 63(7-8):663–669. doi:10.1016/j.etp.2010.05.008
- Kanikarla-Marie P, Jain SK. Role of hyperketonemia in inducing oxidative stress and cellular damage in cultured hepatocytes and type 1 diabetic rat liver. Cell Physiol Biochem 2015; 37(6):2160–2170. doi:10.1159/000438573
- Grandl G, Straub L, Rudigier C, et al. Short-term feeding of a ketogenic diet induces more severe hepatic insulin resistance than an obesogenic high-fat diet. J Physiol 2018; 596(19):4597–4609. doi:10.1113/jp275173
- Heussinger N, Della Marina A, Beyerlein A, et al. 10 patients, 10 years – long term follow-up of cardiovascular risk factors in Glut1 deficiency treated with ketogenic diet therapies: a prospective, multicenter case series. Clin Nutr 2018; 37(6 pt A):2246–2251. doi:10.1016/j.clnu.2017.11.001
- Kosinski C, Jornayvaz FR. Effects of ketogenic diets on cardiovascular risk factors: Evidence from animal and human studies. Nutrients 2017; 9(5):517. doi:10.3390/nu9050517
- de Bock M, Lobley K, Anderson D, et al. Endocrine and metabolic consequences due to restrictive carbohydrate diets in children with type 1 diabetes: an illustrative case series. Pediatr Diabetes 2018; 19(1):129–137. doi:10.1111/pedi.12527
- 39. Krebs JD, Parry Strong A, Cresswell P, Reynolds AN, Hanna A, Haeusler S. A randomised trial of the feasibility of a low carbohydrate diet vs standard carbohydrate counting in adults with type 1 diabetes taking body weight into account. Asia Paci J Clin Nutr 2016; 25(1):78–84. doi:10.6133/apjcn.2016.25.1.11
- O'Neill BJ. Effect of low-carbohydrate diets on cardiometabolic risk, insulin resistance, and metabolic syndrome. Curr Opin Endocrinol Diabetes Obes 2020; 27(5):301–307. doi:10.1097/med.0000000000569
- Yancy WS Jr, Olsen MK, Guyton JR, Bakst RP, Westman EC. A lowcarbohydrate, ketogenic diet versus a low-fat diet to treat obesity and hyperlipidemia: a randomized, controlled trial. Ann Int Med 2004; 140(10):769–777. doi:10.7326/0003-4819-140-10-200405180-00006
- Zhang S, Zhuang X, Lin X, et al. Low-carbohydrate diets and risk of incident atrial fibrillation: a prospective cohort study. J Am Heart Assoc 2019; 8(9):e011955. doi:10.1161/jaha.119.011955
- Best TH, Franz DN, Gilbert DL, Nelson DP, Epstein MR. Cardiac complications in pediatric patients on the ketogenic diet. Neurology 2000; 54(12):2328–2330. doi:10.1212/wnl.54.12.2328
- 44. Cicero AF, Benelli M, Brancaleoni M, Dainelli G, Merlini D, Negri R. Middle and long-term impact of a very low-carbohydrate ketogenic diet on cardiometabolic factors: a multi-center, cross-sectional,

BUEHLER AND COLLEAGUES

clinical study. High Blood Press Cardiovasc Prev 2015; 22(4):389–394. doi:10.1007/s40292-015-0096-1

- Foster GD, Wyatt HR, Hill JO, et al. A randomized trial of a low-carbohydrate diet for obesity. N Engl J Med 2003; 348(21):2082–2090. doi:10.1056/NEJMoa022207
- Mayer SB, Jeffreys AS, Olsen MK, McDuffie JR, Feinglos MN, Yancy WS Jr. Two diets with different haemoglobin A1c and antiglycaemic medication effects despite similar weight loss in type 2 diabetes. Diabetes Obes Metab 2014; 16(1):90–93. doi:10.1111/dom.12191
- Cooper MA, McCoin C, Pei D, Thyfault JP, Koestler D, Wright DE. Reduced mitochondrial reactive oxygen species production in peripheral nerves of mice fed a ketogenic diet. Exp Physiol 2018; 103(9):1206–1212. doi:10.1113/ep087083
- Henwood MJ, Thornton PS, Preis CM, Chee C, Grimberg A. Reconciling diabetes management and the ketogenic diet in a child with pyruvate dehydrogenase deficiency. J Child Neurol 2006; 21(5):436–439. doi:10.1177/08830738060210051001
- 49. Kessler SK, Neal EG, Camfield CS, Kossoff EH. Dietary therapies for epilepsy: future research. Epilepsy Behav 2011; 22(1):17–22. doi:10.1016/j.yebeh.2011.02.018

- Aylward NM, Shah N, Sellers EA. The ketogenic diet for the treatment of myoclonic astatic epilepsy in a child with type 1 diabetes mellitus. Can J Diabetes 2014; 38(4):223–224. doi:10.1016/j.jcjd.2014.05.002
- McClean AM, Montorio L, McLaughlin D, McGovern S, Flanagan N. Can a ketogenic diet be safely used to improve glycaemic control in a child with type 1 diabetes? Arch Dis Child 2019; 104(5):501–504. doi:10.1136/archdischild-2018-314973
- Aguirre Castaneda RL, Mack KJ, Lteif A. Successful treatment of type 1 diabetes and seizures with combined ketogenic diet and insulin. Pediatrics 2012; 129(2):e511–514. doi:10.1542/peds.2011-0741
- Kanikarla-Marie P, Jain SK. Hyperketonemia and ketosis increase the risk of complications in type 1 diabetes. Free Radical Biol Med 2016; 95:268–277. doi:10.1016/j.freeradbiomed.2016.03.020
- Keene DL. A systematic review of the use of the ketogenic diet in childhood epilepsy. Pediatr Neurol 2006; 35(1):1–5. doi:10.1016/j.pediatrneurol.2006.01.005

Address: Kevin Pantalone, DO, ECNU, FACE, Endocrinology and Metabolism Institute, TWG3-4, Cleveland Clinic, 9500 Euclid Avenue, Cleveland, OH 44195; pentalk@gmail.com

Changed your address? Not receiving your copies?

To receive *Cleveland Clinic Journal of Medicine*, make sure the American Medical Association has your current information. *Cleveland Clinic Journal of Medicine* uses the AMA database of physician names and addresses to determine its circulation. All physicians are included in the AMA database, not just members of the AMA. **Only YOU can update your data with the AMA**.

- If your address has changed, send the new information to the AMA. If you send the update by mail, enclose a recent mailing label. Changing your address with the AMA will redirect all of your medically related mailings to the new location.
- Be sure the AMA has your current primary specialty and type of practice. This information determines who receives Cleveland Clinic Journal of Medicine.
- If you ever notified the AMA that you did not want to receive mail, you will not receive Cleveland Clinic Journal of Medicine. If you wish to reverse that decision, simply notify the AMA, and you will again receive all AMA mailings.
- Please allow 6 to 8 weeks for changes to take effect.

To contact the American Medical Association:

- **PHONE** 800-621-8335
- **FAX** 312-464-4880
- E-MAIL dpprodjira@ama-assn.org
- US MAIL
 - Send a recent mailing label along with new information to:

American Medical Association AMA Plaza Data Verification Unit 330 N. Wabash Ave., Suite 39300 Chicago, IL 60611-5885

GUIDELINES TO PRACTICE

Amy Wiser, MD, FAAFP

Assistant Professor, Department of Family Medicine, Oregon Health & Science University, Portland, OR; US Cervical Cancer Screening Risk-Based Management Guidelines Research Steering, Colposcopy, and Communication Committees

Jeffrey D. Quinlan, MD, FAAFP

Professor and Chair, Department of Family Medicine, University of Iowa Carver College of Medicine, Iowa City, IA; US Cervical Cancer Screening Risk-Based Management Guidelines Communications Committee

Pelin Batur, MD, FACP, NCMP

Department of Subspecialty Women's Health, Ob-Gyn & Women's Health Institute, Cleveland Clinic; Associate Professor of Ob-Gyn & Reproductive Biology, Cleveland Clinic Lerner College of Medicine of Case Western Reserve University, Cleveland, OH; Deputy Editor, Cleveland Clinic Journal of Medicine; US Cervical Cancer Screening Risk-Based Management Guidelines Communications Committee

Risk-based guidelines: Redefining management of abnormal cervical cancer screening results

ABSTRACT

In making the 2019 guidelines for risk-based management of patients with abnormal cervical cancer screening tests and cancer precursors, the guidelines committee shifted from results-based to risk-based management recommendations, based on the patient's immediate and 5-year risks of grade 3 or higher cervical intraepithelial neoplasia (CIN 3+). The risk is determined by current and prior screening results (human papillomavirus infection, cytology testing) and the clinical history including age. An immediate 4% or higher risk of CIN 3+ was established as the dividing line between higher and lower risks, and the corresponding management recommendations. This article reviews the changes and their evidence base and discusses clinical implications of the revised guidelines.

KEY POINTS

Management of patients with abnormal cervical cancer screening results is based on their risk of cervical cancer rather than only on the results of Papanicolaou and human papillomavirus tests.

For individuals at higher risk (ie, immediate CIN 3+ risk of 4% or higher), more frequent surveillance via colposcopy and earlier treatment is recommended.

For those at lower risk (ie, immediate CIN 3+ risk below 4%), colposcopy surveillance can be deferred.

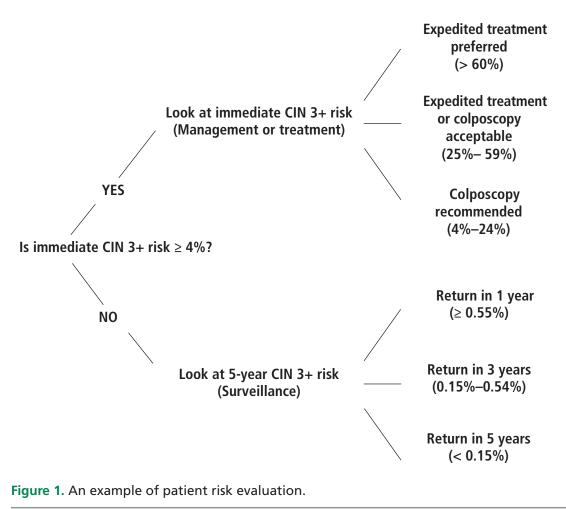
The 2019 American Society for Colpos-Copy and Cervical Pathology (ASCCP) risk-based management consensus guidelines for abnormal cervical cancer screening tests and cancer precursors, published in April 2020,1 represent a shift away from resultsbased management and toward risk-based management. Management of patients with abnormal cervical cancer screening results is now based on their risk of cervical cancer rather than only on results of Papanicolaou (Pap) and human papillomavirus (HPV) tests. Risk is determined based on clinical factors (including age), prior and current HPV infection (including genotyping results, if known), and cytology (Pap test) results. Calculation of risk is guite complicated and requires use of a smartphone application or a computer.²

Specifically, management is based on a patient's immediate and 5-year risks of cervical intraepithelial neoplasia grade 3 or higher (CIN 3+), determined by current and prior screening results as well as the clinical history, including age and past testing results. Thus, patients with the same current Pap and HPV test results may have different management recommendations based on their individual medical history.

WHO WROTE THE GUIDELINES?

A guidelines committee of 19 organizations under the direction of the ASCCP compiled the guidelines. The committee included medical societies, federal agencies, and patient advocacy organizations including the American Academy of Family Physicians, American

doi:10.3949/ccjm.88a.20193



CIN 3 + = cervical intraepithelial neoplasm grade 3 or higher

Reprinted with permission from Perkins RB, Guido RS, Castle PE, et al. 2019 ASCCP Risk-based management consensus guidelines for abnormal cervical cancer screening tests and cancer. J Low Genit Tract Dis 2020; 24(2):102–131. doi:10.1097/LGT.000000000000525.

College of Obstetrics and Gynecology, US Centers for Disease Control and Prevention, and the National Cancer Institute.

WHAT ARE THE MAIN RECOMMENDATIONS?

The updated guidelines provide a more personalized management strategy based on the patient's CIN 3+ risk (**Figure 1**), using a 4% or higher risk of CIN 3+ as the threshold for referral for colposcopy and expedited treatment.¹ For individuals at higher risk (ie, immediate CIN 3+ risk 4% or higher), more frequent surveillance with colposcopy and earlier treatment are recommended. For those at lower risk (ie, immediate CIN 3+ risk below 4%), surveillance with colposcopy can be deferred, and follow-up is recommended at longer intervals, ie, 1 to 5 years.

The guidelines designate CIN 3+, which encompasses CIN 3, adenocarcinoma in situ, and cervical cancer, as a cancer precursor because of the infrequent incidence of cervical cancer in the United States and because treatment of this precursor can drastically reduce cervical cancer risk. In addition, CIN 3+ provides a more consistent pathological diagnosis than cervical intraepithelial neoplasia grade 2 or higher (CIN 2+).¹

Recommendations for when to conduct continued surveillance (Pap and HPV testing) remain at 1-, 3-, and 5-year intervals, consistent with the previous guidelines,³ which are

familiar to clinicians. Additionally, the new guidelines review best practices for performing and reporting on colposcopy results, to help ensure standardization among those who perform the procedure.⁴

TREATMENT RECOMMENDATIONS

In patients at highest risk (ie, those with immediate CIN 3+ risk higher than 60%), expedited treatment is recommended.¹ Expedited treatment, an option in previous guidelines, is further defined, with guidance based on risk stratification. Excisional treatment is preferred to ablative therapies such as cryotherapy and laser therapy for both expedited treatment and treatment indicated by colposcopy.⁵ Postexcisional treatment surveillance now includes HPV-based testing for at least 25 years at 3-year intervals.¹ This recommendation is based on evidence showing that after treatment for histologic high-grade squamous intraepithelial lesions (CIN 2, CIN 3, or adenocarcinoma in situ) a patient's risk does not return to the range in the general population.1,6

PATHOLOGY TEST RECOMMENDATIONS

The guidelines also recommend that laboratories should report high-grade squamous intraepithelial lesions as either CIN 2 or CIN 3 in histopathology reports, based on recommendations from the Lower Anogenital Squamous Terminology Standardization Project work group⁷ and the World Health Organization.⁸ This distinction can help with management strategies when considering the patient's reproductive goals. The concept of reproductive goals replaces the term "young women," which was used in previous guidelines. Specifically, patients with CIN 2 histopathology who also desire to maintain their fertility may be followed with close surveillance. In contrast, patients with CIN 3 histopathology should undergo excisional treatment, which could pose an increased risk of complications during a future pregnancy.

Cervical cancer screening by cytology alone is acceptable only when HPV testing is not available.¹ Also acceptable is primary HPV screening (HPV alone) without cytology. The US Food and Drug Administration (FDA) has approved 2 assays for primary HPV screening—cobas HPV (Roche)⁹ and Onclarity HPV (Becton, Dickinson, and Company)¹⁰—and they should be used only according to their regulatory approval.¹¹ All positive primary HPV screening tests should include reflex triage testing (cytology) on that same specimen.¹²

WHAT IS DIFFERENT FROM PRIOR GUIDELINES?

The goals of the new recommendations are to increase accuracy for treatment and reduce complexity for providers and patients compared with the 2012 guidelines. The underlying concept is "equal management for equal risks" based on results of history and testing, as opposed to algorithms based on test results alone. The new recommendations introduce the concept of "clinical action thresholds," which are management scenarios that include current and past test result combinations to determine an individual's risk profile. Thresholds are based on an estimated risk of CIN 3+ at the time of the abnormal cervical cancer screening result. As noted above, an immediate risk of CIN 3+ of 4% or higher leads to a management recommendation of colposcopy or expedited treatment. If the immediate risk is calculated as less than this 4% threshold, surveillance recommendation is then based on the specific 5-year risk of CIN $3+.^{1}$

Current screening results and medical history are used to determine the CIN 3+ risk estimate for each individual, as derived from calculated data tables.² As further research provides nuanced understanding of the natural history of HPV and cervical carcinogenesis, it is clear that persistent HPV infection is necessary for the progression to cervical precancer and cancer.^{13–16} Research has also provided data on how an HPV-negative history affects the clinical meaning of current test results and an individual's risk of CIN 3+.17 As our understanding of cervical cancer risk and prevention continues to evolve, including the long-term impact of HPV vaccination, updated risk calculations can be more easily incorporated into guideline updates to ensure equal management for equal risks.

Another noteworthy change is guidance on the timing of surveillance after treatment

recommendations are to increase accuracy for treatment and to reduce complexity for providers and patients

The goals

of the new

of high-grade squamous intraepithelial lesions or higher-grade lesions. HPV screening is recommended in 6 months regardless of the status of the postexcision margin. If HPV screening is positive, referral for colposcopy is indicated. If HPV screening is negative, annual follow-up with primary HPV or cotesting for 3 years is indicated. If consecutive tests are negative, continued surveillance every 3 years for at least 25 years up to age 65 (or older if the patient is in good health), is now the standard.^{1,17}

EVIDENCE BASE FOR RISK ESTIMATES

The formulation of risk estimates is based primarily on a Kaiser Permanente Northern California database of more than 1.5 million women who underwent routine cotesting from 2003 to 2017, including HPV genotyping for 19,000 patients.¹⁸ The data analysis was conducted at the National Cancer Institute by statisticians using updated methods to produce the risk tables. These risk estimates and tables underlie the guidelines.¹⁷

These data are the largest and the longest real-world clinical experience with HPVbased screening and provide risk-based evidence for most of the common decision points of screening. Although the database has been criticized for limited inclusion of diverse socioeconomic cohorts in the context of a population with cervical cancer risk that is lower than the national average, comparison of risks and risk-based management to that of other large cohorts or clinical trials has validated the data used in the guidelines.^{1,6,18,19} The updated guidelines accommodate the 3 cervical screening strategies available in the United States: primary HPV screening, cotesting with HPV testing and cervical cytology, and cervical cytology alone.^{1,20}

WHAT IS THE EXPECTED CLINICAL IMPACT?

The new guidelines provide a framework for triaging high-risk individuals to treatment while avoiding unnecessary procedures and tests for lower-risk individuals, thus achieving a better balance of benefits to harm for cervical cancer screening. It is estimated that the number of patients referred for colposcopy will be reduced from 9.8% to 8.3% over 2 rounds of screening with the transition to risk-based vs results-based interventions.¹

The guidelines are designed to be enduring. Integral to the data and risk-estimate analysis is the ability to include new technologies. When a new screening strategy is devised or a new test is FDA-approved, it can be considered for inclusion in the guidelines, assuming that sufficient data are available. Likewise, as HPV vaccinations decrease the prevalence of HPV infections and the risk of cervical carcinoma, management recommendations will incorporate these data. It is hoped that a longer interval will transpire before the next guideline revision is needed, leading to more stable clinical management for providers.

HOW WILL THIS CHANGE DAILY PRACTICE?

Because of the complexities involved in calculating the CIN 3+ risk estimates for each patient, it will be nearly impossible for clinicians to memorize the algorithms. Risk estimations now require use of computerized technology. The extensive risk table compiled by the National Cancer Institute is accessible on the National Institutes of Health website, https:// CervixCa.nlm.nih.gov/RiskTables.² A smartphone application that calculates the risk is available for purchase from the ASCCP.²¹ Clinicians should acquaint themselves with use of the risk calculator, which will allow them to competently guide the management of this patient population.

Most scenarios commonly encountered in clinical practice can be easily managed by calculating the risk estimates and applying them to the guidelines. The detailed management protocols will allow primary care providers who do or do not manage abnormal cervical cancer screening tests to be able to accurately guide patient management and avoid unnecessary referrals or procedures. In settings where resources are limited, the protocols will enable clinicians to confidently refer for treatment patients who are at high risk and would benefit from immediate treatment.

WHEN DO THE GUIDELINES NOT APPLY?

Guidelines apply to average-risk, asymptomatic individuals with an intact cervix, based on screening management data for patients The guidelines provide a framework for triaging high-risk individuals to treatment while avoiding unnecessary procedures and tests for those at lower risk ages 25 to 65. The guidelines separately address management of special populations, ie, those who are under age 25 or over age 65, are pregnant, are receiving immunosuppressive therapy, or have had a hysterectomy.¹ Notably, an accurate risk estimation for patients under age 25 is challenging. This is due primarily to a changing influence of HPV vaccination on cervical cancer risks for this population, as well as a higher rate of regression of histologic high-grade dysplasia and a lower incidence and progression risk of invasive cervical cancer. Pregnancy necessitates management and treatment options that consider the risk of a missed cancer diagnosis to both the fetus and the patient.

REFERENCES

- Perkins RB, Guido RS, Castle PE, et al. 2019 ASCCP Risk-based management consensus guidelines for abnormal cervical cancer screening tests and cancer. J Low Genit Tract Dis 2020; 24(2):102–131. doi:10.1097/LGT.00000000000525
- National Institutes of Health. Risk estimates supporting the 2019 ASCCP risk-based management consensus guidelines. https://CervixCa.nlm.nih.gov/RiskTables. Accessed August 31, 2021.
- Massad LS, Einstein MH, Huh WK, et al. 2012 updated consensus guidelines for the management of abnormal cervical cancer screening tests and cancer precursors. Obstet Gynecol 2013; 121(4):829– 846. doi: 10.1097/AOG.0b013e3182883a34
- Khan MJ, Werner CL, Darragh TM, et al. ASCCP Colposcopy standards: role of colposcopy, benefits, potential harms, and terminology for colposcopic practice. J Low Genit Tract Dis 2017; 21(4):223– 229. doi:10.1097/LGT.00000000000338
- D'Alessandro P, Arduino B, Borgo M, et al. Loop electrosurgical excision procedure versus cryotherapy in the treatment of cervical intraepithelial neoplasia: a systematic review and meta-analysis of randomized controlled trials. Gynecol Minim Invasive Ther 2018; 7(4):145–151. doi:10.4103/GMIT.GMIT_56_18
- Clarke MA, Unger ER, Zuna R, et al. A systematic review of tests for postcolposcopy and posttreatment surveillance. J Low Genit Tract Dis 2020; 24(2):148–156. doi:10.1097/LGT.00000000000526
- Darragh TM, Colgan TJ, Cox JT, et al; Members of LAST Project Work Groups. The Lower Anogenital Squamous Terminology Standardization Project for HPV-Associated Lesions: background and consensus recommendations from the College of American Pathologists and the American Society for Colposcopy and Cervical Pathology. Arch Pathol Lab Med 2012; 136(10):1266–1297. doi:10.5858/arna.LGT200570
- World Health Organization. WHO guidelines for screening and treatment of precancerous lesions for cervical cancer prevention. Geneva, Switzerland: World Health Organization; 2013. https://www.ncbi.nlm.nih.gov/books/NBK195239/pdf/Bookshelf_ NBK195239.pdf. Accessed August 31, 2021.
- 9. Cobas PI. https://www.accessdata.fda.gov/cdrh_docs/pdf10/ p100020s017c.pdf. Accessed August 31, 2021.
- Onclarity HPV assay package insert. https://www.accessdata.fda. gov/cdrh_docs/pdf16/P160037C.pdf. Accessed August 31, 2021.
- FDA executive summary. New approaches in the evaluation for high-risk human papillomavirus nucleic acid detection devices. https://www.fda.gov/media/122799/download. Accessed August 31, 2021.

Also, some cytologic and HPV results have been found to be disproportionately important for the risk of invasive cancer. Specifically, results showing the genotype HPV 18, HPV-negative atypical glandular cells, and atypical squamous cells cannot exclude highgrade atypical squamous cells. These patients are recommended for colposcopy even though they do not meet the 4% immediate CIN 3+ risk threshold. For safety reasons, this recommendation considers absolute risk of cancer in addition to risk of precancer.¹

DISCLOSURES

The authors report no relevant financial relationships which, in the context of their contributions, could be perceived as a potential conflict of interest.

- Huh WK, Ault KA, Chelmow D, et al. Use of primary high-risk human papillomavirus testing for cervical cancer screening: interim clinical guidance. Gynecol Oncol 2015; 136(2):178–182. doi:10.1016/j.ygyno.2014.12.022
- McCredie MR, Sharples KJ, Paul C, et al. Natural history of cervical neoplasia and risk of invasive cancer in women with cervical intraepithelial neoplasia 3: a retrospective cohort study. Lancet Oncol 2008; 9(5):425–434. doi:10.1016/S1470-2045(08)70103-7
- Rodríguez AC, Schiffman M, Herrero R, et al. Rapid clearance of human papillomavirus and implications for clinical focus on persistent infections. J Natl Cancer Inst 2008; 100(7):513–517. doi:10.1093/jnci/djn044
- Chen Z, Schiffman M, Herrero R, et al. Classification and evolution of human papillomavirus genome variants: Alpha-5 (HPV26, 51, 69, 82), Alpha-6 (HPV30, 53, 56, 66), Alpha-11 (HPV34, 73), Alpha-13 (HPV54) and Alpha-3 (HPV61). Virology 2018; 516:86–101. doi:10.1016/j.virol.2018.01.002
- Demarco M, Egemen D, Raine-Bennett TR, et al. A study of partial human papillomavirus genotyping in support of the 2019 ASCCP Risk-Based Management Consensus Guidelines. J Low Genit Tract Dis 2020; 24(2):144–147. doi:10.1097/LGT.00000000000530
- 17. Egemen D, Cheung LC, Chen X, et al. Risk estimates supporting the 2019 ASCCP Risk-Based Management Consensus guidelines. J Low Genit Tract Dis 2020; 24(2):132–143. doi:10.1097/LGT.00000000000529
- Cheung LC, Egemen D, Chen X, et al. 2019 ASCCP Risk-based management consensus guidelines: methods for risk estimation, recommended management, and validation. J Low Genit Tract Dis 2020; 24(2):90–101. doi:10.1097/LGT.00000000000528
- Gage JC, Hunt WC, Schiffman M, et al. Similar risk patterns after cervical screening in two large US populations: implications for clinical guidelines. Obstet Gynecol 2016; 128(6):1248–1257. doi:10.1097/AOG.00000000001721
- Schiffman M, Wentzensen N, Perkins RB, Guido RS. An Introduction to the 2019 ASCCP risk-based management consensus guidelines. J Low Genit Tract Dis 2020; 24(2):87–89. doi:10.1097/LGT.00000000000531
- 21. American Society for Colposcopy and Cervical Pathology. ASCCP risk-based management consensus guidelines. https://www.asccp. org/mobile-app. Accessed August 31, 2021.

Address: Amy Wiser, MD, FAAFP, Emma Jones Hall, Oregon Health & Science University, 3181 SW Sam Jackson Park Rd, Portland, OR 97239; wisera@ohsu.edu

Benita Tjoe, MD*

Barbra Streisand Women's Heart Center, Smidt Heart Institute, Cedars-Sinai Medical Center, Los Angeles, CA Lili Barsky, MD*

Barbra Streisand Women's Heart Center, Smidt Heart Institute, Cedars-Sinai Medical Center, Los Angeles, CA

Janet Wei, MD

Barbra Streisand Women's Heart Center, Smidt Heart Institute, Cedars-Sinai Medical Center, Los Angeles, CA; Investigator, Women's Ischemia Trial to Reduce Events in Nonobstructive CAD (WARRIOR) NCT03417388; Investigator, Women's Ischemia Syndrome Evaluation (WISE) NCT00000554

Bruce Samuels, MD

Smidt Heart Institute, Cedars-Sinai Medical Center, Los Angeles, CA

CME MOC

Babak Azarbal, MD

Smidt Heart Institute, Cedars-Sinai Medical Center, Los Angeles, CA

C. Noel Bairey Merz, MD

Director, Barbra Streisand Women's Heart Center, Smidt Heart Institute, Cedars-Sinai Medical Center, Los Angeles, CA; Investigator, Women's Ischemia Trial to Reduce Events in Nonobstructive CAD (WARRIOR) NCT03417388; Investigator, Women's Ischemia Syndrome Evaluation (WISE) NCT00000554

Chrisandra Shufelt, MD, MS

Associate Director, Barbra Streisand Women's Heart Center, Smidt Heart Institute, Cedars-Sinai Medical Center, Los Angeles, CA; Investigator, Women's Ischemia Trial to Reduce Events in Nonobstructive CAD (WARRIOR) NCT03417388; Investigator, Women's Ischemia Syndrome Evaluation (WISE) NCT00000554

Coronary microvascular dysfunction: Considerations for diagnosis and treatment

ABSTRACT

Ischemia and no obstructive coronary artery disease (INOCA) is an increasingly recognized cause of angina, and it is more commonly diagnosed in women. Coronary microvascular dysfunction (CMD), or the abnormal dilation and constriction of the small vessels of the heart, is the underlying cause of INOCA in one-half of cases. This review discusses coronary microvascular pathophysiology, considerations for invasive coronary function testing and noninvasive diagnostic modalities, implications for management, and remaining knowledge gaps.

KEY POINTS

Women presenting with signs and symptoms of myocardial ischemia are more likely than men to have no obstructive coronary artery disease.

CMD should be considered in patients presenting with persistent angina, evidence of ischemia, and no obstructive coronary artery disease.

CMD is associated with considerable risk of major adverse cardiac events including heart failure, myocardial infarction, stroke, and death.

*These authors contributed equally to this work. doi:10.3949/ccjm.88a.20140

 \mathbf{F} rom one-half to two-thirds of women with angina symptoms who undergo coronary angiography for suspected ischemic heart disease have no obstructive coronary artery disease (CAD), according to some estimates.¹⁻³ This condition, characterized by signs and symptoms of ischemia in the setting of nonobstructive CAD (defined as < 50% stenosis on diagnostic angiography),⁴ is termed "ischemia and no obstructive coronary artery disease" (INOCA).⁵ Recent studies have estimated that there are at least 3 to 4 million patients with stable INOCA in the United States,⁶ and it is more prevalent in women than in men.⁷ The overall prevalence of INOCA has been increasing as clinical recognition grows, along with expanded use of diagnostic tests to assess microvascular dysfunction.

Coronary microvascular dysfunction (CMD) and vasospasm of the epicardial arteries are the 2 most common causes of INOCA.⁸ In studies, nearly 50% of patients with INOCA have been found to have abnormal vasomotor behavior of the coronary microvasculature.⁹ Although CMD occurs in both men and women, it is more prevalent in women, with a 2015 study showing that 66% of females and 60% of males with nonobstructive CAD had CMD on invasive testing.¹⁰

This work was supported by contracts from the National Heart, Lung, and Blood Institutes Nos. N01-HV-68161, N01-HV-68162, N01-HV-68163, N01-HV-68164, grants U0164829, U01 HL649141, U01 HL649241, K23HL105787, K23HL127262, K23HL125941, T32HL69751, R01 HL090957, R01 HL146158, U54 AG065141, 1R03AG032631 from the National Institute on Aging, GCRC grant M01-RR00425 from the National Center for Research Resources, the National Center for Advancing Translational Sciences grants UL1TR000124 and UL1TR000064, and grants from the Gustavus and Louis Pfeiffer Research Foundation, Danville, NJ; The Women's Guild of Cedars-Sinai Medical Center, Los Angeles, CA; The Ladies Hospital Aid Society of Western Pennsylvania, Pittsburgh, PA; QMED Inc, Laurence Harbor, NJ; the Edythe L. Broad and the Constance Austin Women's Heart Research Fellowships, Cedars-Sinai Medical Center, Los Angeles, CA; the Society for Women's Health Research (SWHR), Washington, DC; and the Linda Joy Pollin Women's Heart Health Program, Erika Glazer Women's Heart Research Initiative, and Adelson Family Foundation, Cedars-Sinai Medical Center, Los Angeles, CA.

Historically, patients with INOCA were thought to have a good prognosis and relatively low incidence of a major adverse cardiac event (MACE). However, this understanding has since been refuted by studies showing that patients with INOCA have elevated risk for cardiovascular events including acute coronary syndrome, heart failure hospitalization, stroke, and death.⁶ The Women's Ischemia Syndrome Evaluation (WISE) study, started in 1996, has followed more than 900 women with signs and symptoms of myocardial ischemia who had undergone clinically indicated coronary angiography. Of these women, nearly two-thirds were found to have no obstructive CAD.11 An analysis of this cohort found that INOCA was associated with a higher rate of MACE than in patients with normal coronary arteries, with a 2.5% rate of death, nonfatal myocardial infarction, nonfatal stroke, and heart failure hospitalization at the 5-year followup.5

Hospitalizations for angina were found to have occurred at relatively constant rates during a 9.1-year follow-up study.¹¹ Furthermore, women with stable INOCA and nonobstructive CAD had 10-year all-cause mortality and cardiac mortality rates of 17% and 11%, respectively, compared with 10% and 6% in women with normal coronary arteries.¹² The WISE study has also shown that females with INOCA are at increased risk for progression to obstructive CAD.¹³

In addition to adverse clinical outcomes, CMD and persistent anginal symptoms affect patients' quality of life, limit their exercise capacity, and may contribute to unnecessary testing, costs, and more frequent healthcare visits.¹⁴ Thus, early diagnosis and intervention are crucial to improving outcomes.

At present, invasive coronary function testing (CFT) is the technical standard for diagnosing CMD and coronary vasospasm. It can identify those at higher risk of MACE and help facilitate medical management. This review will discuss coronary microvasculature physiology, CFT, noninvasive diagnostic modalities, and treatment options, as well as knowledge gaps and future directions regarding CMD.

TINY VESSELS, BIG EFFECTS

The coronary microvasculature consists of the smaller cardiac vessels including the pre-arterioles (diameter 100–500 μ m) and intracardiac arterioles (< 100 μ m).¹⁵ These arterioles are regulated by different mechanisms (**Figure 1**) that work in tandem to modulate cardiac blood flow, as follows:

- Larger proximal arterioles use endothelialdependent vasodilatory mechanisms by which an increase in coronary blood flow leads to vasodilation and a decrease in blood flow leads to vasoconstriction
- Medium-sized arterioles have vascular smooth muscle cell stretch receptors to detect intraluminal pressure
- The smallest distal arterioles are regulated by local metabolic activity.¹⁵

The endothelium, or the layer of cells lining the arteries and arterioles, plays a vital role in regulating blood flow to the myocardium.¹⁶ A healthy endothelium promotes vasodilation, antioxidant effects, inhibition of smooth muscle cell proliferation, and anticoagulant effects. Furthermore, endothelial cells act to regulate inflammation and serve as a barrier to potentially toxic materials.¹⁷ An imbalance of nitric oxide consumption is thought to be the primary driver of dysfunction, leading to the inability to properly dilate and to subsequent ischemia.¹⁸ Endothelium dysfunction is a principal contributor to both macro- and microvascular coronary dysfunction and is thought to be a key player in the development of plaque progression and atherosclerosis.¹⁶

CMD may be characterized by heightened sensitivity of the small vessels to vasoconstrictor stimuli and decreased microvascular vasodilator capacity.¹⁹ In healthy vessels, adenosine, acetylcholine, and nitroglycerin induce vasodilation.²⁰ However, in patients with CMD, the microvasculature may exhibit a blunted vasodilatory response to these agents. Coronary flow reserve (CFR)-the ratio of coronary blood flow at maximal dilation in response to intracoronary adenosine from baseline—is impaired in patients with CMD. In the setting of endothelial dysfunction, acetylcholine may induce paradoxical vasoconstriction and micro- or macrovascular vasospasm in compromised vessels. Nitroglyc-

From 3 to 4 million Americans may have stable INOCA

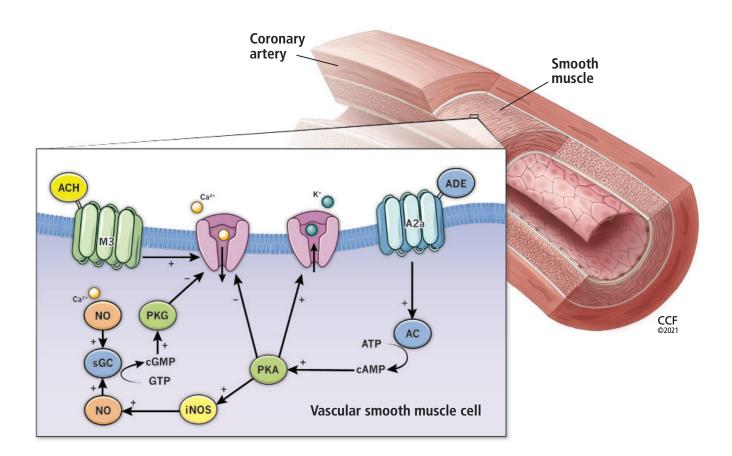


Figure 1. The effects of acetylcholine (ACH) and adenosine (ADE) on the smooth muscle of the coronary vasculature. ACH binds to the muscarinic receptor (M3), stimulating the release of calcium (Ca²⁺) into the vascular smooth muscle cell, which drives nitric oxide (NO) formation for vasodilation and also drives contraction for vasoconstriction. ADE stimulates the conversion of adenosine triphosphate (ATP) to cyclic adenosine monophosphate (cAMP), leading to inhibition of calcium influx and induction of nitric oxide formation, both of which result in vasodilation.

A2a = adenosine receptor; AC = adenylate cyclase; ACh = acetylcholine; ADE = adenosine; ATP = adenosine triphosphate; Ca^{2+} = calcium; cAMP = cyclic adenosine monophosphate; cGMP = cyclic guanosine monophosphate; eNOS = endothelial nitric oxide synthase; GTP = guanosine triphosphate; iNOS = inducible nitric oxide synthase; M3 = muscarinic receptor; NO = nitric oxide; PKA = protein kinase activation; PKG = guanosine monophosphate-dependent protein kinase; sGC = soluble guanylate-cyclase

erin response is used to evaluate for nonendothelial-dependent macrovascular function.

RISK FACTORS AND CLINICAL PRESENTA-TION OF MICROVASCULAR DYSFUNCTION

Traditional cardiovascular risk factors, including hypertension, hyperlipidemia, advanced age, obesity, smoking, and diabetes, have been found to be associated with CMD.^{19,21} Aging is associated with an increase in arterial wall stiffness, medial thickening, and lumen enlargement that results in an increase in pulse pressure and hypertrophy of arteries, ultimately contributing to endothelial dysfunction.²² Studies have found that CFR is reduced in patients with diabetes, which is thought to be a consequence of the microvascular inflammation that also leads to diabetic retinopathy and nephropathy.²³ Additionally, smokers and patients with chronic inflammatory conditions such as rheumatoid arthritis and systemic lupus erythematosus often have lower CFR, with a 21% reduction observed in smokers.²⁴

Coronary function testing: Protocol and methods

Several protocols exist for coronary function testing for the purposes of evaluating for coronary microvascular dysfunction (CMD) and coronary vasospasm. At our institution, we utilize the following methods:

Patient preparation. Patients fast for 12 hours before the scheduled procedure.³⁰ To avoid confounding of results, patients are asked to discontinue caffeine, long-acting nitrates, short-acting calcium channel blockers, alpha- and beta-blockers, angiotensin-converting enzyme inhibitors, angiotensin receptor blockers, renin and aldosterone inhibitors, ranolazine, diuretics, angiotensin-neprilysin inhibitors, ticagrelor, and aspirin-dipyridamole for 24 hours before the procedure. Long-acting calcium channel blockers are withheld for 48 hours, and sublingual nitroglycerin and nicotine are held for 4 hours prior to testing.

Vasoactive agent preparation. Two concentrations of acetylcholine (0.182 µg/mL and 18.2 µg/mL) are premixed by the hospital pharmacy, within 3 hours of the scheduled procedure.³⁰ Two doses of adenosine (18 µg and 100 µg) and 200 µg of nitroglycerin are prepared by the catheterization laboratory nursing staff. Of note, the precise agent dosages used may vary by institution.

Angiography to confirm nonobstructive disease. Coronary angiography is performed to assess for atherosclerosis, myocardial bridging, anomalies, and slow flow. Any catheter-induced spasm or chest pain observed during contrast administration or catheter manipulation is also documented. Left ventricular end-diastolic pressure is measured. Fractional flow reserve should be measured to rule out hemodynamically significant stenoses. Any obstructive disease or spontaneous spasm observed at this time may obviate the need for further testing.

Intracoronary vasoactive agent infusions. Patients are given body-weight-adjusted heparin to achieve activated clotting time above 250 seconds.³⁰ A 0.014-inch Doppler guidewire is placed into the proximal to mid left anterior descending artery until an adequate Doppler signal is obtained. Prior to each infusion, a baseline heart rate, blood pressure, and average peak velocity of blood flow are recorded. At specified time intervals, the peak heart rate, blood pressure, and average peak velocity are documented. The line is flushed, and return to baseline average peak velocity is permitted before infusion of subsequent dosages and agents. Any symptoms, ischemic changes on electrocardiography, or arrhythmias during infusions are noted. Cine images are taken at 2 time points: when the baseline average peak velocity is measured, and immediately after the peak average peak velocity is measured. This allows quantitative coronary angiography measurement of the vessel diameter 5 mm distal to the tip of the Doppler guidewire.

Assessment of mechanistic pathways and significance. This assessment is most often performed to completion in the following order to assess for the simultaneous presence of multiple pathways of coronary artery dysfunction. If the patient experiences a complication at any time, the procedure may be aborted.

1. Nonendothelial dependent microvascular function: First, 18- μ g and 100- μ g dosages of adenosine are consecutively delivered via intracoronary bolus to induce maximal hyperemia.³⁰ Coronary flow reserve is calculated as the ratio of the peak to baseline average peak velocities.^{30,31} A peak flow reserve < 2.5 is considered abnormal.

2. Endothelial-dependent macrovascular function: Next, the $0.182-\mu$ g/mL and $18.2-\mu$ g/mL concentrations of acetylcholine are administered via infusion pump at a rate of 2 to 3 mL over 3 minutes. The change in vessel diameter is then calculated by quantitative coronary angiography. An increase in mean lumen diameter of $\leq 5\%$ suggests dysfunction. Of note, the infusion rate may vary according to institutional protocol. Coronary angiography is performed after each dosage of acetylcholine to evaluate for epicardial vasospasm. It is important to withdraw the contents of the guide catheter prior to angiography in order to avoid inadvertently delivering a bolus of any acetylcholine that may be left in the guide catheter after the slow infusion.

3. Endothelial-dependent microvascular function: The aforementioned infusion of the 18.2- μ g/mL concentration of acetylcholine is used to calculate coronary blood flow, with 2 to 3 mL infused over 3 minutes. Coronary angiography is performed after each dose of acetylcholine to evaluate for epicardial vasospasm. Again, it is important to withdraw the contents of the guide catheter prior to angiography. A < 50% increase in coronary blood flow is considered abnormal. As described above, the infusion rate may vary according to institutional protocol.

4. Vasospasm: As the graded 0.182-µg/mL and 18.2-µg/mL dose infusions of acetylcholine are given, the operator assesses for signs of vasospasm. If no spasm is exhibited, a higher concentration of acetylcholine is infused at a rate of 2 mL per minute for 3 minutes. If spasm is provoked, subsequent higher acetylcholine dose testing is withheld, and nitroglycerin is administered immediately. Epicardial vasospasm is defined as a diameter reduction > 90% associated with chest pain or ischemic ST-segment changes, or both. Microvascular coronary spasm is suggested by chest pain and ischemic ST-segment changes without significant epicardial artery vasoconstriction.

5. Nonendothelial-dependent macrovascular function: After acetylcholine infusion, nitroglycerin (150–200 μ g) is given, and quantitative coronary angiography is performed after 30 seconds. Dilation < 20% is considered abnormal. This measurement allows for assessment of the macrovascular responsiveness to treatment with nitrates.

6. Nociceptive abnormality: Heightened pain sensitivity at any point in the procedure, demonstrated by chest pain during catheter manipulation or contrast administration, is suggestive of nociceptive abnormality. There is also evidence that women with a history of an adverse pregnancy outcome such as preeclampsia, gestational hypertension, or diabetes may have an increased risk of CMD.¹³

Symptoms include chest discomfort, dyspnea, and reduced exercise tolerance, with some patients having angina that persists after cessation of exertion.²⁵ Because nitroglycerin acts preferentially to dilate larger vessels of the heart and has little effect on the smaller arterioles, it may not provide symptom relief to patients with CMD.²⁶ Objective clinical evidence of myocardial ischemia can include elevated troponin levels and ST-segment electrocardiographic or imaging abnormalities at rest or with stress.²⁷

CORONARY FUNCTION TESTING

When is it appropriate?

Testing for CMD should be considered for patients with persistent symptoms and objective signs of myocardial ischemia despite absence of obstructive CAD (< 50% coronary artery diameter reduction).²⁸ But before invasive testing, patients should be evaluated for other diagnoses, including hyperthyroidism, anemia, hypertensive urgency, and substance abuse.

For patients with persistent symptoms but angiographically normal coronary arteries or nonobstructive CAD, the 2019 European Society of Cardiology guidelines recommend "consideration" (ie, the weight of evidence favors efficacy, but it is not well established) of guidewire-based CFR measurements and intracoronary acetylcholine for assessment of spasm.²⁹ These guidelines also recommend consideration of noninvasive transthoracic Doppler of the left anterior descending artery, cardiac magnetic resonance imaging, and positron emission tomography for CFR measurement.²⁹

The decision to proceed with invasive CFT depends on a variety of factors including local hospital practices, patient preference, goals, and availability of CFT and noninvasive diagnostic modalities. Discussion with the patient includes a comprehensive individualized evaluation to determine whether there is more benefit than risk. In patients with prior myocardial infarction or high suspicion for vasospasm, invasive CFT with acetylcholine provocation testing is preferable based on its ability to delineate pathways and elicit spasm.

For a detailed description of the CFT protocol used at our institution, see "**Coronary function testing: Protocol and methods**."^{30,31}

Procedure

CFT is an angiographic procedure to evaluate both endothelial-dependent and nonendothelial-dependent macrovascular and microvascular response to vasoactive agents in patients with INOCA (**Table 1**). After diagnostic angiography to exclude obstructive epicardial disease, myocardial bridging, and other coronary anomalies, the drugs adenosine, acetylcholine, and nitroglycerin are administered sequentially to evaluate for microvascular function, vasospasm, and smooth muscle response.^{32,33}

Functional testing involves inserting a guiding catheter and positioning a Doppler wire into the coronary artery to be studied. Typically, this evaluation is done in the left anterior descending artery, but it can also be performed in the left circumflex and right coronary arteries.

Adenosine. First, an intracoronary injection of adenosine is given to assess nonendothelial-dependent dysfunction. Flow reserves are measured before and after adenosine administration. Graded doses are used to achieve maximum hyperemia. A CFR below 2.5 is diagnostic for nonendothelial-dependent microvascular dysfunction. When interpreting CFR results, it is important to note that this measurement has been shown to be a continuous predictor of MACE, similar to blood pressure and low-density lipoprotein cholesterol levels.⁵ It has also been shown that patients with stable INOCA and a CFR below 2.0 experience higher MACE rates (in females and males).³⁴ In the WISE study, it was shown that a CFR below 2.32 best predicted adverse outcomes in women.⁵

Acetylcholine. Next, acetylcholine is administered to assess for endothelial-dependent dysfunction. It is given in increasing concentrations to stimulate the release of nitric oxide. An increase in coronary blood flow less than 50% is diagnostic of endothelialdependent microvascular dysfunction. Vessel diameter response is measured, and a change of less than 5% is diagnostic for endothelialdependent macrovascular dysfunction. A

Coronary microvascular dysfunction is more prevalent in women

TABLE 1

Invasive coronary function testing in patients with INOCA: Three medications

Drug administered	Results	Diagnosis
1. Adenosine	Coronary flow reserve < 2.5	Nonendothelial-dependent microvascular dysfunction
2. Acetylcholine	< 50% increase in coronary blood flow	Endothelial-dependent microvascular dysfunction
	< 5% increase in coronary artery diameter	Endothelial-dependent macrovascular dysfunction
	 > 90% decrease in coronary artery diameter Chest pain and ischemic ST-segment changes on electrocardiography 	Epicardial coronary spasm
	Chest pain and ischemic ST-segment changes on electrocardiography in the absence of significant epicardial coronary vasoconstriction	Microvascular coronary spasm
3. Nitroglycerin	< 20% increase in coronary artery diameter	Nonendothelial-dependent macrovascular dysfunction

Endothelial dysfunction contributes to macro- and microvascular coronary dysfunction

higher dose of acetylcholine is given to assess for coronary spasm. Coronary vasospasm is defined as more than a 90% diameter reduction with chest pain and ischemic ST-segment

INOCA = ischemia and no obstructive coronary artery disease (ie, < 50% stenosis)

changes on electrocardiography. Nitroglycerin. The last drug administered is nitroglycerin to test macrovascular function. A change in diameter of less than 20% indicates abnormal smooth muscle reactivity and nonendothelial-dependent macrovascular dysfunction.

If the patient experiences chest pain during catheter manipulation or contrast administration, it suggests nociceptive abnormality. This is thought to be associated with altered afferent neuronal pathways, change in cerebral cortical activation, or reduced endogenous opioid release.²⁸

CFT has been shown to be safe and effective overall, with the WISE study reporting a low rate of serious adverse events (0.7%),³²

although these rates were observed at healthcare centers of excellence. A prospective multicenter study has reported cases of coronary artery dissection, ST-elevation myocardial infarction associated with vasospasm, transient air microembolism, and deep vein thrombosis, but the overall rate of periprocedural adverse events was low at 1.4%.³² As the reactivity testing prolongs the length of angiography, precautions must be taken for those at higher risk of contrast-induced nephropathy.³⁵ Adenosine should be avoided or used with caution in patients with a history of asthma, as it may contribute to bronchospasm.

OTHER DIAGNOSTIC APPROACHES

Pharmacologic stress testing using noninvasive diagnostic modalities—positron emission tomography, cardiac magnetic resonance imaging, and transthoracic Doppler echocardiography—and an empiric approach to therapy can also be used to assess for CMD. These may provide a suitable approach for patients who are hesitant to proceed with invasive testing.

Positron emission tomography utilizes various radioactive tracers, in patients both at rest and with vasodilator-induced stress, to quantify absolute myocardial blood flow and detect regional variations suggestive of CMD. Computed tomography can also be performed to determine the coronary artery calcium score for risk stratification.³³

Cardiac magnetic resonance imaging is a tool with high diagnostic accuracy, low ionizing radiation, and high spatial resolution. It can be used to quantify the myocardial perfusion reserve index and assess for late gadolinium enhancement, a signal of myocardial damage and scar associated with vasomotor dysfunction.³⁶ The presence of scar is useful for risk stratification. This modality is more widely available than invasive CFT.

Doppler echocardiography of the left anterior descending artery can be used to quantify coronary blood flow. CFR calculated by this procedure has been shown to correlate well with measurements obtained through positron emission tomography and invasive techniques.³⁰ This method is less expensive and more accessible than other techniques and lacks ionizing radiation, but limits evaluation to that of the left anterior descending artery.

Empiric therapy is an approach that assesses the cardiac response to an empiric trial of drug therapy. For example, in patients with sporadic angina responsive to nitrates suggestive of vasospasm, providers can implement and monitor symptomatic response to a trial of calcium channel blockers. For those with comorbid hyperlipidemia and hypertension and high pretest probability of endothelial dysfunction and CMD, the anginal response to statins and angiotensin-converting enzyme (ACE) inhibitors can be monitored. This approach may be suitable for patients with contraindications or allergies precluding diagnostic procedures.

DRUG AND NONDRUG THERAPIES

Using CFT to identify affected pathways helps guide selection of the best targeted therapies (**Table 2**). It is important to note that treatment strategies are not clear or standardized,

TABLE 2

Treatments for coronary microvascular dysfunction based on the pathway identified by invasive coronary function testing

Endothelial dysfunction

Angiotensin-converting enzyme inhibitor

Angiotensin receptor blocker

Statin

L-arginine

Cardiac rehabilitation

Enhanced external counterpulsation

Nonendothelial dysfunction

Angiotensin-converting enzyme inhibitor

Beta-blocker

Alpha-/beta-blocker

Ranolazine

Ivabradine

Phosphodiesterase-5 inhibitor

Vasospasm

Calcium channel blocker Nitrate

Nociceptive abnormality

Tricyclic antidepressant Spinal cord stimulation

Cognitive behavior therapy

largely because of a lack of evidence-based guidelines, but there is some evidence to follow.

Nonendothelial-dependent dysfunction

Nonendothelial CMD is treated with drugs targeting ischemia. Beta-blockers and betablockers with alpha-blocking activity reduce the frequency and severity of angina and improve CFR.³⁷ They act by reducing myocardial oxygen consumption and increasing diastolic filling time. Both short-acting and long-acting nitrates help angina by promoting vasodilation and reducing preload.³⁷ Ranolazine and iyabradine can be considered in patients with Consider coronary function testing when myocardial ischemia is suspected in the presence of nonobstructive CAD refractory angina and contraindications to traditional antianginal drugs as they have less hemodynamic effect. More recently, phosphodiesterase-5 inhibitors have been used to drive vasodilation in CMD.

Endothelial dysfunction

Treatment options aimed at both macro- and microvascular dysfunction are similar to those targeting atherosclerotic disease, including ACE inhibitors, angiotensin receptor blockers, statins, and low-dose aspirin. High-dose quinapril has been associated with reduced angina in women with CMD, likely by reducing vascular inflammation.³⁸ Statins have been shown to improve exercise tolerance and reduce angina due to their anti-inflammatory effects on endothelial function.³⁹ Aspirin is recommended based on the observation that even if no significant plaque burden is seen on angiography, most patients with CMD have coronary atherosclerosis when evaluated by intravascular ultrasonography.⁴⁰ L-arginine, a precursor of nitric oxide, has been shown to improve coronary blood flow.⁴¹

Vasospasm

Pharmacologic stress testing with noninvasive diagnostic modalities is an alternative to invasive testing

Calcium channel blockers and nitrates are preferred in the setting of vasospastic angina.²⁹ The calcium channel blockers recommended are amlodipine, diltiazem, verapamil, and long-acting nifedipine. A randomized trial of patients with angina, small coronary arteries, and limited vasodilator reserve showed that patients on verapamil and nifedipine had fewer episodes of angina, consumed fewer nitroglycerin tablets, and had greater exercise tolerance than patients on placebo.⁴² Tachyphylaxis is a known risk with long-term nitrate use and, thus, drug-free intervals (12 hours daily) are recommended. Unopposed beta-blockade should be avoided as it may contribute to coronary artery spasm.⁴³ If betablockers are indicated in patients with vasospasm, a combined alpha-beta agent such as carvedilol is favored.

Nociceptive abnormality

For nociceptive abnormality identified by CFT, low-dose tricyclic antidepressants reduce the frequency of angina. In refractory cases, spinal cord stimulation, cognitive behavior therapy, and biofeedback can be considered.³⁷

Nonpharmacologic treatments

Several nonpharmacologic approaches are available for CMD. Cardiac rehabilitation has been shown to improve diastolic resting blood pressure, body mass index, and exercise capacity. These programs also improve overall quality of life and psychological morbidity.^{37,44} Enhanced external counterpulsation, a therapy consisting of pneumatic stockings on the lower extremities electronically timed to inflate during diastole and deflate during systole, can also improve CMD symptoms.³⁷ This therapy promotes collateral coronary flow and improves endothelial function by reducing afterload and increasing preload. Therapeutic lifestyle recommendations include smoking cessation, nutrition counseling, weight reduction, and regular, moderate-intensity physical therapy.

KNOWLEDGE GAPS REMAIN

Despite considerable evidence regarding MACE and long-term adverse event prognosis associated with INOCA, neither the American College of Cardiology nor the American Heart Association has guidelines for therapy. As a result, internists and cardiologists may lack confidence in the recognition, diagnosis, and management of this phenotype of ischemic heart disease. In 2017, the American College of Cardiology convened a group to review the current knowledge and provide next steps for evidence-based management.⁵ In 2019, the European Society of Cardiology published guidelines for chronic coronary syndromes that include a discussion and recommendation for evaluation of vasospastic and microvascular disease.29

At present, no standardized diagnostic algorithm exists. Decisions regarding testing may depend on patient risk stratification (ie, history of prior myocardial infarction), the impact of anginal symptoms on quality of life, and local availability of testing modalities. Compared with noninvasive methods, functional reactivity testing has the benefit of identifying the specific mechanism of dysfunction (ie, nonendothelial-dependent vs endothelial-dependent, presence of spasm, and nociceptive abnormality) to better direct therapy. However, this approach is invasive, can be time-consuming, and requires specially trained cardiac interventionalists.²⁷ Ongoing investigations are evaluating how functional testing may be implemented following standard diagnostic angiography when no obstructive lesions are found. A streamlined and abbreviated protocol of adenosine for CFR measurement followed by acetylcholine to observe angiographically for vasospasm can be easily used by interventionalists.

In addition, differences of INOCA in males vs females remain evident and require further investigation. One hypothesis is that women may have a greater ability to widen and narrow arteries perhaps as a result of the need to control blood flow during pregnancy. Additionally, women have been shown to have more pain sensation than men and, thus, may have more perceived pain and anginal symptoms.45 With regard to outcomes, females with INOCA have been shown to have higher rates of cardiac events than males. One study of 13,695 patients with INOCA found a 3-fold higher MACE rate in women compared with men in the first year.⁴⁶ A significant knowledge gap remains on this matter.

FUTURE DIRECTIONS

Recent studies have suggested that cardiomyocyte injury and myocardial stiffness caused by CMD play a role in the pathophysiology of patients with heart failure and preserved ejection fraction (HFpEF).47 It is hypothesized that microvascular endothelial dysfunction. decreased nitric oxide bioavailability, and increased cytokine signaling may contribute to the increased microvascular inflammation and myocardial fibrosis observed in these patients.^{48,49} Clinically, decreased CFR has been found to be associated with diastolic dysfunction and with a 5-fold increased rate of hospitalizations for HFpEF.47 Clinical studies also suggest a higher prevalence of HFpEF in women than in men.⁵⁰ The association between CMD and HFpEF needs further investigation, specifically with regard to sex differences.

To better target prevention and treatment, practitioners need to understand the risk factors. While traditional comorbid risk factors such as hypertension, hyperlipidemia, and diabetes have been implicated, less is known about autoimmune conditions and adverse pregnancy outcomes. Additionally, much remains to be studied about novel risk markers including high-sensitivity C-reactive protein, lipoproteins, interleukins, and other inflammatory markers.

Regarding treatment, more randomized trials are needed to guide an evidence-based approach. The Women's Ischemia Trial to Reduce Events in Nonobstructive CAD (WAR-RIOR), an ongoing multicenter, prospective, randomized, and blinded outcome trial, is designed to explore the long-term outcomes of intensive statin, ACE inhibitor, and aspirin therapy vs usual care in symptomatic women with INOCA.⁵¹ This trial aims to enroll 4,442 participants. Randomized stem cell trials are also under way to further evaluate the effectiveness of coronary CD34+ infusions in improving CFR in patients with CMD and persistent refractory angina after a trial showed that this treatment effectively reduced hospitalizations, cardiac procedures, and healthcare expenditures in patients with refractory angina.52

HOPE FOR STRONG GUIDELINES LIES IN ONGOING CLINICAL TRIALS

In patients who present with angina symptoms but who have no evidence of obstructive coronary artery disease, it is important to consider the diagnoses of INOCA and CMD given the substantial morbidity associated with this condition. At present, there is no uniform comprehensive diagnostic and therapeutic strategy or algorithm, but several options exist. Both noninvasive and invasive tests are available to establish the diagnosis. CFT is currently the therapeutic standard, providing a means to determine the mechanistic pathways for CMD that, in turn, guide targeted therapeutic options with the goal of preventing future adverse cardiac events. Our evolving knowledge of CMD and its management relies on ongoing investigations and outcomes of clinical trials.

DISCLOSURES

Dr. Wei has disclosed membership on advisory committees or review panels for Abbott Vascular. Dr. Bairey Merz has disclosed consulting for Abbott Diagnostic and Sanofi and board membership for iRhythm. The other authors report no relevant financial relationships which, in the context of their contributions, could be perceived as a potential conflict of interest.

REFERENCES

- Farrehi PM, Bernstein SJ, Rasak M, et al. Frequency of negative coronary arteriographic findings in patients with chest pain is related to community practice patterns. Am J Manag Care 2002; 8(7):643–648. pmid:12125804
- Sharaf BL, Pepine CJ, Kerensky RA, et al. Detailed angiographic analysis of women with suspected ischemic chest pain (pilot phase data from the NHLBI-sponsored Women's Ischemia Syndrome Evaluation [WISE] Study Angiographic Core Laboratory). Am J Cardiol 2001; 87(8):937–941. doi:10.1016/s0002-9149(01)01424-2
- Anderson RD, Petersen JW, Mehta PK, et al. Prevalence of coronary endothelial and microvascular dysfunction in women with symptoms of ischemia and no obstructive coronary artery disease is confirmed by a new cohort: the NHLBI-sponsored Women's Ischemia Syndrome Evaluation-Coronary Vascular Dysfunction (WISE-CVD). J Interv Cardiol 2019; 2019:7169275. doi:10.1155/2019/7169275
- Ong P, Camici PG, Beltrame JF, et al. International standardization of diagnostic criteria for microvascular angina. Int J Cardiol 2018; 250:16–20. doi:10.1016/j.ijcard.2017.08.068
- Bairey Merz CN, Pepine CJ, Walsh MN, Fleg JL. Ischemia and no obstructive coronary artery disease (INOCA): developing evidencebased therapies and research agenda for the next decade. Circulation 2017; 135(11):1075–1092. doi:10.1161/CIRCULATIONAHA.116.024534
- Herscovici R, Sedlak T, Wei J, Pepine CJ, Handberg E, Bairey Merz CN. Ischemia and no obstructive coronary artery disease (INOCA): what is the risk? J Am Heart Assoc 2018; 7(17):e008868. doi:10.1161/JAHA.118.008868
- Jespersen L, Abildstrøm SZ, Hvelplund A, et al. Symptoms of angina pectoris increase the probability of disability pension and premature exit from the workforce even in the absence of obstructive coronary artery disease. Eur Heart J 2013; 34(42):3294–3303. doi:10.1093/eurhearti/eht395
- 8. Ford TJ, Berry C. How to diagnose and manage angina without obstructive coronary artery disease: lessons from the British Heart Foundation CorMicA trial. Interv Cardiol 2019; 14(2):76–82. doi:10.15420/icr.2019.04.R1
- Reis SE, Holubkov R, Conrad Smith AJ, et al; WISE Investigators. Coronary microvascular dysfunction is highly prevalent in women with chest pain in the absence of coronary artery disease: results from the NHLBI WISE study. Am Heart J 2001; 141(5):735–741. doi:10.1067/mhj.2001.114198
- Sara JD, Widmer RJ, Matsuzawa Y, Lennon RJ, Lerman LO, Lerman A. Prevalence of coronary microvascular dysfunction among patients with chest pain and nonobstructive coronary artery disease. JACC Cardiovasc Interv 2015; 8(11):1445–1453. doi:10.1016/j.jcin.2015.06.017
- Aldiwani H, Zaya M, Suppogu N, et al. Angina hospitalization rates in women with signs and symptoms of ischemia but no obstructive coronary artery disease: a report from the WISE (Women's Ischemia Syndrome Evaluation) study. J Am Heart Assoc 2020; 9(4):e013168. doi:10.1161/JAHA.119.013168
- Kenkre TS, Malhotra P, Johnson BD, et al. Ten-year mortality in the WISE study (Women's Ischemia Syndrome Evaluation). Circ Cardiovasc Qual Outcomes. 2017; 10(12):e003863. doi:10.1161/CIRCOUTCOMES.116.003863
- 13. Patel H, Aggarwal NT, Rao A, et al. Microvascular disease and smallvessel disease: the nexus of multiple diseases of women. J Womens Health (Larchmt) 2020; 29(6):770–779. doi:10.1089/jwh.2019.7826
- Shaw LJ, Merz CN, Pepine CJ, et al. The economic burden of angina in women with suspected ischemic heart disease: results from the National Institutes of Health-National Heart, Lung, and Blood Institute-sponsored women's ischemia syndrome evaluation. Circulation 2006; 114(9):894–904. doi:10.1161/CIRCULATIONAHA.105.609990
- Kuo L, Davis MJ, Chilian WM. Longitudinal gradients for endothelium-dependent and -independent vascular responses in the coronary microcirculation. Circulation 1995; 92(3):518–525. doi:10.1161/01.cir.92.3.518

- Lerman A, Burnett JC Jr. Intact and altered endothelium in regulation of vasomotion. Circulation 1992; 86(6 suppl):III12–III19. pmid:1424046
- Kinlay S, Ganz P. Role of endothelial dysfunction in coronary artery disease and implications for therapy. Am J Cardiol 1997; 80(9A):111– 161. doi:10.1016/s0002-9149(97)00793-5
- Yang Z, Li J, Kong J, Wu S. Impairment of vascular endothelial function following reperfusion therapy in patients with acute myocardial infarction. J Int Med Res 2013; 41(4):1074–1078. doi:10.1177/0300060513487650
- Chen C, Wei J, AlBadri A, Zarrini P, Bairey Merz CN. Coronary microvascular dysfunction—epidemiology, pathogenesis, prognosis, diagnosis, risk factors and therapy. Circ J 2016; 81(1):3–11. doi:10.1253/circj.CJ-16-1002
- Britten MB, Zeiher AM, Schächinger V. Clinical importance of coronary endothelial vasodilator dysfunction and therapeutic options. J Intern Med 1999; 245(4):315–327. doi:10.1046/j.1365-2796.1999.00449.x
- Lee DH, Youn HJ, Choi YS, et al. Coronary flow reserve is a comprehensive indicator of cardiovascular risk factors in subjects with chest pain and normal coronary angiogram. Circ J 2010; 74(7):1405–1414. doi:10.1253/circj.cj-09-0897
- Moreau P, d'Uscio LV, Lüscher TF. Structure and reactivity of small arteries in aging. Cardiovasc Res 1998; 37(1):247–253. doi:10.1016/s0008-6363(97)00225-3
- 23. Pitkänen OP, Nuutila P, Raitakari OT, et al. Coronary flow reserve is reduced in young men with IDDM. Diabetes 1998; 47(2):248–254. doi:10.2337/diab.47.2.248
- Kaufmann PA, Gnecchi-Ruscone T, di Terlizzi M, Schäfers KP, Lüscher TF, Camici PG. Coronary heart disease in smokers: vitamin C restores coronary microcirculatory function. Circulation 2000; 102(11):1233– 1238. doi:10.1161/01.cir.102.11.1233
- Lanza GA, Crea F. Primary coronary microvascular dysfunction: clinical presentation, pathophysiology, and management. Circulation 2010; 121(21):2317–2325. doi:10.1161/CIRCULATIONAHA.109.900191
- Kanatsuka H, Eastham CL, Marcus ML, Lamping KG. Effects of nitroglycerin on the coronary microcirculation in normal and ischemic myocardium. J Cardiovasc Pharmacol 1992; 19(5):755–763. pmid:1381774
- Wei J, Cheng S, Merz CNB. Coronary microvascular dysfunction causing cardiac ischemia in women. JAMA 2019; 322(23):2334–2335. doi:10.1001/jama.2019.15736
- Rosen SD, Paulesu E, Wise RJ, Camici PG. Central neural contribution to the perception of chest pain in cardiac syndrome X. Heart 2002; 87(6):513–519. doi:10.1136/heart.87.6.513
- Knuuti J, Wijns W, Saraste A, et al. 2019 ESC guidelines for the diagnosis and management of chronic coronary syndromes. Eur Heart J 2020; 41(3):407–477. doi:10.1093/eurheartj/ehz425
- Saraste M, Koskenvuo J, Knuuti J, et al. Coronary flow reserve: measurement with transthoracic Doppler echocardiography is reproducible and comparable with positron emission tomography. Clin Physiol 2001; 21(1):114–122. doi:10.1046/j.1365-2281.2001.00296.x
- Gagliardi MG, Adorisio R, Crea F, Versacci P, DiDonato R, Sanders SP. Abnormal vasomotor function of the epicardial coronary arteries in children five to eight years after arterial switch operation: an angiographic and intracoronary Doppler flow wire study. J Am Coll Cardiol 2005; 46(8):1565–1572. doi:10.1016/j.jacc.2005.06.065
- 32. Wei J, Mehta PK, Johnson BD, et al. Safety of coronary reactivity testing in women with no obstructive coronary artery disease: results from the NHLBI-sponsored WISE (Women's Ischemia Syndrome Evaluation) study. JACC Cardiovasc Interv 2012; 5(6):646–653. doi:10.1016/j.jcin.2012.01.023
- Schindler TH, Schelbert HR, Quercioli A, Dilsizian V. Cardiac PET imaging for the detection and monitoring of coronary artery disease and microvascular health. JACC Cardiovasc Imaging 2010; 3(6):623– 640. doi:10.1016/j.jcmg.2010.04.007
- Murthy VL, Naya M, Taqueti VR, et al. Effects of sex on coronary microvascular dysfunction and cardiac outcomes. Circulation 2014; 129(24):2518–2527. doi:10.1161/CIRCULATIONAHA.113.008507

- 35. Kodzwa R. ACR manual on contrast media: 2018 updates. Radiol Technol 2019; 91(1):97–100. pmid:31471485
- Feher A, Sinusas AJ. Quantitative assessment of coronary microvascular function: dynamic single-photon emission computed tomography, positron emission tomography, ultrasound, computed tomography, and magnetic resonance imaging. Circ Cardiovasc Imaging 2017; 10(8):e006427. doi:10.1161/CIRCIMAGING.117.006427
- Samim A, Nugent L, Mehta PK, Shufelt C, Bairey Merz CN. Treatment of angina and microvascular coronary dysfunction. Curr Treat Options Cardiovasc Med 2010; 12(4):355–364. doi:10.1007/s11936-010-0083-8
- 38. Pauly DF, Johnson BD, Anderson RD, et al. In women with symptoms of cardiac ischemia, nonobstructive coronary arteries, and microvascular dysfunction, angiotensin-converting enzyme inhibition is associated with improved microvascular function: A double-blind randomized study from the National Heart, Lung and Blood Institute Women's Ischemia Syndrome Evaluation (WISE). Am Heart J 2011; 162(4):678–684. doi:10.1016/j.ahj.2011.07.011
- Kayikcioglu M, Payzin S, Yavuzgil O, Kultursay H, Can LH, Soydan I. Benefits of statin treatment in cardiac syndrome-X1. Eur Heart J 2003; 24(22):1999–2005. doi:10.1016/s0195-668x(03)00478-0
- Lee BK, Lim HS, Fearon WF, et al. Invasive evaluation of patients with angina in the absence of obstructive coronary artery disease. Circulation 2015; 131(12):1054–1060. doi:10.1161/CIRCULATIONAHA.114.012636
- Lerman A, Burnett JC Jr, Higano ST, McKinley LJ, Holmes DR Jr. Long-term L-arginine supplementation improves small-vessel coronary endothelial function in humans. Circulation 1998; 97(21):2123– 2128. doi:10.1161/01.cir.97.21.2123
- Cannon RO 3rd, Watson RM, Rosing DR, Epstein SE. Efficacy of calcium channel blocker therapy for angina pectoris resulting from small-vessel coronary artery disease and abnormal vasodilator reserve. Am J Cardiol 1985; 56(4):242–246. doi:10.1016/0002-9149(85)90842-2
- 43. Lanza GA, Maseri A. Coronary artery spasm. Curr Treat Options Cardiovasc Med 2000; 2(1):83–90. doi:10.1007/s11936-000-0031-0
- 44. Laksanakorn W, Laprattanagul T, Wei J, et al. Cardiac rehabilitation for cardiac syndrome X and microvascular angina: a case report. Int

J Case Rep Images 2015; 6(4):239–244.

- Bairey Merz CN. Testing for coronary microvascular dysfunction. JAMA 2019; 322(23):2358. Published November 18, 2019. doi:10.1001/jama.2019.16625
- Sedlak TL, Lee M, Izadnegahdar M, Merz CN, Gao M, Humphries KH. Sex differences in clinical outcomes in patients with stable angina and no obstructive coronary artery disease. Am Heart J 2013; 166(1):38–44. doi:10.1016/j.ahj.2013.03.015
- Taqueti VR, Solomon SD, Shah AM, et al. Coronary microvascular dysfunction and future risk of heart failure with preserved ejection fraction. Eur Heart J 2018; 39(10):840–849. doi:10.1093/eurheartj/ehx721
- Mohammed SF, Hussain S, Mirzoyev SA, Edwards WD, Maleszewski JJ, Redfield MM. Coronary microvascular rarefaction and myocardial fibrosis in heart failure with preserved ejection fraction. Circulation 2015; 131(6):550–559. doi:10.1161/CIRCULATIONAHA.114.009625
- Paulus WJ, Tschöpe C. A novel paradigm for heart failure with preserved ejection fraction: comorbidities drive myocardial dysfunction and remodeling through coronary microvascular endothelial inflammation. J Am Coll Cardiol 2013; 62(4):263–271. doi:10.1016/j.jacc.2013.02.092
- Owan TE, Hodge DO, Herges RM, Jacobsen SJ, Roger VL, Redfield MM. Trends in prevalence and outcome of heart failure with preserved ejection fraction. N Engl J Med 2006; 355(3):251–259. doi:10.1056/NEJMoa052256
- Handberg EM, Merz CNB, Cooper-Dehoff RM, et al. Rationale and design of the Women's Ischemia Trial to Reduce Events in Nonobstructive CAD (WARRIOR) trial. Am Heart J 2021; 237:90–103. doi:10.1016/j.ahj.2021.03.011
- Johnson GL, Henry TD, Povsic TJ, et al. CD34+ cell therapy significantly reduces adverse cardiac events, health care expenditures, and mortality in patients with refractory angina. Stem Cells Transl Med 2020; 9(10):1147–1152. doi:10.1002/sctm.20-0046

Address: Chrisandra Shufelt, MD, MS, Barbra Streisand Women's Heart Center, Cedars-Sinai Smidt Heart Institute, 8631 W. Third Street, Suite 740, Los Angeles, CA, 90048; Chrisandra.Shufelt@cshs.org

SYMPTOMS TO DIAGNOSIS



GREGORY W. RUTECKI, MD, Section Editor

William Gravley, MS Kirk Kerkorian School of Medicine, University of Nevada-Las Vegas, Las Vegas, NV Caleb Murphy, MD, MBA Kirk Kerkorian School of Medicine, University of Nevada-Las Vegas, Las Vegas. NV Chia-Dan Kang, MD Kirk Kerkorian School of Medicine, University of Nevada-Las Vegas, Las Vegas. NV Badrunnisa Hanif, MD Kirk Kerkorian School of Medicine, University of Nevada-Las Vegas, Las Vegas, NV

Rapid cognitive decline and myoclonus in a 52-year-old woman

52-YEAR-OLD WOMAN presented to the A emergency department in Las Vegas, NV, with progressively worsening altered mental status for the past 2 weeks. A history of symptoms was obtained from the patient's sister, with whom she was staying. The sister reported that during the week before presentation, the patient had episodes of confusion, intermittent blank staring, blurred vision, bilateral incoordination of the upper and lower extremities, difficulty following commands, and decreased verbal communication. The patient had also been holding her left hand in a fist. Two days before presentation, the patient experienced a significant decline in mentation and had multiple episodes of urinary incontinence, which she never had before. During a period of lucidity in the emergency department, the patient denied having fever, chills, nausea, vomiting, chest pain, shortness of breath, abdominal pain, dysuria, or headache.

Her symptoms included confusion, blank staring, blurred vision, incoordination of the extremities, difficulty following commands, and decreased verbal communication

The patient had a history of major depressive disorder treated with fluoxetine until 8 days before presentation, when she was switched to escitalopram by an outpatient psychiatrist owing to onset of the psychomotor symptoms. The patient had no prior blood transfusions or surgeries and no known drug allergies.

The patient was from California and spoke only Spanish. She was employed by a shoe store, was single, lived alone, and had no children. She had been fully independent in activities of daily living, maintained full-time employment, and was financially stable, but did not have health insurance. She did not smoke, drink alcohol in excess, or use recreational drugs.

doi:10.3949/ccjm.88a.20004

On physical examination, the patient was in moderate distress and exhibited waxing and waning alertness. She was consistently arousable with painful stimulation. She was aware that she was in a hospital that was not in California but was otherwise disoriented. Her Glasgow Coma Scale score was 14 out of 15, ie, responsive (range 3–15, with 3 being completely unresponsive). Her blood pressure was 148/76 mm Hg, heart rate 89 beats per minute, body temperature 36.7°C (98.0°F), and respiratory rate 20 breaths per minute, and the oxygen saturation level was 97% on room air. Body mass index was 30 kg/m².

Cardiovascular, pulmonary, and abdominal examinations were normal. The head was normocephalic and atraumatic, with anicteric sclera and moist mucous membranes. Pupils were equal, round, and reactive to light, and extraocular muscles were grossly intact.

On neuropsychiatric examination, the patient had poor concentration and difficulty participating. She had frequent episodes of staring into space with periods of rhythmic jerking of the eyes, head, and bilateral upper extremities (opsoclonus and myoclonus). During lucid intervals, she demonstrated intact cranial nerves II to XII and did not show facial asymmetry, gaze preference, inappropriate saccades, nystagmus, or dysarthria. A Babinski reflex test revealed downgoing toes bilaterally. However, significant spasticity and resistance to range of movements were noted, along with 5 to 7 beats of ankle clonus bilaterally after passive dorsiflexion.

Cerebellar and gait examinations were deferred because of the patient's inability to follow commands. Also, we could not perform a Mini-Mental State Examination or Montreal Cognitive Assessment. The patient was admitted for further evaluation.

- Which of the following would be an atypical cause of this patient's rapidly progressive cognitive decline?
- □ Stroke
- □ Toxic metabolic encephalopathy
- □ Infectious encephalomyelitis
- □ Psychosis
- □ Alzheimer disease
- □ Malignancy

DIFFERENTIAL DIAGNOSIS: DISEASES, CONDITIONS TO RULE OUT

The initial differential diagnosis for rapidly progressive cognitive decline includes vasculopathy, toxic metabolic encephalopathy, infectious encephalomyelitis, malignancy, and neurodegenerative and psychiatric causes.

Although this patient's myoclonus, progressive encephalopathy, and waxing and waning alertness would be an atypical presentation for stroke, this should be ruled out first because of the potential for rapid, irreversible ischemia to neural tissue. If diagnosed correctly and early enough, acute stroke can be treated either with antiplatelet therapy for ischemic stroke or with surgical or endovascular management for hemorrhagic stroke.

Drug-induced encephalopathies due to lithium, amitriptyline, and baclofen, heavy metal intoxication (eg, bismuth subsalicylate, manganese), and metabolic encephalopathies such as Wernicke-Korsakoff syndrome and vitamin B_{12} deficiency are all possible causes of rapidly progressive dementia. Given the patient's abrupt transition off fluoxetine before admission, serotonin syndrome is also a consideration.

There are several infectious causes of rapid cognitive decline. These include Whipple disease (subacute dementia, ataxia, and myoclonus), human immunodeficiency virus (HIV) encephalitis, tuberculosis, herpes simplex encephalitis, and subacute sclerosing panencephalitis.^{1,2} While not strictly caused by infectious pathogens, spongiform encephalopathies (also called prion diseases) such as fatal familial insomnia, kuru, and Creutzfeldt-Jakob disease (CJD) can present with rapid cognitive decline. Autoimmune etiologies should also be considered, including antibodies to both extracellular antigens such as *N*methyl-D-aspartate receptor and intracellular antigens such as Hu antigens.

Common causes of chronic cognitive decline include neurodegenerative diseases such as Alzheimer disease and frontotemporal dementia (Pick disease), and dementia associated with movement disorders, such as Parkinson disease, Lewy body dementia, and Huntington disease. Although cognitive decline typically occurs over years in these diseases, atypical presentations can lead to rapidly progressive dementia in 15% to 25% of cases and thus should be considered in such cases.³

Vascular dementia (eg, multi-infarct dementia, subcortical arteriosclerotic encephalopathy) should be considered in patients with a history of or risk factors for atherosclerotic vascular disease such as diabetes mellitus and hypertension, and risk factors for thromboembolism such as atrial fibrillation and endocarditis. Additional vascular causes could be autoimmune or inflammatory in nature, including primary central nervous system vasculitis or Susac syndrome. Processes that result in masseffect central nervous system changes can facilitate acute or chronic cognitive decline. These include tumor and cyst, and disorders of cerebrospinal fluid (CSF) production or outflow, such as normal pressure hydrocephalus.

Initial diagnostic workup

In patients with rapid cognitive decline, the initial workup includes a variety of imaging and laboratory testing. Imaging should include urgent computed tomography (CT) of the head without contrast to assess for hemorrhage and mass effect, magnetic resonance imaging (MRI) of the brain, vascular imaging of the head and neck (options include magnetic resonance angiography, CT angiography, and ultrasonography), and echocardiography. Initial laboratory tests should include complete blood cell count, serum thyroid-stimulating hormone, electrolytes (including sodium, calcium, blood urea nitrogen, and creatinine), liver enzyme tests, and toxicology screening. If imaging or the history does not indicate stroke, additional testing can include thiamine and vitamin B_{12} (cobalamin) levels, serologic testing for HIV, hepatitis, and syphilis, and CSF studies, including glucose,

Infectious causes of rapid cognitive decline include Whipple disease, HIV encephalitis, tuberculosis, herpes simplex encephalitis

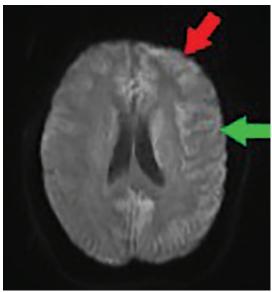


Figure 1. Diffusion-weighted magnetic resonance imaging at admission shows cortical bifrontal (red arrow) and parietal (green arrow) diffusion (cortical ribboning), with greater intensity on the left. No thalamic hyperintensity was seen. Note that the quality of this image was affected by patient movement during the procedure, in spite of attempts to sedate her.

Serotonin syndrome was unlikely as her symptoms were progressive in onset (> 24 hours) and began before switching to escitalopram

protein, gram stain, and culture. These tests can rule out most reversible causes of rapidly progressive dementia, such as infection or metabolic abnormalities.⁴

The most common first-line test for vitamin B_{12} deficiency is the serum vitamin B_{12} level, which has reasonable sensitivity and is widely available and relatively inexpensive. The serum methylmalonic acid level, the standard confirmatory test, has both higher sensitivity and specificity than serum vitamin B_{12} and can be used to track treatment response, but it is less widely available and more expensive. In practice, it is not unreasonable to order both tests at once if resources allow and if pretest probability for vitamin B_{12} deficiency is moderate or high.^{5,6}

CASE CONTINUED

Based on the patient's presentation, several potential diagnoses were ruled out. Serotonin syndrome was determined to be unlikely because her symptoms were more progressive in onset (> 24 hours) and began before switching to escitalopram. This was further supported by lack of spontaneous clonus, diaphoresis, agitation, hyperreflexia, or body temperature above 38°C (100.4°F).

The complete blood cell count results showed no leukocytosis, a mean cellular volume of 89.7 fL (reference range 80.1–98.4), and a hemoglobin of 14.8 g/dL (11.0–14.9). An electrolyte panel was normal except for hypokalemia, with a level of 2.9 mmol/L (3.5– 5.0). Phosphate and magnesium levels were normal. Results from tests for thyroid function, liver function, vitamin B_{12} , thiamine, and vitamin E were all within normal limits. A urine toxicology screen was negative. Microbiological screening assay results were negative for HIV-1 and HIV-2, viral hepatitis, syphilis, and Lyme disease.

In the likely absence of other infectious causes, fungal infection was considered. However, a beta-D-glucan assay for invasive or disseminated fungal infections was negative.

Imaging studies

CT of the head without contrast was negative for edema, herniation, hemorrhage, and ventriculomegaly, effectively ruling out mass effect or normal pressure hydrocephalus.

Brain MRI showed cortical bifrontal and parietal diffusion restriction on diffusionweighted imaging—a finding also called cortical ribboning (**Figure 1**). No thalamic hyperintensity was noted. No multiple infarcts suggestive of progressive vascular dementia were seen; these are typically seen with primary angiitis of the central nervous system or uncontrolled hypertension or diabetes.

CT angiography of the head and neck to further assess for vascular causes (ie, primary central nervous system vasculitis) noted no vascular abnormality. Echocardiography to investigate a source of possible embolus showed normal left ventricular function and no valvular pathology or thrombus. Electrocardiography showed normal sinus rhythm.

Additional laboratory testing

CSF testing showed clear, colorless fluid, glucose within normal limits, white blood cell count of 3×10^{9} /L (reference range 5–10), and red blood cell count of 19×10^{12} /L (4.2–6.1). No oligoclonal bands or organisms were seen, and CSF cultures were negative. Results from a CSF Venereal Disease Research Laboratory test and 14-pathogen meningitis polymerase chain reaction panel were also negative. (The meningitis panel detects 14 bacterial, viral, and fungal pathogens: *Escherichia coli* K1, *Haemophilus influenzae*, *Listeria monocytogenes*, *Streptococcus agalactiae*, *Streptococcus pneumoniae*, cytomegalovirus, enterovirus, herpes simplex virus 1 and 2, human herpesvirus 6, human parechovirus, varicella zoster virus, and *Cryptococcus neoformans/gatti.*)

Both the CSF protein and myelin basic protein were mildly elevated: CSF protein 65 mg/dL (14-40), myelin basic protein 6.7 ng/mL (0.0-1.2). While these CSF findings can be suggestive of multiple sclerosis,^{7,8} the clinical presentation and MRI findings did not suggest multiple sclerosis and oligoclonal bands were negative, effectively ruling out this diagnosis. As imaging findings were not consistent with progressive multifocal luekoencephalopathy and there was no history of immunosuppression, the John Cunningham viral polymerase chain reaction test was not performed on the CSF sample. A CSF analysis for diagnostic markers of Alzheimer disease, including CSF total amyloid, hyperphosphorylated tau (p-tau), and tau-tau ratio, also was not conducted during the initial evaluation, since rapidly progressive Alzheimer disease typically takes months to years to evolve, as opposed to our patient's 2-week decline.9,10

The bottom line

The patient's initial radiologic and laboratory results were largely unremarkable, except for mild CSF protein elevation and cortical ribboning on brain MRI, significant progressive cognitive decline, and myoclonus. Therefore, our focus shifted to less common causes of altered mental status, including antibody-mediated encephalitis (50–80 cases per million people per year, according to a prospective study in England),^{11,12} paraneoplastic syndrome, and prion diseases including CJD (1–1.5 cases per million population per year).¹³

AUTOANTIBODY ENCEPHALITIS: WHAT TO LOOK FOR

Antibody-mediated encephalitis

Antibody-mediated (or autoimmune) encephalitis is a class of disorders caused by antibodies typically directed against cell-surface antigens located on various components of the central nervous system. They are characterized by a wide range of neuropsychiatric symptoms, including behavioral changes, seizures, and abnormal movements. Autoantibody encephalitis can occur at all ages.

Cases typically resolve partially or completely with appropriate diagnosis and treatment. Treatment is focused on removal of any identifiable triggers (eg, tumor) and immunosuppression with systemic glucocorticoids, intravenous immunoglobulin, or plasmapheresis.

While there are more than 15 antibody-associated encephalitides that have been identified, the most common subtypes are anti-*N*-methyl-D-aspartate receptor antibody encephalitis (incidence of 1.5 cases per million per year) and leucine-rich glioma-inactivated 1 autoantibody encephalitis (0.8 cases per million per year according to a retrospective Dutch study).^{12,14}

Paraneoplastic syndromes

Paraneoplastic syndromes are the result of immune-mediated damage from malignancyassociated antibodies directed against intracellular proteins. Commonly implicated antibodies in paraneoplastic encephalitis include anti-Hu, anti-Yo, and anti-Ma antibodies.

Signs and symptoms depend on the affected component of the nervous system and may include confusion, myoclonus, and ataxia. It is not uncommon for patients to initially seek medical care for paraneoplastic symptoms resulting from an undiagnosed malignancy.¹⁵

As with the antibody-mediated encephalitides, treatment is focused on removing the underlying trigger (in this case, malignancy), and immunosuppression. Immunosuppression therapies include systemic glucocorticoids, intravenous immunoglobulin, and plasmapheresis; medications such as mycophenolate mofetil and tacrolimus are options if there is concern for a T-cell mediated process.¹⁵

Prion diseases

Prion diseases (spongiform encephalopathy) are a class of neurodegenerative diseases caused by cerebral deposition of misfolded protein and characterized by long incubation periods followed by rapid progression once clinical symptoms present.¹⁶ Sporadic CJD (sCJD) is by far the most common prion disInclude sCJD in the differential diagnosis in any patient presenting with a history of rapidly progressive dementia and myoclonus ease, accounting for 90% of all cases.¹⁷

The classic clinical manifestations of sCJD are mental deterioration and myoclonus.¹⁸ Most patients are 50 to 70 years old and demonstrate rapidly progressive cognitive impairment and confusion, sometimes with cortical visual disturbances and ataxia. The cognitive syndrome of sCJD is the most commonly reported early symptom (40%), but it can be preceded by mild psychiatric symptoms such as malaise, anxiety, mood changes, and decreased ability to concentrate.¹⁹

Sleep disturbances, especially hypersomnia, are also common and may be a presenting sign of sCJD.²⁰ Visual disturbances and oculomotor dysfunction are rarely an early symptom $(7\%)^{19}$ but frequently occur (42%) during the clinical course.²¹ On neurologic examination, dementia patterns may include apraxia, aphasia, inappropriate jocularity, inability to follow commands, and inattention.²² Involuntary movements can include myoclonus, chorea, dystonia, and tremor. In fact, myoclonus is present in more than 90% of patients with sCID at some point during their illness.²³ Thus, sCJD should be included in the differential diagnosis in any patient presenting with a history of rapidly progressive dementia and myoclonus.

EEG is an important component in the clinical diagnosis of Creutzfeld-Jakob disease

CASE CONTINUED

After ruling out the more common causes of the patient's worsening altered mental status, diagnostic laboratory testing was pursued for antibody-mediated and paraneoplastic encephalitis and prion disease.

On day 3 of her hospitalization, results from an autoantibody panel were negative, including serum and CSF testing for anti-*N*methyl-D-aspartate immunoglobulin G. On this same day, a CSF sample was sent to an outside facility for prion disease biomarker testing. Several days later, blood samples were sent to an outside facility for testing with a paraneoplastic antibody panel. Results would not be available for several weeks.

In the interim, CT of the abdomen, pelvis, and chest was done for possible malignancy. The results were normal.

In the absence of CT findings, positron emission tomography to evaluate for malignancy would have been ideal, given its increased sensitivity and specificity for malignancy of the chest, head, and neck²⁴ but was unavailable at our hospital. Transferring the patient to have the test at a different facility was not possible due to health insurance coverage restrictions.

Therefore, we started empiric treatment for autoimmune and paraneoplastic encephalitis with 5 days of methylprednisolone 1 g, followed by 5 days of 0.2 g/kg of intravenous immunoglobulin, and then 5 days of plasmapheresis.

This treatment has been shown to improve neurologic symptoms (eg, behavior, cognition, speech, memory, seizures) in about half of patients within the first 4 weeks of first-line therapy.²⁵

Her clinical condition continued to deteriorate. Although the paraneoplastic antibody panel testing was still in process at that time, the absence of an identifiable malignancy and lack of improvement with empiric treatment with corticosteroids, intravenous immunoglobulin, and plasmapheresis argued against autoimmune and paraneoplastic encephalitis, increasing our clinical suspicion for prion disease.

NEXT STEP: ADDITIONAL IMAGING

While the diagnostic workup and therapeutic efforts were being pursued, several electroen-cephalography (EEG) recordings were obtained.

2What is the most typical EEG finding in a patient with spontaneous CJD?

- □ Sporadic delta-wave activity
- □ Triphasic sharp-wave complexes
- □ K-complexes
- Beta-wave activity

Electroencephalography

EEG is an important component in the clinical diagnosis of CJD. A typical pattern of generalized, periodic, biphasic, or triphasic sharp-wave complexes of 1 to 2 Hz is reported in 65% of patients with sCJD.^{26,27} Periodic sharp waves have also been reported in cases of familial CJD. These EEG changes may not appear until later in the course of the disease, but if nonspecific findings are present on EEG, then frequent serial EEG is recommended.

It is important to recognize that EEG patterns in sCJD are nonspecific and can be observed in other causes of dementia. It should

TABLE 1

Diagnostic tests for Creutzfeldt-Jakob disease: Sensitivity and specificity

Magnetic resonance imaging Magnetic resonance imaging DWI or FLAIR ³⁰ 83% 83% At least 2 cortical regions affected (parietal-temporal-occipital) or both putamen and nucleus caudatum affected Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (83%); excellent interreader reliability (kappa 0.96) DWI and FLAIR ³¹ 91% 95% 2005 UCSF MRI criteria for CJD ³¹ Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (83%); excellent interreader reliability (kappa 0.96) DWI and FLAIR ³² 96% 93% 2005 UCSF MRI criteria for CJD ³¹ Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (79%) DWI and FLAIR ³² 96% 93% 2005 UCSF MRI criteria for CJD ³¹ Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (79%) DWI ³³ 92% 94% High-intensity lesions in the striatum (caudate or putamen, or both), lesions in the thalamus including the pulvinar, and/or lesions along the cortical ribbon (cerebral or cerebellar) Retrospective evaluation of pathology-proven CJD (78%) Electroencephalegraphy ³² 64% 91% 1996 Steinhoff criteria ²⁷ Retrospective evaluation of pathology-proven CJD Cerebrospiral fluid studies ³⁴ Electroencephalegraphy 63% Positive test Electroencephalegraphy </th <th></th> <th>Testing</th> <th>Sensitivity</th> <th>Specificity</th> <th>Diagnostic criteria</th> <th>Notes</th>		Testing	Sensitivity	Specificity	Diagnostic criteria	Notes		
FLAIR ³⁰ (parietal-temporal-occipital) or both putamen and nucleus caudatum affected Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (83%); excellent interreader reliability (kappa 0.96) DWI and P6% 93% 2005 UCSF MRI criteria for CJD ³¹ Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (83%); excellent interreader reliability (kappa 0.96) DWI and P6% 93% 2005 UCSF MRI criteria for CJD ³¹ Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (79%) DWI and P6% 93% 2005 UCSF MRI criteria for CJD ³¹ Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (79%) DWI ³³ 92% 94% High-intensity lesions in the striatum (caudate or putamen, or both), lesions in the thalamus including the pulvinar, and/or lesions along the cortical ribbon (cerebral or cerebellar) Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (78%) Electroencephalography ³² 64% 91% 1996 Steinhoff criteria ²⁷ Retrospective evaluation of pathology-proven CJD Cerebrospinal fluid studies ³⁴ 1996 Steinhoff criteria ²⁷ Retrospective evaluation of pathology-proven CJD		Magnetic resonance imaging						
FLAIR31prion disease, majority spontaneous CJD (83%); excellent interreader reliability (kappa 0.96)DWI and FLAIR3296%93%2005 UCSF MRI criteria for CJD31Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (79%)DWI3392%94%High-intensity lesions in the stria- tum (caudate or putamen, or both), lesions in the thalamus including the pulvinar, and/or lesions along the cortical ribbon (cerebral or cerebellar)Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (78%)Electroencephalography32 64%91%1996 Steinhoff criteria27Retrospective evaluation of pathology-proven CJDCerebrospirul fluid studies34Steinhoff criteria27Retrospective evaluation of pathology-proven CJD			83%	83%	(parietal-temporal-occipital) or both putamen and nucleus cauda-	Retrospective evaluation of pathology-proven CJD		
FLAIR ³² diagnosed prion disease, majority spontaneous CJD (79%) DWI ³³ 92% 94% High-intensity lesions in the striatum (caudate or putamen, or both), lesions in the thalamus including the pulvinar, and/or lesions along the cortical ribbon (cerebral or cerebellar) Retrospective evaluation of clinically diagnosed prion disease, majority spontaneous CJD (78%) Electroencephalography ³² 64% 91% 1996 Steinhoff criteria ²⁷ Retrospective evaluation of pathology-proven CJD Cerebrospinal fluid studies ³⁴ subscription disease, majority spontaneous CJD (78%) Steinhoff criteria ²⁷ Retrospective evaluation of pathology-proven CJD			91%	95%	2005 UCSF MRI criteria for CJD ³¹	prion disease, majority spontaneous CJD (83%);		
tum (caudate or putamen, or both), lesions in the thalamus including the pulvinar, and/or lesions along the cortical ribbon (cerebral or cerebellar)prion disease, majority spontaneous CJD (78%)Electroencephalography32 64% 91%1996 Steinhoff criteria27Retrospective evaluation of pathology-proven CJDCerebrospinal fluid studies34UUU			96%	93%	2005 UCSF MRI criteria for CJD ³¹	diagnosed prion disease, majority spontaneous CJD		
64% 91% 1996 Steinhoff criteria ²⁷ Retrospective evaluation of pathology-proven CJD Cerebrospinal fluid studies ³⁴		DWI ³³	92%	94%	tum (caudate or putamen, or both), lesions in the thalamus including the pulvinar, and/or lesions along the cortical ribbon (cerebral or			
Cerebrospinal fluid studies ³⁴	Electroencephalography ³²							
			64%	91%	1996 Steinhoff criteria ²⁷	Retrospective evaluation of pathology-proven CJD		
14-3-3 83% 63% Positive test	Cerebrospinal fluid studies ³⁴							
protein Retrospective analysis of 111 neuropathologically		14-3-3 protein	83%	63%	Positive test	Retrospective analysis of 111 neuropathologically		
Total tau 91% 46% Positive test confirmed sCJD cases			91%	46%	Positive test			
RT-QuIC 92% 99% Positive test		RT-QuIC	92%	99%	Positive test			

CJD = Creutzfeldt-Jakob disease; DWI = diffusion-weighted imaging; FLAIR = fluid-attenuated inversion recovery; RT-QuIC = real-time quaking-induced conversion; sCJD = sporadic Creutzfeldt-Jakob disease; UCSF = University of California, San Francisco

also be noted that variant CJD, a different CJD subtype, does not have the same pattern on EEG as sCJD. Instead, it shows nonspecific slow wave activity without periodic triphasic complexes. Sporadic delta waves are characteristic of physiologic stage N2 and N3 sleep, and K-complexes are characteristic of stage N2 sleep. Beta-wave activity on EEG is characteristic in wakefulness and rapid-eye- movement sleep.²⁸

Magnetic resonance imaging

MRI is a useful diagnostic tool in the context of suspected CJD. In sCJD, T2-weighted MRI with fluid-attenuated inversion recovery (FLAIR) will often show hyperintensity of the putamen and the head of the caudate (sensitivity 67%, specificity 93%), although numerous etiologies, including toxic, metabolic, hypoxic, and vascular, can cause hyperintensity within the basal ganglia.²⁹ In 90% of cases of variant CJD, T2-weighted MRI demonstrates hyperintensity of the posterior (pulvinar sign) (sensitivity 92%, specificity 95%) and dorsomedial thalamus (hockey-stick sign).²³ **Table 1** lists the sensitivity and specificity of diagnostic tests for CJD.^{26,27,30–34}

In both sCJD and variant CJD, diffusion-weighted imaging on MRI in particular has been shown to detect disease with high sensitivity (96%) and specificity (93%). Cortical diffusion restriction (cortical ribboning) is a characteristic feature of sCJD

COGNITIVE DECLINE

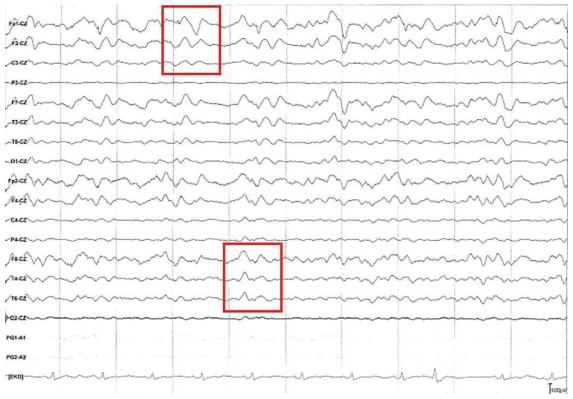


Figure 2. Electroencephalography showed continuous triphasic waves (red boxes) and diffuse cortical slowing. Diffuse slowing is seen throughout the recording, as the background frequency consists mostly of theta waves (frequency 4–7 Hz) despite provoking maneuvers and the patient not being on sedating medications.

Although CT is often one of the first tests ordered in the ER to assess altered mental status, it is not associated with distinct findings for Creutzfeldt-Jakob disease

but can also be seen in the acute phase of viral encephalitis and focal status epilepticus. Further, cortical ribboning decreases in late stages of sCJD.³⁵

That said, hyperintensity that is more pronounced on diffusion-weighted imaging than on FLAIR has been determined to be crucial when differentiating sCJD from non-prion causes of rapidly progressive dementia, as was seen in all 48 cases in a cohort of sCJD patients.³² Based on these findings, neuroradiologists at the University of California, San Francisco, proposed MRI criteria for the diagnosis of definite CJD, including diffusion-weighted imaging and FLAIR hyperintensity within the cortex (> 1 gyrus) and striatum or only in the cortex (> 3 gyri).³²

Although CT is often one of the first tests ordered in the emergency department for assessment of altered mental status, it is not associated with distinct findings for CJD.²³

CASE CONTINUED

To investigate acute cognitive decline with myoclonus, EEG performed following admission and again on hospital day 11 showed diffuse cortical slowing, with triphasic wave morphology present throughout the tracing (**Figure 2**). No seizures were observed during the studies, effectively ruling out status epilepticus.

However, after multiple episodes of seizurelike activity and decline in mentation, the patient was upgraded to critical care on day 14. On day 17, EEG again showed diffuse slow waves with triphasic morphology but no seizure activity, despite the presence of posturing movement during the study. The interpreting neurologist noted that the findings on EEG might be associated with CJD. Repeat MRI on day 20 showed resolution of cortical diffusion restriction and continued paucity of thalamic hyperintensity. These findings were also suspicious for sCJD, as the cortical ribboning seen on MRI in sCJD often fades late in the disease course.³⁵ On day 18, due to our patient's continued decline in respiratory and neurologic function (her Glasgow Coma Scale score had dropped to 8), she was intubated and started on enteral tube feedings. This decision was based on doubts about the diagnosis (although there was growing concern for prion disease at this point) and the next of kin's wishes that full medical interventions be pursued until additional family could visit the patient. In cases of likely or definite diagnosis of CJD and other end-of-life scenarios, intubation and enteral feeding are not recommended.

On day 20, the paraneoplastic panel returned negative results for all tested antibodies, including anti-Ma and anti-Hu antibodies. Carotid artery angiography to assess for vasculitis was also negative. The patient experienced several seizure-like events, and decorticate posturing was noted on day 22.

At this point, diagnostic testing had been either negative or yielded only nonspecific findings, and attempted treatments had failed to stall or improve the patient's neurologic decline. The only pending test result was for CSF prion disease biomarkers, which had been sent out on day 3.

- **3**Which of the following is the most helpful CSF test to order if you suspect prion disease?
- □ Myelin basic protein
- □ Oligoclonal bands
- □ Amyloid beta 1-42
- □ 14-3-3 protein
- Real-time quaking-induced conversion (RT-QuIC)
- □ Neuron-specific enolase

WHY TEST FOR PRION DISEASE?

CSF analysis can provide additional data if the diagnosis of CJD is uncertain. RT-QuIC monitors for formation of amyloid in real time after adding disease-associated prion protein (PrPSc) from the patient (if present) to recombinant prion protein. The mixture is shaken vigorously, exploiting the ability of PrPSc to induce misfolding of recombinant prion protein, forming aggregates. The formation of the aggregates is monitored in real time using a fluorescent dye, thioflavin T.³⁶ Cur-

TABLE 2

Criteria for probable diagnosis of sporadic CJD

 Neuropsychiatric disorder plus positive RT-QuIC in cerebrospinal fluid or other tissues

OR

- 2. All 3 of the following subcriteria:
 - 2a. Rapidly progressive dementia and at least 2 of these 4 clinical features:
 - Myoclonus
 - Visual or cerebellar disturbances
 - Pyramidal or extrapyramidal dysfunction
 - Akinetic mutism

2b. A positive result on at least 1 of the following laboratory tests:

- Typical electroencephalogram (periodic sharp-wave complexes) during an illness of any duration
- Positive 14-3-3 protein cerebrospinal fluid assay in patient with a disease duration of less than 2 years
- High signal in caudate and/or putamen on MRI, or in at least 2 cortical regions (temporal, parietal, occipital) on DWI or FLAIR

2c. No routine investigation indicates an alternative diagnosis

CJD = Creutzfeldt-Jakob disease DWI = diffusion-weighted imaging; FLAIR = fluid-attenuated inversion recovery; MRI = magnetic resonance imaging; RT-QuIC = real-time quaking-induced conversion;

From US Centers for Disease Control and Prevention, reference 41.

rently, the National Prion Disease Pathology Surveillance Center at Case Western Reserve University in Cleveland, OH, is the only facility in the United States that performs the RT-QuIC assay.³⁷

The 14-3-3 protein is believed to be a marker of massive neuronal disruption and leakage of brain proteins into the CSE.³⁸ The protein biomarker total tau (t-tau), another marker of neuronal death, has been found to be elevated in CSF in patients with sCJD, with 1 study showing it to be a more specific but less sensitive test than the 14-3-3 protein assay.³⁹

The American Academy of Neurology previously recommended CSF testing for the 14-3-3 protein to decrease uncertainty of diagnosis in patients with rapidly progressive dementia and strong suggestion of sCJD.⁴⁰ However, RT-QuIC has been shown to be a much more powerful diagnostic assay. The American Academy of Neurology has not updated its recommendation for testing for sCJD since 2012, around the same time that studies showing the favorable test characterstics of RT-QuIC began to be published. A retrospective analysis of 111 pathologically confirmed sCJD cases found that RT-QuIC had superior sensitivity and specificity in the diagnosis of sCJD when compared with 14-3-3 protein or t-tau (**Table 1**).³⁴ A prospective analysis of these data showed similar results.³⁴

Making a probable diagnosis

As such, a positive RT-QuIC assay is a highly weighted component of the scoring systems used to make the probable diagnosis of sCJD (**Table 2**).⁴¹ However, RT-QuIC can be less sensitive in some molecular subtypes of sCJD, so a negative test does not necessarily rule out the disease. In those cases, 14-3-3 protein results, clinical presentation, and characteristic findings on MRI and EEG can aid the diagnosis.³⁴

It should be noted that the most recent World Health Organization guidelines for diagnosis of CJD (released in 2003)²³ do not take RT-QuIC into account, and thus it may be considered out of date.

High levels of myelin basic protein and oligoclonal Ig G bands are CSF findings useful to diagnose demyelinating disorders such as multiple sclerosis.⁴² Amyloid beta (along with t-tau, p-tau, and tau-tau ratio)⁹ is a protein essential to the pathogenesis of Alzheimer disease, implicated in free radical-induced oxidative stress.⁴³ Neuron-specific enolase is a marker that has great utility in the evaluation of both small cell and non-small cell lung cancers, stroke and brain injury, neuroendocrine tumors, and neuroblastoma.⁴⁴

CASE CONTINUED

On day 26, the off-site CSF analysis returned positive results for RT-QuIC, 14-3-3 protein, and t-tau protein. In combination, these results are nearly 100% specific for sCJD and make other causes of dementia, such as Alzheimer disease, frontotemporal dementia, or Lewy body dementia, unlikely. The poor prognosis of CJD was discussed with the patient's sister, but further action was deferred.

The patient was noted to have continued

posturing and no longer withdrew from painful stimuli or tracked objects. When the patient's brother arrived on day 31, the family requested palliative care only. The patient was extubated and prescribed midazolam and fentanyl. She exhibited labored breathing with substernal retractions and died on hospital day 37.

EPIDEMIOLOGY OF PRION DISEASES

As noted earlier, prion diseases are neurodegenerative diseases with long incubation periods but with rapid progression once symptoms emerge. There are 5 recognized prion diseases: kuru, CJD, variant CJD, Gerstmann-Straussler-Scheinker syndrome, and fatal familial insomnia. Of these, CJD accounts for more than 90% of prion disease cases.¹⁷ However, the low incidence of CJD—about 1 case per 1 million individuals per year¹⁶—can present diagnostic challenges to practitioners unfamiliar with the disease.

Sporadic, familial, iatrogenic, and variant forms of CJD are all recognized (variant CJD is sometimes categorized separately because of its distinct clinical and pathological findings).⁴⁵ The vast majority of CID cases (85%) to 95%) are sporadic. Familial CID accounts for 5% to 15% of cases but is much less common, accounting for fewer than 1 case per 10 million people.^{18,23} However, a single autosomal dominant trait (PRNP E200K-129M) accounts for 70% of familial CJD cases, which are clustered among populations in Chile, Italy, Japan, and Slovakia, and in Jews from Libya.⁴⁶ Variant CJD is the disease type transmitted from bovine spongiform encephalopathy. As of February 2020, only 235 cases of variant CID had been reported since 1980.47

What are the risk factors for CJD?

Several studies have attempted to identify risk factors for sCJD. A review of 3 case-control studies published in 1996 showed that a family history of CJD (odds ratio [OR] 19.1) and a medical history of psychosis (OR 9.9) were the only factors significantly associated with the disease.⁴⁸ A study conducted in Australia found that living or working on a farm for more than 10 years was associated with a significantly increased risk for sCJD (OR 2.61, 95% CI 1.34–3.41).⁴⁹ A systematic review

The results of off-site CSF analysis were nearly 100% specific for sporadic Creutzfeldt-Jakob disease published in 2017 reported, based on very low-quality evidence, that sCJD was associated with heart (OR 1.96) and vascular (OR 2.13) surgery.⁵⁰ However, the low incidence of the disease makes it difficult to assess predisposing factors.

PATHOLOGY DRIVES PRECAUTIONS

Classic neuropathologic findings in CJD are marked neuronal loss, spongiform change, and astrogliosis. However, immunohistochemical staining for prion protein is considered the technical standard for diagnosing CJD (**Figure 3**).⁵¹

4 What precautions are required if CJD is suspected or diagnosed?

- $\hfill\square$ Strict isolation with hazardous material suit
- \Box Contact precautions with gloves and gowns
- Droplet precautions
- □ Airborne precautions
- □ Strict universal precautions, special
- attention to instrument-, body fluid-, and tissue-handling, and transport

Prion diseases are transmitted through contaminated instruments and infected tissues, with different tissues being categorized as having high or low infectivity. High-infectivity tissues include brain, spinal cord, eye tissues, spinal ganglia, and trigeminal ganglia. Low-infectivity tissues include CSF, peripheral nerves, blood, kidney, liver, lung, lymph nodes and spleen, and placenta.⁵² There have been only 4 cases of variant CJD transmitted via blood transfusion.⁵³ No person-to-person transmission has been reported through usual contact. If a patient is suspected to have CJD, the following measures should be taken to prevent iatrogenic or nosocomial exposure to prion disease:

- Screen donor sources of dura and cornea
- Label all reusable instruments that have contacted low- or high-infectivity tissue as "biohazard," place them in a robust, leakproof container, and transport them to sterilization as soon as possible after use
- Incinerate all disposable instruments and treat heat-resistant instruments with so-dium hydroxide
- Treat CSF as if it were highly infective tissue
- Take World Health Organization precautions for high- and low-infectivity tissues from patients with known or suspected CJD.²³

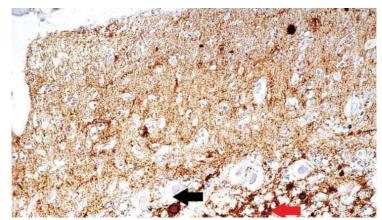


Figure 3. Immunohistochemical staining shows fine prion protein deposits in the molecular layer, coarser deposits in the granular layer, and plaques in both layers of the cerebellum (magnification × 600). Fine deposits in the upper portion (molecular layer) of the image appear as numerous dark deposits. The red arrow points to coarse deposits in the granular layer, and the black arrow points to plaque.

From Kovács GG, Head MW, Hegyi I, et al. Immunohistochemistry for the prion protein: comparison of different monoclonal antibodies in human prion disease subtypes. Brain Pathol 2002; 12(1):1–11. doi:10.1111/j.1750-3639.2002.tb00417.x. Copyright John Wiley and Sons, Inc. Reprinted with permission..

TREATMENT AND PROGNOSIS

Unfortunately, there is no curative treatment for the underlying disease process of CJD. Attempts at treatment of myoclonus using clonazepam or valproate may be helpful for palliation.²³

Prognostically, CJD is characterized by a rapidly deteriorating course. Death usually occurs within 1 to 2 years of symptom onset, most often from aspiration pneumonia.^{22,23} However, the time from presentation to death can vary among the subtypes of CJD. Based on a systematic review of more than 9,000 patients, sCJD and familial CJD have the most rapid clinical deterioration (median mortality 6 months), iatrogenic CJD has a slightly longer course (median mortality 9 months), and variant CJD and inherited prion disease have the longest course (median mortality 14 months).²³

Within sCJD, there are various molecular subtypes characterized by the presence of a valine or methionine allele at codon 129 of the prion protein gene, as well as the type of PrPSc (type 1 vs type 2), that can also affect the prognosis. For example, homozygosity for methionine at codon 129 and expression of PrPSc type 1 is the most common subtype and has the shortest duration from symptom onset to death (mean 3.9 months). Meanwhile, meImmunohistochemical staining for prion protein is considered the technical standard for diagnosing Creutzfeldt-Jakob disease thionine-valine heterozygosity and expression of PrPSc type 2 has a more prolonged course (mean 17.1 months).⁵⁴

Genetic testing for prion protein gene allelic mutations is available for patients with a family history of CJD. A diagnosis of familial CJD can be confirmed with a recognized prion protein mutation (of which there are at least 41 from unrelated families) and a definite or probable transmissible spongiform encephalopathy in a first-degree relative.

The National CJD Research & Surveillance Unit (based at the University of Edinburgh, Scotland, UK) recommends genetic analysis for prion protein codon mutations to exclude the possibility of genetic disease.⁵⁵ All patients who die with suspected or probable sporadic or variant CJD should have brain tissue frozen and sectioned postmortem to confirm the presence of PrPSc and make the definitive diagnosis.

TAKE-HOME MESSAGES

There are many possible infectious and neurodegenerative causes of dementia, making the differential diagnosis broad. Given our patient's lack of risk factors for CJD (eg, no family history

REFERENCES

- Dutly F, Altwegg M. Whipple's disease and 'Tropheryma whippelii.' Clin Microbiol Rev 2001; 14(3):561–583. doi:10.1128/CMR.14.3.561-583.2001
- Chandra SR, Viswanathan LG, Pai AR, Wahatule R, Alladi S. Syndromes of rapidly progressive cognitive decline-our experience. J Neurosci Rural Pract 2017; 8(suppl 1):S66–S71. doi:10.4103/jnrp.jnrp_100_17
- Paterson RW, Takada LT, Geschwind MD. Diagnosis and treatment of rapidly progressive dementias. Neurol Clin Pract 2012; 2(3):187–200. doi:10.1212/CPJ.0b013e31826b2ae8
- Rosenbloom MH, Atri A. The evaluation of rapidly progressive dementia. Neurologist 2011; 17(2):67–74. doi:10.1097/NRL.0b013e31820ba5e3
- Green R, Allen LH, Bjørke-Monsen AL, et al. Vitamin B12 deficiency. Nat Rev Dis Primers 2017; 3:17040. doi:10.1038/nrdp.2017.40
- Stabler SP. Clinical practice. Vitamin B12 deficiency. N Engl J Med 2013; 368(2):149–160. doi:10.1056/NEJMcp1113996
- Oksenberg JR, Panzara MA, Begovich AB, et al. Selection for T-cell receptor V beta-D beta-J beta gene rearrangements with specificity for a myelin basic protein peptide in brain lesions of multiple sclerosis. Nature 1993; 362(6415):68–70. doi:10.1038/362068a0
- Polman CH, Reingold SC, Banwell B, et al. Diagnostic criteria for multiple sclerosis: 2010 revisions to the McDonald criteria. Ann Neurol 2011; 69(2):292–302. doi:10.1002/ana.22366
- McKhann GM, Knopman DS, Chertkow H, et al. The diagnosis of dementia due to Alzheimer's disease: recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. Alzheimers Dement 2011; 7(3):263–269 doi:10.1016/j.jalz.2011.03.005
- Schmidt C, Wolff M, Weitz M, Bartlau T, Korth C, Zerr I. Rapidly progressive Alzheimer disease. Arch Neurol 2011; 68(9):1124–1130.

of psychosis or CJD), it was low on the initial list of diagnostic considerations. However, through a systematic approach and diagnostic workup, the relatively common causes were quickly ruled out, thus increasing suspicion for CJD.

Practitioners can rely on the patient's medical history and a thorough neuropsychiatric assessment to guide clinical suspicions. If support is lacking for more common diagnoses, the clinician can reach a probable diagnosis of sCJD through CSF assay and commonly ordered imaging studies.

Although prompt diagnosis does not alter the prognosis, it does provide benefits in 2 important ways. First and foremost, it helps to prevent the family from developing unrealistic expectations of patient recovery, informs decision-making on goals of care, and allows them to appropriately grieve for their loved one. Second, it serves as an educational opportunity for all healthcare professionals involved, encouraging them to widen their differential diagnosis, consider uncommon investigations, and communicate complex ideas to both colleagues and patients.

DISCLOSURES

The authors report no relevant financial relationships which, in the context of their contributions, could be perceived as a potential conflict of interest.

doi:10.1001/archneurol.2011.189

- Wandinger KP, Leypoldt F, Junker R. Autoantibody-mediated encephalitis. Dtsch Arztebl Int 2018; 115(40):666–673. doi:10.3238/arztebl.2018.0666
- Dalmau J, Graus F. Antibody-mediated encephalitis. N Engl J Med 2018; 378(9):840–851. doi:10.1056/NEJMra1708712
- US Centers for Disease Control and Prevention. Creutzfeldt-Jakob Disease, Classic (CJD). Occurrence and transmission. Reviewed May 8, 2019. https://www. cdc.gov/prions/cjd/occurrence-transmission.html. Accessed August 30, 2021.
- van Sonderen A, Thijs RD, Coenders EC, et al. Anti-LGI1 encephalitis: clinical syndrome and long-term follow-up. Neurology 2016; 87(14):1449–1456. doi:10.1212/WNL.00000000003173
- Darnell RB, Posner JB. Paraneoplastic syndromes involving the nervous system. N Engl J Med 2003; 349(16):1543–1554. doi:10.1056/NEJMra023009
- Holman RC, Belay ED, Christensen KY, et al. Human prion diseases in the United States. PLoS One 2010; 5(1):e8521. doi:10.1371/journal.pone.0008521
- 17. **Puoti G, Bizzi A, Forloni G, Safar JG, Tagliavini F, Gambetti P**. Sporadic human prion diseases: molecular insights and diagnosis. Lancet Neurol 2012; 11(7):618–628. doi:10.1016/S1474-4422(12)70063-7
- Masters CL, Harris JO, Gajdusek DC, Gibbs CJ Jr, Bernoulli C, Asher DM. Creutzfeldt-Jakob disease: patterns of worldwide occurrence and the significance of familial and sporadic clustering. Ann Neurol 1979; 5(2):177–188. doi:10.1002/ana.410050212
- 19. Rabinovici GD, Wang PN, Levin J, et al. First symptom in sporadic Creutzfeldt-Jakob disease. Neurology 2006; 66(2):286–287. doi:10.1212/01.wnl.0000196440.00297.67
- Landolt HP, Glatzel M, Blättler T, et al. Sleep-wake disturbances in sporadic Creutzfeldt-Jakob disease. Neurology 2006; 66(9):1418–1424. doi:10.1212/01.wnl.0000210445.16135.56
- Brown P, Cathala F, Castaigne P, Gajdusek DC. Creutzfeldt-Jakob disease: clinical analysis of a consecutive series of 230 neuropathologically veri-

GRAVLEY AND COLLEAGUES

fied cases. Ann Neurol 1986; 20(5):597–602. doi:10.1002/ana.410200507 22. Rinne ML, McGinnis SM, Samuels MA, Katz JT, Loscalzo J. Clinical

- problem-solving. A startling decline. N Engl J Med 2012; 366(9):836–842. doi:10.1056/NEJMcps1104209
- 23. World Health Organization. WHO Manual for Surveillance of Human Transmissible Spongiform Encephalopathies, Including Variant Creutzfeldt-Jakob disease. Geneva, Switzerland: WHO; 2003. https://www.who.int/bloodproducts/TSE-manual2003.pdf. Accessed August 30, 2021.
- Silvestri GA, Gonzalez AV, Jantz MA, et al. Methods for staging nonsmall cell lung cancer: diagnosis and management of lung cancer, 3rd ed: American College of Chest Physicians evidence-based clinical practice guidelines. Chest 2013; 143(5 suppl):e2115–e250S. doi:10.1378/chest.12-2355
- 25. **Titulaer MJ, McCracken L, Gabilondo I, et al**. Treatment and prognostic factors for long-term outcome in patients with anti-NMDA receptor encephalitis: an observational cohort study. Lancet Neurol 2013; 12(2):157–165. doi:10.1016/S1474-4422(12)70310-1
- Steinhoff BJ, Zerr I, Glatting M, Schulz-Schaeffer W, Poser S, Kretzschmar HA. Diagnostic value of periodic complexes in Creutzfeldt-Jakob disease. Ann Neurol 2004; 56(5):702–708. doi:10.1002/ana.20261
- Steinhoff BJ, Räcker S, Herrendorf G, et al. Accuracy and reliability of periodic sharp wave complexes in Creutzfeldt-Jakob disease. Arch Neurol 1996; 53(2):162–166. doi:10.1001/archneur.1996.00550020074017
- St Louis EK, Frey LC, Britton JW, Frey LC, Hopp JL, et al, eds. Electroencephalography (EEG): An Introductory Text and Atlas of Normal and Abnormal Findings in Adults, Children, and Infants. Chicago, IL: American Epilepsy Society; 2016. https://www.ncbi.nlm.nih.gov/books/NBK390354/. Accessed August 30, 2021.
- Fragoso DC, Gonçalves Filho AL, Pacheco FT, et al. Imaging of Creutzfeldt-Jakob disease: imaging patterns and their differential diagnosis. Radiographics 2017; 37(1):234–257. doi:10.1148/rg.2017160075
- Zerr I, Kallenberg K, Summers DM, et al. Updated clinical diagnostic criteria for sporadic Creutzfeldt-Jakob disease. Brain 2009; 132(Pt 10):2659–2668. doi:10.1093/brain/awp191
- Young GS, Geschwind MD, Fischbein NJ, et al. Diffusion-weighted and fluid-attenuated inversion recovery imaging in Creutzfeldt-Jakob disease: high sensitivity and specificity for diagnosis. AJNR Am J Neuroradiol 2005; 26(6):1551–1562. pmid:15956529
- Vitali P, Maccagnano E, Caverzasi E, et al. Diffusion-weighted MRI hyperintensity patterns differentiate CJD from other rapid dementias. Neurology 2011; 76(20):1711–1719. doi:10.1212/WNL.0b013e31821a4439
- Shiga Y, Miyazawa K, Sato S, et al. Diffusion-weighted MRI abnormalities as an early diagnostic marker for Creutzfeldt-Jakob disease. Neurology 2004; 63(3):443–449. doi:10.1212/01.wnl.0000134555.59460.5d
- Foutz A, Appleby BS, Hamlin C, et al. Diagnostic and prognostic value of human prion detection in cerebrospinal fluid. Ann Neurol 2017; 81(1):79–92. doi:10.1002/ana.24833
- Ukisu R, Kushihashi T, Kitanosono T, et al. Serial diffusion-weighted MRI of Creutzfeldt-Jakob disease. AJR Am J Roentgenol 2005; 184(2):560–566. doi:10.2214/ajr.184.2.01840560
- 36. Green AJE, Zanusso G. Prion protein amplification techniques. Handb Clin Neurol 2018; 153:357–370. doi:10.1016/B978-0-444-63945-5.00019-2
- Case Western Reserve University. National Prion Disease Pathology Surveillance Center. https://case.edu/medicine/pathology/divisions/prioncenter. Accessed August 30, 2021.
- Hsich G, Kenney K, Gibbs CJ, Lee KH, Harrington MG. The 14-3-3 brain protein in cerebrospinal fluid as a marker for transmissible spongiform encephalopathies. N Engl J Med 1996; 335(13):924–930. doi:10.1056/NEJM199609263351303

- Hamlin C, Puoti G, Berri S, et al. A comparison of tau and 14-3-3 protein in the diagnosis of Creutzfeldt-Jakob disease. Neurology 2012; 79(6):547–552. doi:10.1212/WNL.0b013e318263565f
- Muayqil T, Gronseth G, Camicioli R. Evidence-based guideline: diagnostic accuracy of CSF 14-3-3 protein in sporadic Creutzfeldt-Jakob disease: report of the guideline development subcommittee of the American Academy of Neurology. Neurology 2012; 79(14):1499–1506. doi:10.1212/WNL.0b013e31826d5fc3
- US Centers for Disease Control and Prevention. CDC's Diagnostic Criteria for Creutzfeldt-Jakob Disease (CJD), 2018. Reviewed October 9, 2018. https:// www.cdc.gov/prions/cjd/diagnostic-criteria.html. Accessed August 30, 2021
- 42. Giovannoni G. Cerebrospinal fluid analysis. Handb Clin Neurol 2014; 122:681–702. doi:10.1016/B978-0-444-52001-2.00029-7
- Butterfield DA. Amyloid beta-peptide (1-42)-induced oxidative stress and neurotoxicity: implications for neurodegeneration in Alzheimer's disease brain. A review. Free Radic Res 2002; 36(12):1307–1313. doi:10.1080/1071576021000049890
- Isgrò MA, Bottoni P, Scatena R. Neuron-specific enolase as a biomarker: biochemical and clinical aspects. Adv Exp Med Biol 2015; 867:125–143. doi:10.1007/978-94-017-7215-0_9
- Belay ED, Schonberger LB. Variant Creutzfeldt-Jakob disease and bovine spongiform encephalopathy. Clin Lab Med 2002; 22(4):849–vi. doi:10.1016/s0272-2712(02)00024-0
- Gambetti P, Kong Q, Zou W, Parchi P, Chen SG. Sporadic and familial CJD: classification and characterisation. Br Med Bull 2003; 66:213–239. doi:10.1093/bmb/66.1.213
- University of Edinburgh. Variant CJD cases worldwide. http://www.cjd. ed.ac.uk/sites/default/files/worldfigs.pdf. Accessed August 30, 2021.
- Wientjens DP, Davanipour Z, Hofman A, et al. Risk factors for Creutzfeldt-Jakob disease: a reanalysis of case-control studies. Neurology 1996; 46(5):1287–1291. doi:10.1212/wnl.46.5.1287
- Collins S, Law MG, Fletcher A, Boyd A, Kaldor J, Masters CL. Surgical treatment and risk of sporadic Creutzfeldt-Jakob disease: a case-control study. Lancet 1999; 353(9154):693–697. doi:10.1016/s0140-6736(98)08138-0
- López FJG, Ruiz-Tovar M, Almazán-Isla J, Alcalde-Cabero E, Calero M, de Pedro-Cuesta J. Risk of transmission of sporadic Creutzfeldt-Jakob disease by surgical procedures: systematic reviews and quality of evidence. Euro Surveill 2017; 22(43):16–00806. doi:10.2807/1560-7917.ES.2017.22.43.16-00806
- Kovács GG, Head MW, Hegyi I, et al. Immunohistochemistry for the prion protein: comparison of different monoclonal antibodies in human prion disease subtypes. Brain Pathol 2002; 12(1):1–11. doi:10.1111/j.1750-3639.2002.tb00417.x
- 52. World Health Organization. WHO tables on tissue infectivity distribution in transmissible spongiform encephalopathies. https://www.who.int/bloodproducts/tablestissueinfectivity.pdf. Accessed August 30, 2021.
- The University of Edinburgh. The transfusion medicine epidemiology review (TMER). https://www.cjd.ed.ac.uk/projects/transfusion-medicineepidemiology-review-tmer. Accessed August 30, 2021.
- Parchi P, Giese A, Capellari S, et al. Classification of sporadic Creutzfeldt-Jakob disease based on molecular and phenotypic analysis of 300 subjects. Ann Neurol 1999; 46(2):224–233. pmid:10443888
- 55. **The University of Edinburgh**. Investigations undertaken in possible cases of human prion disease. https://www.cjd.ed.ac.uk/sites/default/files/investigations.pdf. Accessed August 30, 2021.

Address: William Gravley, MD, 747 52nd Street, Oakland, CA 94609; William.Gravley@ucsf.edu



How to earn AMA PRA Category 1 Credit[™] and ABA, ABIM, ABP, ABPath, ABS MOC points

AMA/PRA Category 1 Credit™

To read articles as CME activities and claim credit, go to www. ccjm.org, click on the "CME/MOC" menu, and then "Articles." Find the articles that you want to read as CME activities and click on the appropriate links. After reading an article, click on the link to complete the activity. You will be asked to log in to your MyCME account (or to create an account). Upon logging in, select "CME," complete the activity evaluation, and print your certificate.

Call 216-444-2661 or e-mail ccjm@ccf.org with questions.

Maintenance of Certification (MOC) Points

All *Cleveland Clinic Journal of Medicine* CME activities are now eligible for MOC points. Physicians may claim MOC points in addition to CME credit.

Follow the instructions for completing and claiming credit for CME activities.

When you log into your MyCME account, select "CME & MOC" and enter your ABIM identification number and your date of birth. The system will store this information after you enter it the first time.

Complete the quiz and evaluation and print your CME certificate.

FINANCIAL DISCLOSURES: In accordance with the Standards for Commercial Support issued by the Accreditation Council for Continuing Medical Education (ACCME), the Cleveland Clinic Foundation Center for Continuing Education requires resolution of all faculty conflicts of interest to ensure CME activities are free of commercial bias.

October 2021 CME/MOC activities

Estimated time to complete each activity: up to 1 hour

Coronary microvascular dysfunction: Considerations for diagnosis and treatment

Rapid cognitive decline and myoclonus in a 52-year-old woman

Release date: October 1, 2021 Expiration date: September 30, 2022

AUTHOR AND STAFF DISCLOSURES: Authors' potential conflicts of interest are disclosed within their articles. *Cleveland Clinic Journal of Medicine's* staff disclose the following financial relationships that may be relevant to their editorial roles: Dr. Brian F. Mandell (Editor in Chief) reports teaching and speaking for Genentech; and consulting for Horizon Pharma. Dr. Kristin Highland (Associate Editor) has disclosed financial interests (consulting, research, teaching, and speaking) with Actelion Pharmaceuticals, Bayer Healthcare, Boehringer Ingelheim, Eiger Biopharmaceuticals, Gententech, Gossamer Bio, Lilly, Reata Pharmaceuticals, United Therapeutics, and Viela Bio. Dr. Christian Nasr (Associate Editor) reports service on advisory committees or review panels for Exelixis, Horizon Pharma, Neurogastrx, and Nevro Corp.; and consulting for Siemens.

DISCLAIMER: The information in these educational activities is provided for general medical education purposes only and is not meant to substitute for the independent medical judgment of a physician relative to diagnostic and treatment options of a specific patient's medical condition. The viewpoints expressed in these CME activities are those of the authors. They do not represent an endorsement by The Cleveland Clinic Foundation. In no event will The Cleveland Clinic Foundation be liable for any decision made or action taken in reliance upon the information provided through these CME activities.

CME ACCREDITATION:

The Cleveland Clinic Foundation Center for Continuing Education is accredited by the Accreditation Council for Continuing Medical Education (ACCME) to provide continuing medical education for physicians.

The Cleveland Clinic Foundation Center for Continuing Education designates this journalbased CME activity for a maximum of 1.0 AMA PRA Category 1 Credit[™]. Physicians should claim only the credit commensurate with the extent of their participation in the activity.

Participants claiming CME credit from this activity may submit the credit hours to the American Osteopathic Association for Category 2 credit.

MOC/CC PART II ACCREDITATION:

Successful completion of this CME activity, which includes participation in the evaluation component, enables the participant to earn up to:

- American Board of Anesthesiology (ABA) MOC: 1.0 Lifelong Learning MOC points in the ABA MOCA 2.0[®] Maintenance of Certification in Anesthesiology Program[®].
- American Board of Internal Medicine (ABIM) MOC: 1.0 Medical Knowledge MOC points in the ABIM MOC Assessment Recognition Program.
- American Board of Pathology (ABPath) CC: 1.0 Lifelong Learning credits in the ABPath Continuing Certification Program.
- American Board of Pediatrics (ABP) MOC: 1.0 Lifelong Learning & Self-Assessment MOC points in the ABP Maintenance of Certification Program.
- American Board of Surgery (ABS) CC: 1.0 Accredited CME & Self-Assessment credits toward ABS Continuous Certification Program.

It is the CME activity provider's responsibility to submit participant completion information to ACCME for the purpose of granting ABA, ABIM, ABPath and ABP credit. Credit will be reported within 30 days of claiming credit.

ABS: It is the participant's responsibility to self-report their participation per current board policy.

Please Note: To receive MOC you must select the MOC option during the online credit claiming process and complete the required steps.