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# Cytokine storm release syndrome and the prospects for immunotherapy with COVID-19, part 4: The role of JAK inhibition

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■ **ABSTRACT**

This review focuses an alternative strategy utilizing small molecules to inhibit a key signal-transduction pathway, the Janus kinase-signal transducer and activator of transcription (JAK-STAT) signaling pathway. The JAK-STAT pathway mediates biologic activity for a large number of inflammatory cytokines and mediators.

■ **INTRODUCTION**

Previous parts of this series focused on the basic immunobiology of severe COVID-19 disease and the role of inflammatory cytokines and their select targeting in an effort to limit respiratory damage, coagulopathy, end-organ failure, and death.<sup>1</sup> **Figure 1** represents the idealized model in this series, and the current iteration remains relevant, though our granular understanding of immunopathogenesis has progressed. The term “COVID-19 cytokine storm”<sup>2</sup> still has relevance, though recently some have focused on meaningful quantitative (especially interleukin 6) and qualitative differences between the inflammatory phase of COVID-19 and inflammatory states observed in other relevant disease states such as acute respiratory distress syndrome. Despite such questioning, enthusiasm remains strong for therapeutic strategies that focus on limiting damaging effects of inflammatory mediators on host tissues. The majority of biologic therapies (ie, targeted therapies) currently under investigation in COVID-19 employ monoclonal antibodies produced by recombinant technology, capable of selectively attacking inflammatory phase 3, one cytokine at a time.

The statements and opinions expressed in COVID-19 Curbside Consults are based on experience and the available literature as of the date posted. While we try to regularly update this content, any offered recommendations cannot be substituted for the clinical judgment of clinicians caring for individual patients.

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This review focuses on an alternative strategy, ie, targeted synthetic therapies, utilizing small molecules to inhibit a key shared signal-transduction pathway, the Janus kinase-signal transducer and activator of transcription (JAK-STAT) signaling pathway. The JAK-STAT pathway mediates biologic activity for a large number of inflammatory cytokines and mediators and has been targeted by several therapeutics, which are now in clinical use across a spectrum of immune-mediated inflammatory diseases.<sup>3</sup>

■ **SCIENTIFIC RATIONALE FOR JAK-STAT INHIBITION IN COVID-19**

The JAK-STAT pathway plays a major role in transferring of signals from cell-membrane receptors to the nucleus and is essential for a wide range of cytokines and growth factors to exercise their biologic activity.<sup>3</sup> JAK-STAT activation contributes to a host of critical events, such as hematopoiesis, inflammation, the development of the immune system, and deployment of a variety of effector pathways. Cytokines are one of the major products of cells of innate and adaptive immunity, and more than 60 factors bind to receptors termed type I and type II cytokine receptors and mediate their downstream effects through the JAK-STAT pathway of signal transduction. These cytokines are essential for initiating and orchestrating innate and adaptive immune reactions in health but can be the source of uncontrolled inflammation and tissue damage in the setting of a variety of genetic and acquired immune-mediated diseases including COVID-19.

The lead rationale for utilizing JAK inhibitors in COVID-19 is based on an examination of the cytokines already known to be elevated in advanced COVID-19 disease and that act via the JAK-STAT signaling pathways (**Table 1**)<sup>4</sup> This evaluation suggests that a broad-based inhibitory approach may be

**TABLE 1****Cytokines of interest in COVID-19 involving the JAK-STAT pathway**

Family	Type I cytokines	Signaling JAKs	Signaling STATs	Hyper-inflammation	Viral clearance	Safety concerns
Common β-χ	GM-CSF	JAK2	3+5	X		
Common γ-c	IL-15	JAK1, JAK3	3+5		X	
	IL-21	JAK1, JAK3	1+3+5		X	
	IL-13	JAK1, JAK3, TYK2	6	X		
	IL-2	JAK1, JAK3	3+5	X		
	IL-4	JAK1, JAK3	6	X		
	IL-7	JAK1, JAK3	3+5	X		
	IL-9	JAK1, JAK3	1+3+5	X		
Dimeric	IL-12	JAK2, TYK2	4		X	
gp130	IL-6	JAK1, JAK2, TYK2	1+3	X		
Hormone-like	G-CSF	JAK2	5	X		
	<b>Type II cytokines</b>					
IFN	IFN α/β	JAK1, TYK2	1+2+3+4+5	X	X	X
	IFN γ	JAK1, TYK2	1	X	X	X
IL-10	IL-10	JAK1, JAK2, TYK2	1+3+5	X		

G-CSF = granulocyte colony-stimulating factor; GM-CSF = granulocyte-macrophage colony-stimulating factor; IFN = interferon; IL = interleukin; JAK = Janus kinase; STAT = signal transducers and activators of transcription; TYK = tyrosine kinase

beneficial in stage 3 disease (Figure 1). Broad-based inhibition, in contrast to single anti-cytokine based therapies with monoclonal antibodies, is appealing as data supporting the identification of a single cytokine as the clear upstream source of the inflammatory process have been problematic. As proof of concept, the kinase inhibitor, ruxolitinib, has been used successfully to treat refractory cytokine storm in patients with relapsed refractory hemophagocytic lymphohistiocytosis.<sup>5</sup>

A second rationale for employing JAK inhibition in COVID-19 stems from analysis using artificial intelligence identifying a role for the JAK1 inhibitor baricitinib in inhibiting relevant inflammatory pathways, but also in providing evidence that the drug was capable of inhibiting other non-JAK kinases (ie, numb associated kinases or NAKs), which appear to be involved in viral entry.<sup>6</sup> Thus, if this is true, baricitinib may have a structural and mechanistic advantage over other agents in this class because of its capacity to limit both inflammation and viral

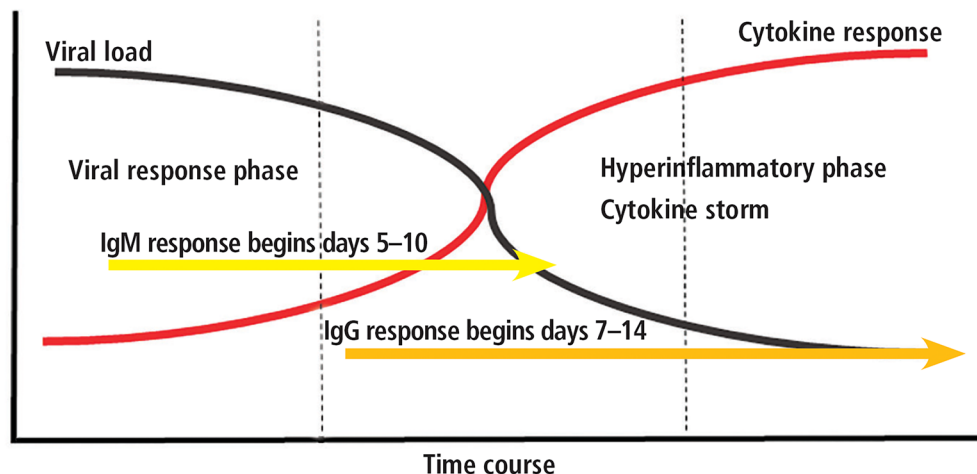
propagation. The original theoretical work is now supported by mechanistic ex vivo studies and forms the rationale for the investigation of this agent in randomized trials.<sup>7</sup>

Collectively, the potential for a broad-based immunosuppressive therapy with some antiviral activity is attractive, and the extensive experience of its use in non-COVID-19 immune diseases has also furthered our understanding of how to balance the benefits and risks when using this class of agents.<sup>8</sup>

## CLINICAL EXPERIENCE OF JAK INHIBITION IN COVID-19

To date, investigations examining the use of JAK inhibitors in COVID-19 has been limited mostly to case reports and small retrospective series that have been largely positive. Table 2 is a list (as of July 20, 2020) of registered clinical trials on [www.clinicaltrials.gov](http://www.clinicaltrials.gov) employing currently approved JAK inhibitors; other experimental JAK inhibitors and multiple non-

	<b>Stage 1</b>	<b>Stage 2</b>	<b>Stage 3</b>
	Asymptomatic	Nonsevere symptomatic	Severe respiratory-inflammatory
<b>Immune response over time:</b>	<b>Innate immune activation</b>	<b>Adaptive immune activation</b>	<b>Cytokine release syndrome</b>
<b>Self-limiting in 80%</b>	Viral engagement of PAMPs	Generation of specific antibodies and T-cell response	IL-1, IL-6, TNF, GM-CSF, IFN-gamma, others
<b>Severe in 15%–20%</b>	Low type 1 IFN	Release of DAMPs	Coagulopathy
<b>Fatal in 1%–2%</b>			Complement



DAMPs= damage-associated molecular patterns; GM-CSF = granulocyte macrophage colony-stimulating factor; IFN = interferon; IgM = immunoglobulin M; IL-1 = interleukin 1; IL-6 = interleukin 6; PAMPs = pathogen-associated molecular patterns; TNF = tumor necrosis factor

**Figure 1.** Course of COVID-19 infection: A paradigm for therapy.

JAK inhibitors are also being currently investigated.<sup>9</sup>

A few trials with more than 10 patients have been reported and are instructive. The first, an Italian study, employed baricitinib 4 mg per day for 2 weeks in all consecutive COVID-19 hospitalized patients (from March 16 to March 30, 2020) with moderate pneumonia in combination with lopinavir and ritonavir.<sup>10</sup> A group of patients receiving the same background therapies treated before the study date served as controls. Overall, there was significant improvement in clinical and laboratory parameters, none of the patients required mechanical ventilation, and no major safety issues were observed.

In the most robust study reported to date, the JAK1 and JAK2 inhibitor ruxolitinib was administered in a small randomized controlled multicenter trial compared with standard of care plus placebo, with 22 patients in the ruxolitinib group and 21 in the control arm.<sup>11</sup> Overall, 90% of the active arm showed improvement by chest computed tomography, whereas improvement was seen in only 62% of the control group. Three patients died of respiratory

failure in the control arm, no deaths occurred in the ruxolitinib group, and there were no major safety issues.

Finally, in a small uncontrolled trial in Germany, 14 patients were followed carefully using a newly developed COVID-19 inflammation score and treated with ruxolitinib over a 14-day period. Overall, 12 of 14 achieved significant reductions in disease activity with no safety signals.<sup>12</sup> As typical of most COVID-19 clinical trials, the experimental therapy was often added to other experimental therapies that at the time of investigation were standards of care, thus confounding interpretation of outcomes. The results of large randomized and more rigorously conducted controlled trials currently under way are eagerly awaited.

### ■ PRINCIPLES OF SAFETY

The past decade has provided us with a robust database analyzing the safety of the class of JAK inhibitors, and based on this, there are formidable concerns particularly in the areas of infectious and cardiovascular

**TABLE 2**  
**Clinical trials involving JAK-inhibitors in COVID-19**  
**(7/20/2020)**

JAK-inhibitor	Phase 2	Phase 3	Phase 4
Baricitinib (12 total)	NCT04373044	NCT04340232	NCT04390464
	NCT04393051	NCT04358614	
	NCT04346147	NCT04320277	
	NCT04321993	NCT04421027	
	NCT04399798	NCT04401579	
		NCT04345289	
Ruxolitinib (14 total)	NCT04334044	NCT04348071	
	NCT04414098	NCT04477993	
	NCT04354714	NCT04377620	
	NCT04366232	NCT04362137	
	NCT04338958	NCT04424056	
	NCT04374149		
	NCT04359290		
	NCT04403243		
	NCT04348695		
Tofacitinib (5 total)	NCT04412252		
	NCT04415151		
	NCT04469114		
	NCT04390061		
	NCT04332042		

Source: [www.clinicaltrials.gov](http://www.clinicaltrials.gov)

complications. A recent narrative review on safety with this class of therapeutics described an enhanced risk for opportunistic infections and a particularly high frequency of viral infections, especially herpes zoster.<sup>8</sup> The relevance of this toxicity to COVID-19 is not apparent, as the mechanisms of maintenance of viral latency to varicella and the integrated host defense against respiratory viral infections are highly different. Still, vigilance for infectious complications is critical in the use of JAK inhibitors in this setting.

A second area of concern is the potential to further increase the risks of hypercoagulable complications, which are already overexpressed in the setting of COVID-19. JAK inhibitors are known to increase the risks of venous thromboembolism in rheumatoid arthritis, though the mechanisms contributing to this phenomenon are unclear. Finally, the clinical application of JAK inhibitors in the setting of COVID-19 also raises concerns regarding off-target effects on integrated antiviral immunity through inhibition of interferon signaling. Type I and III interferon activity

is mediated via JAK1, JAK2, and TYK2 and may be vulnerable to off-target effects. This pathway is known to be suppressed in patients with COVID-19, and further suppression could contribute to failure to clear active infection.<sup>13</sup>

## ■ DOSAGE AND ADMINISTRATION

There are currently 3 approved JAK inhibitors under investigation in COVID-19; their indications, dosing, warnings, and side effects are listed in **Table 3**. All are orally administered once or twice daily and have narrow therapeutic ranges for dosing. In COVID-19 clinical trials, the doses under investigation are generally within the same range used in immune-mediated diseases, with some provisions for dose escalation as part of the protocol.

## ■ LABORATORY MONITORING

When JAK inhibitors are used in COVID-19, careful laboratory monitoring is important, as the drug class has numerous effects on biochemical and hematologic parameters.<sup>8</sup> While there are small differences in adverse effects across agents in this class, presumably reflecting differential JAK selectivity, cytopenias including neutropenia and anemia are often observed. Of note, lymphopenia, observed primarily with tofacitinib, is of concern especially in COVID-19, where this is a biomarker correlated with severe disease.<sup>1</sup> Elevations of liver enzymes and perturbations of serum lipids are of concern but are also infrequent, and functional liver impairment with hyperbilirubinemia is rarely observed. Serum creatinine and creatinine kinase may also be adversely affected, but this is rarely severe.

## ■ CONCLUSION

JAK inhibitors are a class of drugs that are growing rapidly for the treatment of a wide variety immune-based diseases; their mechanism of action is broadly immunomodulatory, and some also have antiviral potential. Experience with these drugs in non-COVID-19 settings has informed us of potential safety issues, and this will hopefully allow effective risk-mitigation. Numerous trials of JAK inhibitors are under way and should soon provide meaningful data on potential effects in COVID-19.

**TABLE 3**  
Current JAK inhibitors

Targets	Drug	Indication and dosage	Warnings and side effects
JAK1, JAK2	Baricitinib (Olmiant)	Rheumatoid arthritis: orally, 2 mg once daily	<b>Warnings:</b> Do not initiate in case of anemia < 8 g/dL, lymphopenia < 500/mm <sup>3</sup> , severe hepatic impairment, moderate or severe renal impairment <b>Side effects:</b> Infectious (tuberculosis), hematologic (lymphoma), thrombosis, gastrointestinal perforation
JAK1, JAK2	Ruxolitinib (Jakafi)	Myelofibrosis: 5 to 20 mg orally twice daily depending on platelets count Polycythemia vera: 10 mg orally twice daily Acute graft-vs-host disease: 5 mg orally twice daily	<b>Warnings:</b> Adjustment on renal/hepatic impairment and platelet count <b>Side effects:</b> Hematologic (pancytopenia, thrombocytopenia, anemia, neutropenia), infectious (herpes zoster, opportunistic infections), oncologic (non-melanoma skin cancer), metabolic (weight gain, hypercholesterolemia), other (bruising, dizziness, headaches)
JAK3 (and JAK1, JAK2)	Tofacitinib (Xeljanz)	Rheumatoid arthritis and psoriatic arthritis: orally, 5 mg twice daily or 11 mg once daily Ulcerative colitis: orally, 10 mg twice daily	<b>Warnings:</b> Avoid in patients at increased risk of thrombosis; avoid the combination with methotrexate or other disease-modifying anti-rheumatic drug or immunosuppressant; do not initiate if lymphocytes < 500/mm <sup>3</sup> , neutrophils < 1,000/mm <sup>3</sup> , or hemoglobin < 9 g/dL; adjust on renal or hepatic impairment <b>Side effects:</b> Thrombosis, infections (tuberculosis, opportunistic infections, herpes zoster), malignancy (lymphoma, skin cancer), gastrointestinal perforation, hematologic (anemia, lymphopenia, neutropenia)

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